



Transport de chaleur

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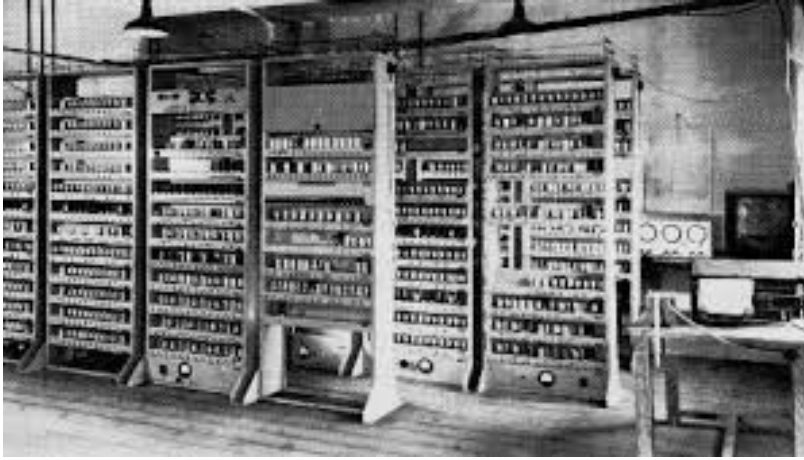
Introduction - motivations

Vibrations

Thermal conductivity

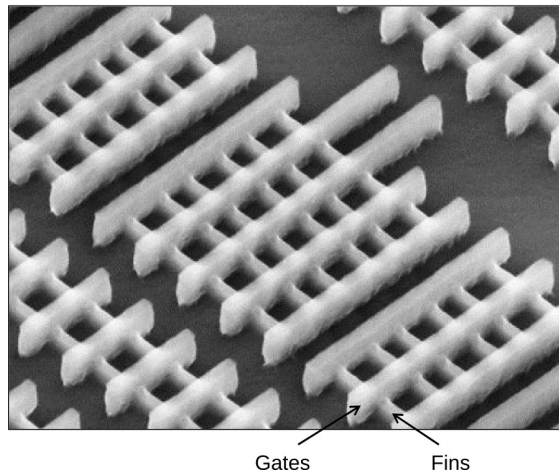
Phonon spectroscopy

Phonon scattering at interfaces

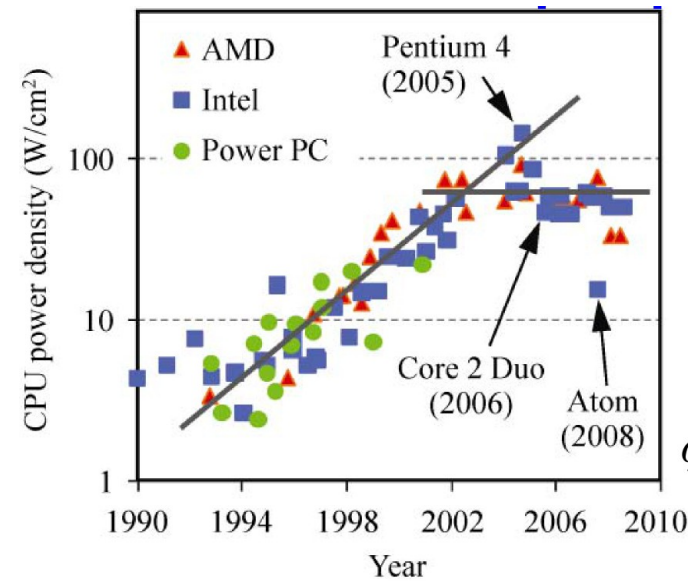


1950's

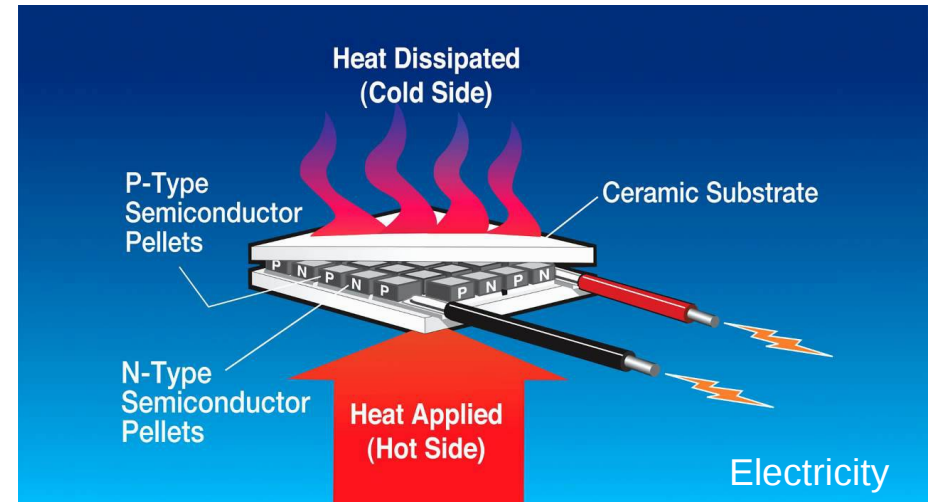
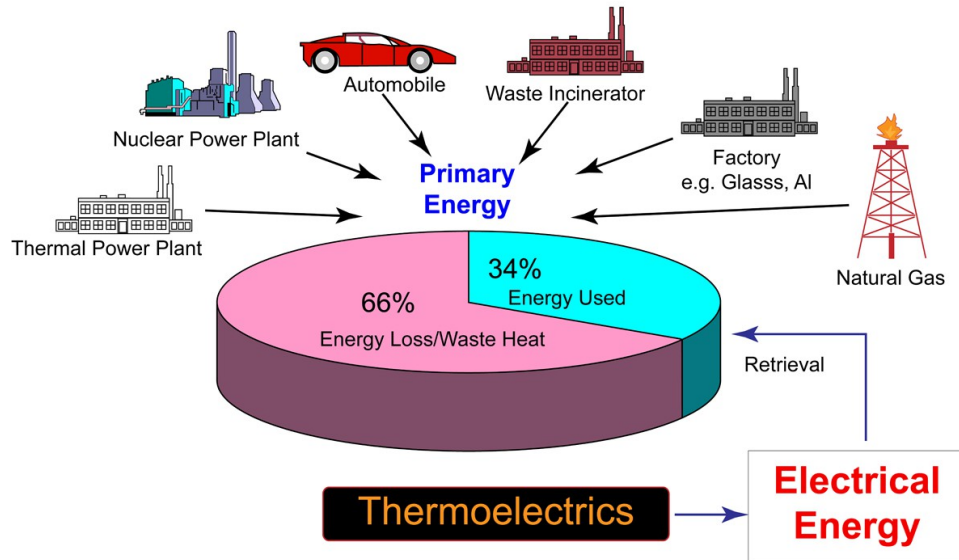
22 nm Tri-Gate Transistor



2000's



Waste Heat to Electricity



The ideal thermoelectric material is a good electronic conductor, but a poor thermal conductor

and thermal conductivity

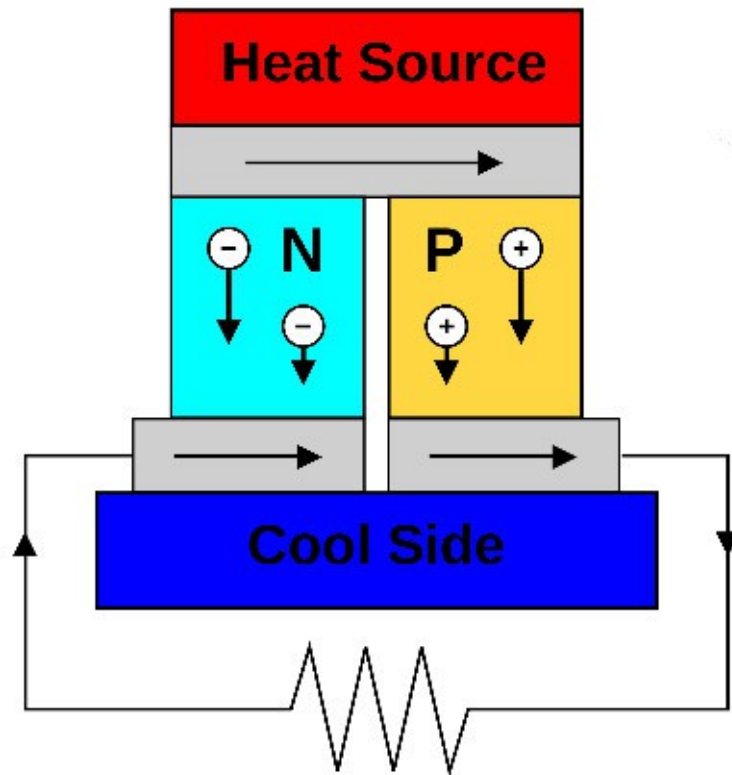


Figure of merit

$$Z = \frac{S^2 \sigma}{\lambda_{ph} + \lambda_e}$$

S Coefficient Seebeck

σ Electronic conductivity

λ Thermal conductivity

and thermal conductivity

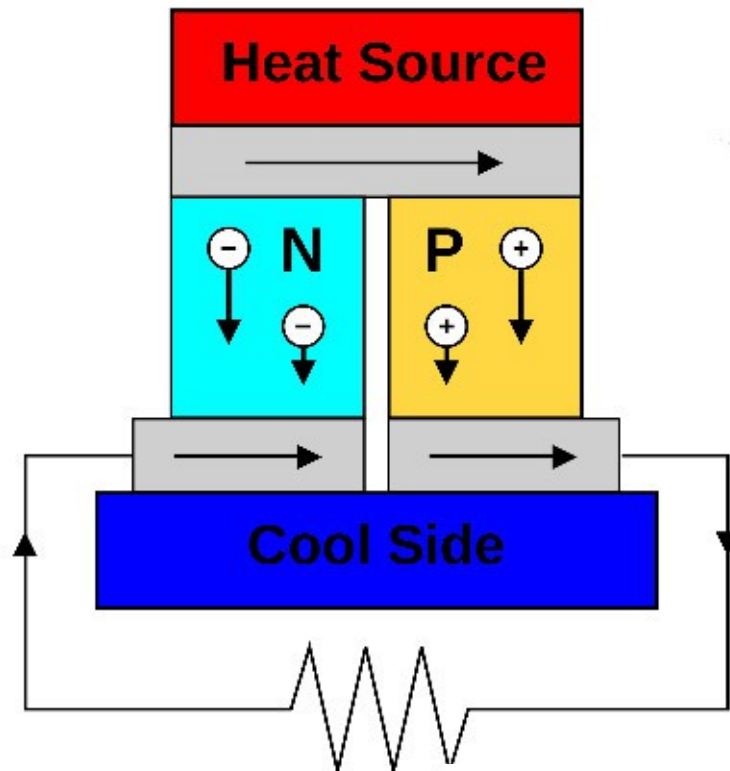
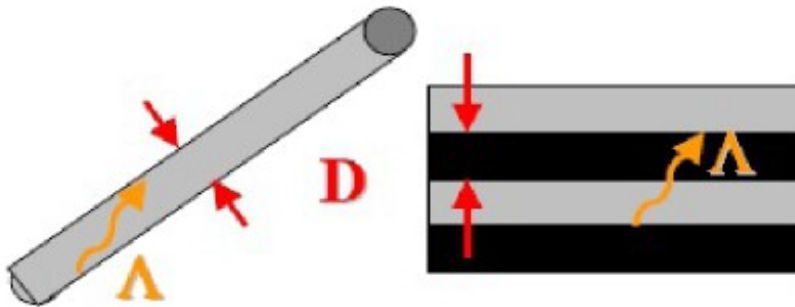
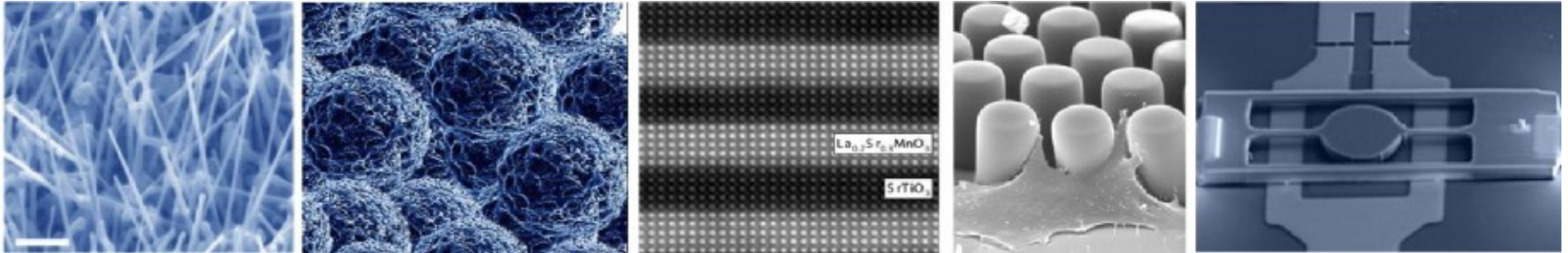


Figure of merit

$$Z = \frac{S^2 \sigma}{\lambda_{ph} + \lambda_e}$$

Property	Metals	Semiconductors	Insulators
S (μVK^{-1})	~ 5	~ 200	~ 1000
σ ($\Omega^{-1}\text{cm}^{-1}$)	$\sim 10^6$	$\sim 10^3$	$\sim 10^{-12}$
Z (K^{-1})	$\sim 3 \times 10^{-6}$	$\sim 2 \times 10^{-3}$	$\sim 5 \times 10^{-17}$

Nanostructured materials



$D \gg \Lambda$, régime diffusif

$D \approx \Lambda$, régime ballistique

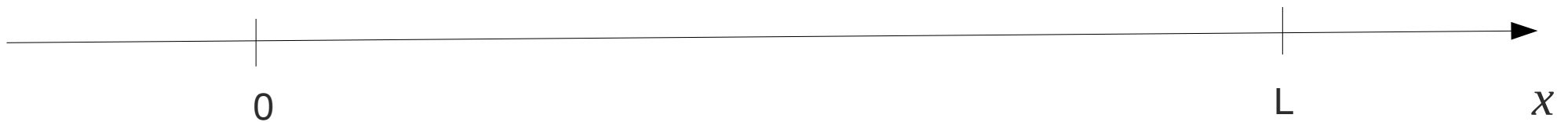
Si @300 K : $\Lambda > 300 \text{ nm}$



Fourier

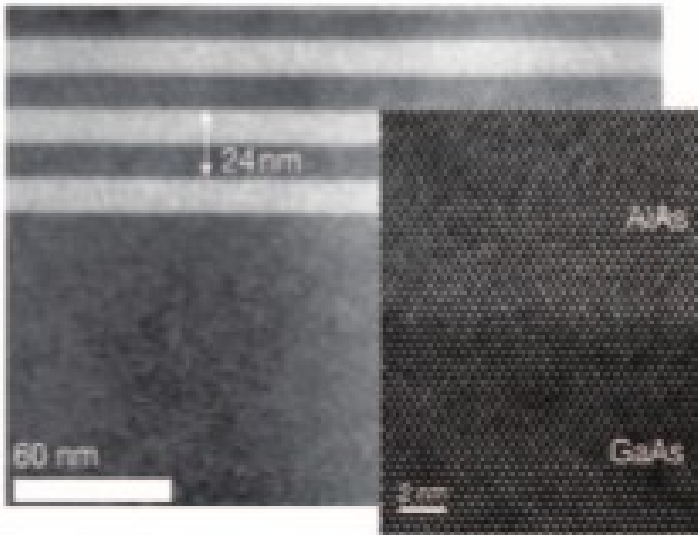
~~$$\vec{J} = -\lambda \vec{\nabla} T$$~~

Heat flux



Fourier law :
$$q = -\lambda \frac{\partial T}{\partial x} = \lambda \left(\frac{T_h - T_c}{L} \right)$$

Superlattices



isotropic

$$\vec{J} = -\lambda \vec{\nabla} T$$

anisotropic

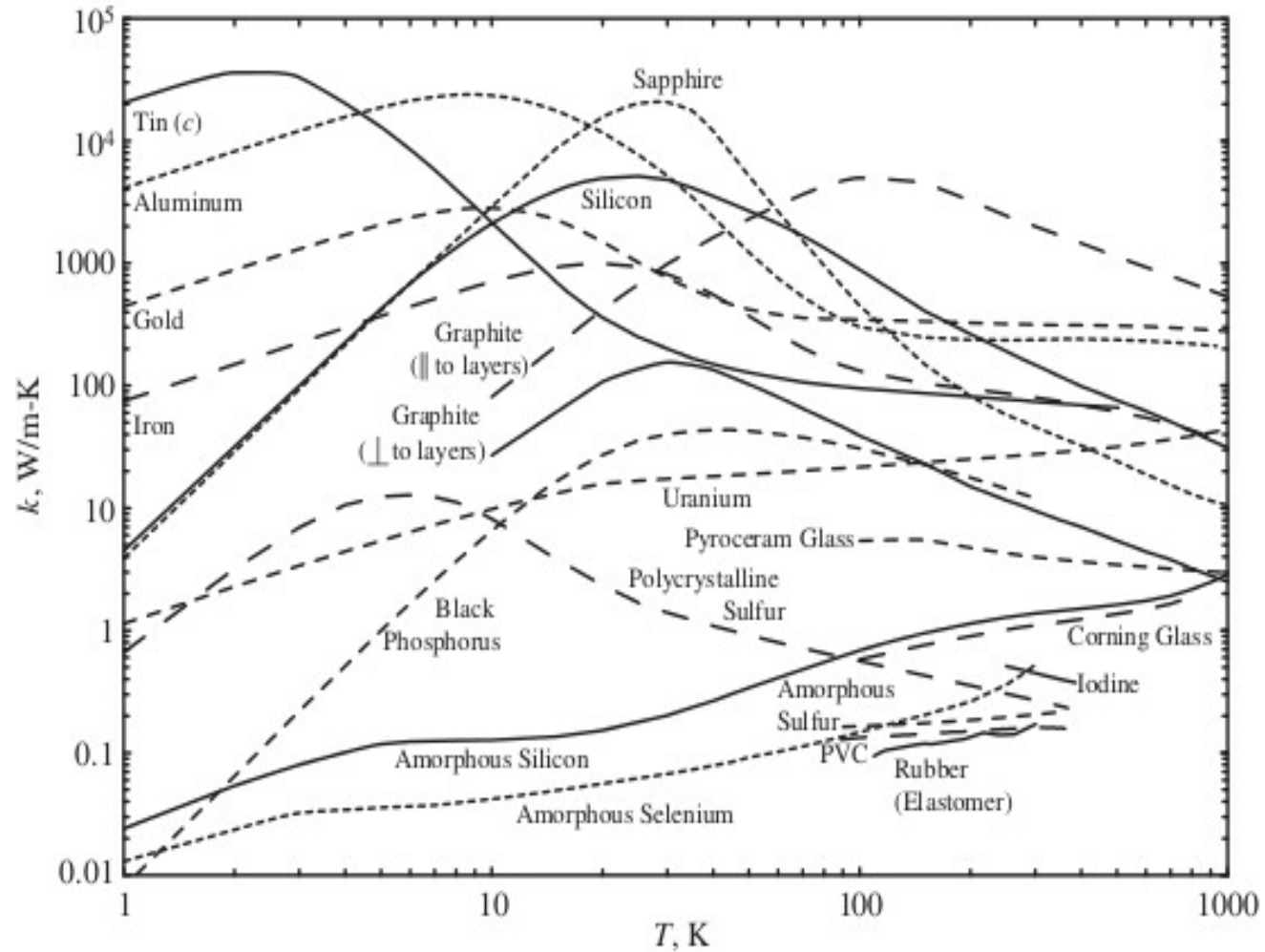
$$J_{\alpha} = -\lambda_{\alpha,\beta} \partial_{\beta} T$$

$$\lambda \quad \left| \begin{array}{ccc} \lambda_{IP} & 0 & 0 \\ 0 & \lambda_{IP} & 0 \\ 0 & 0 & \lambda_{CP} \end{array} \right|$$

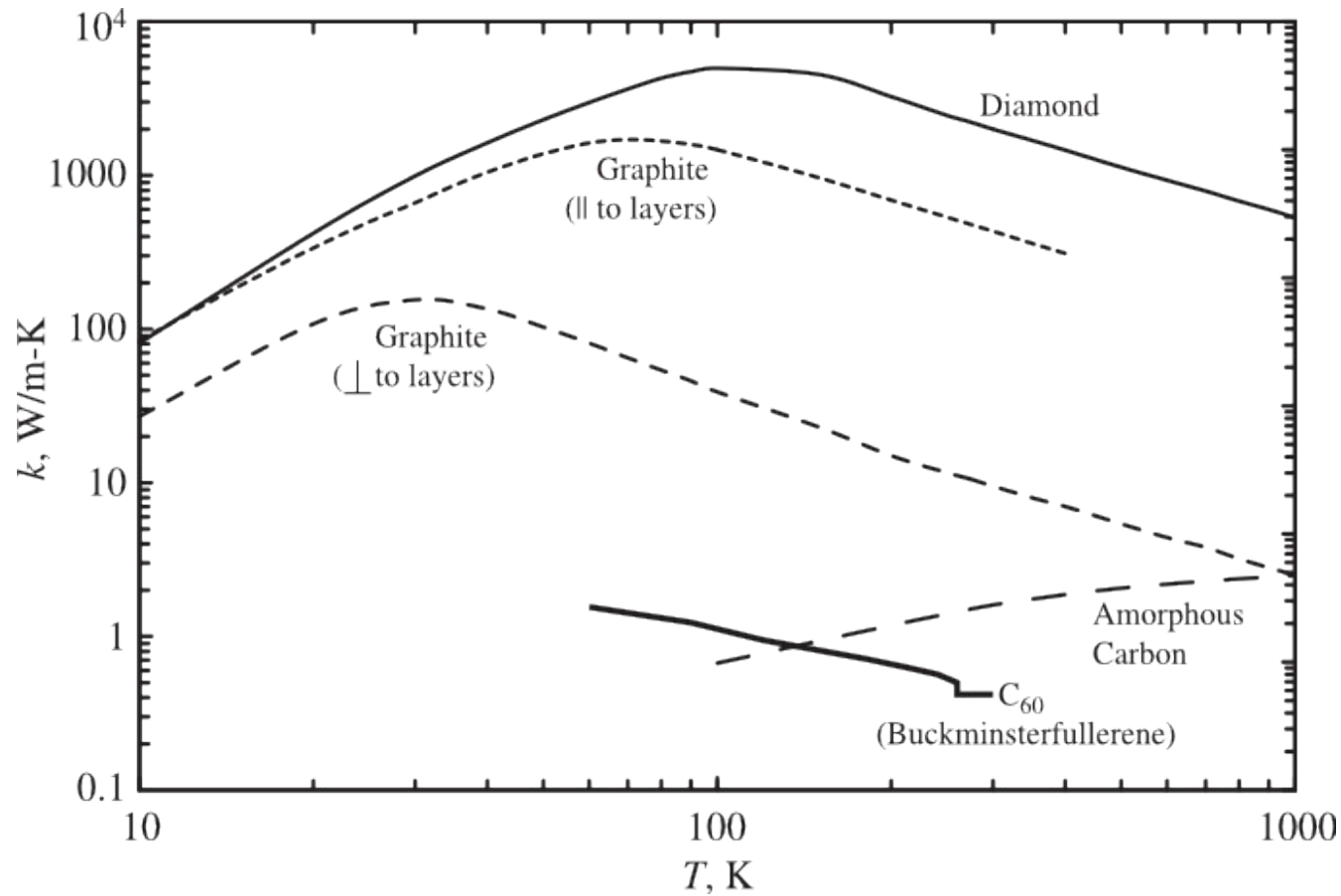
λ_{IP} In-plane conductivity

λ_{CP} Cross-plane conductivity

The flux is not always parallel to the temperature gradient !



Y. Touloukian, *Thermophysical properties of matter*



Crystalline phases

Metals : electrons

Less extent phonons

Semi-conductors : mainly phonons

Other excitations : magnons etc
not discussed

Disordered phases

Phonons and diffusons

Thermal conductivity $\lambda = \lambda_e + \lambda_{ph}$

electronic phononic

Wiedemann Franz law $\frac{\lambda_e}{\sigma T} = L$ Lorenz number

$$L_0 = \frac{\pi^2 k_B^2}{3e^2}$$

$$L_0 = 2.44 \cdot 10^8 \text{ V}^2 \text{ K}^{-2}$$

TABLE 1 Thermal Conductivity of Pure Metals at 273 K.^a

Metal	(W/m-K)	(10 ^{A8} m)	L (10 ^{A8} V ² /K ²)	Ref.
Ag	436	1.47	2.34	11
Al	237	2.43	2.10	12
Au	318	2.03	2.39	13
Ba	23.3	29.8	2.55	14
Be	230	2.8	2.36	15
Ca	186	3.08	2.13	16
Cd	100	6.80	2.49	15
Ce	11.2 (291 K)	80.0	\$ 3.5	17
Co	99 (300 K)	5.99	1.98 (300 K)	18
Cr	95.7 (280 K)	11.8	4.11	19
Cs	37 (295 K)	18.0	2.5 (295 K)	20
Cu	402 (300 K)	1.73 (300 K)	2.31 (300 K)	21
Dy	10.4 (291 K)	93	3.75 (291 K)	22
Er	13.8 (291 K)	79	3.75 (291 K)	22
Fe	80.2 (280 K)	8.64	2.57 (280 K)	23
Ga (jj c)	16.0	50.3	2.95	19
(jj a)	41.0	16.1	2.41	19
(jj b)	88.6	7.5	2.43	24
Gd	9.1 (291 K)	128	4.2 (291 K)	22
Hf	22.4 (293 K)	31.0 (293 K)	2.45 (293 K)	25
Hg (jjP)	34.1 (197 K)	14.6 (197 K)	2.53 (197 K)	26
(? P)	25.9 (197 K)	19.3 (197 K)	2.55 (197 K)	26
Ho	11.8 (300 K)	78.0 (300 K)	3.2 (300 K)	27
In	81.0 (280 K)	8.25 (280 K)	2.39 (280 K)	28
Ir	149 (277 K)	4.70	2.57 (277 K)	29
K	98.5	6.20	2.24	30
La	14.0 (291 K)	59	2.9	22
Li	65	8.5	2.05	31
Lu	16.2 (291 K)	\$ 50	3.3 (291 K)	22
Mg	153 (301 K)	4.5 (301 K)	2.29 (301 K)	32
Mn (b)	7.8 (291 K)	137	4.0 (291 K)	22
Mo	143	4.88	2.56	33
Na	142	4.29	2.23	34
Nb	51.8 (280 K)	13.3	2.53 (280 K)	35
Nd	16.5 (291 K)	58	3.7 (291 K)	22
Ni	93 (280 K)	6.24	2.19 (280 K)	36
Os	87 (323 K)	8.3	2.7 (323 K)	29
Pb	35.5	19.2	2.50	37
Pd	71.7	9.74	2.57	38
Pr	12.8	65	3.1 (280 K)	39
Pt	71.9 (280 K)	9.82	2.59 (280 K)	23
Pu	5.2 (298 K)	\$ 130	2.48 (298 K)	40
Rb	55.8	11.3	2.30	41
Re	49	16.9	3.05	42
Rh	153 (280 K)	4.35	2.46 (280 K)	43
Ru	110 (280 K)	6.7	2.72 (280 K)	43
Sc	21.8	44	4.3	44
Sm	13.4 (291 K)	90	4.3 (291 K)	22
Sn	64	10.6	2.48	15
Sr	51.9	11.0	2.18	14
Ta	57.7 (280 K)	12.1	2.56 (280 K)	45
Tb	10.4 (291 K)	110	4.25 (291 K)	22
Tc	51 (300 K)	16.7	\$ 3.4 (300 K)	46

Lorenz number

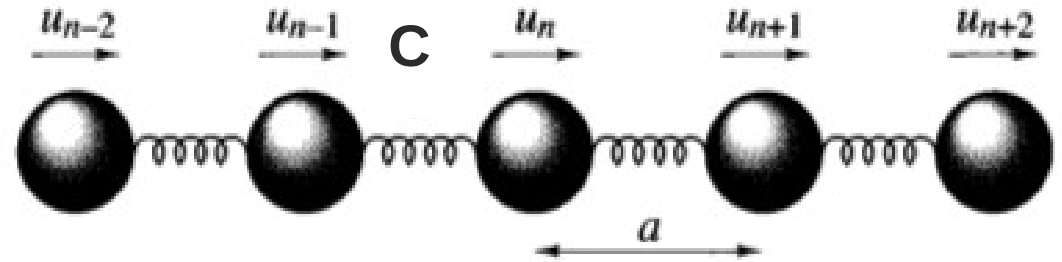
$$L_0 = 2.44 \cdot 10^8 \text{ V}^2 \text{ K}^{-2}$$

Tritt, thermal conductivity

Vibrations

- adiabatic assumption
- harmonic approximation
- quasi-classical treatment

Dynamics of a monoatomic chain

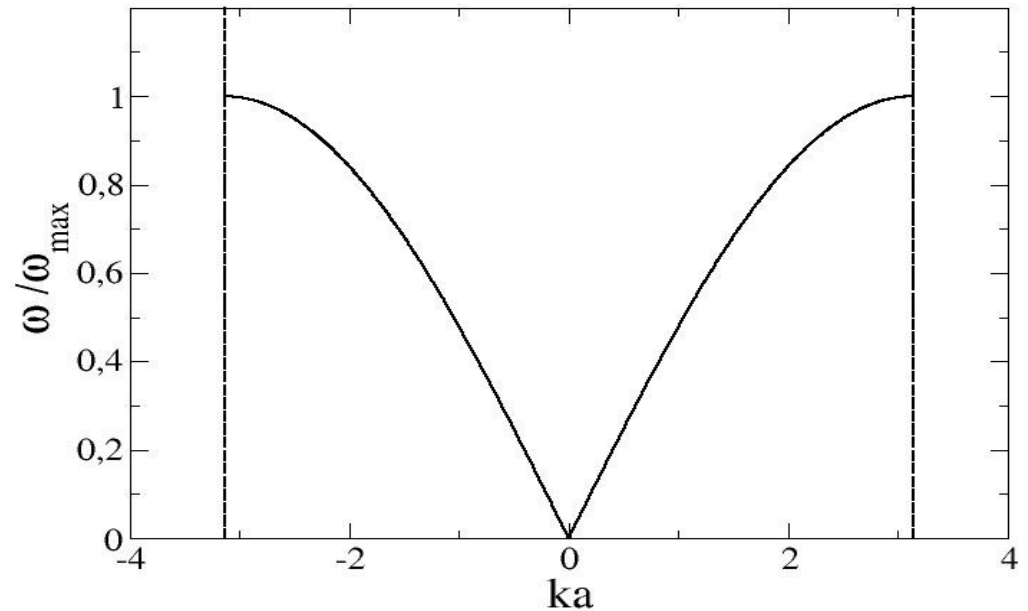


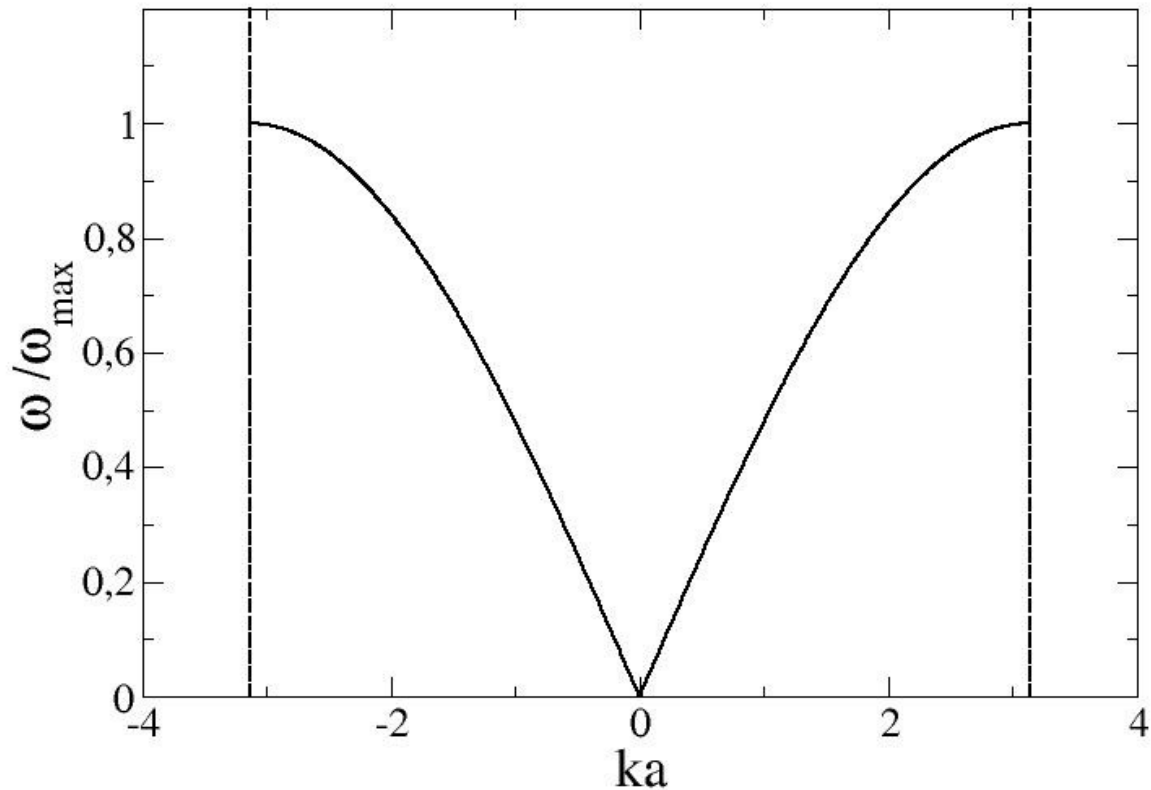
Equations of motion :
$$m \frac{d^2 u_n}{dt^2} = C (u_{n+1} + u_{n-1} - 2u_n)$$

Solutions :
$$u_n(t) = q_k \exp(i(kna - \omega(k)t)) \quad k = \frac{2\pi p}{N} \quad (p \text{ integer})$$

Dispersion relation :

$$\omega(k) = \left(\frac{4C}{m} \right)^{1/2} \left| \sin \left(\frac{ka}{2} \right) \right|$$





Dispersion relation

$$\omega(k) = \left(\frac{4C}{m}\right)^{1/2} \left| \sin\left(\frac{ka}{2}\right) \right|$$

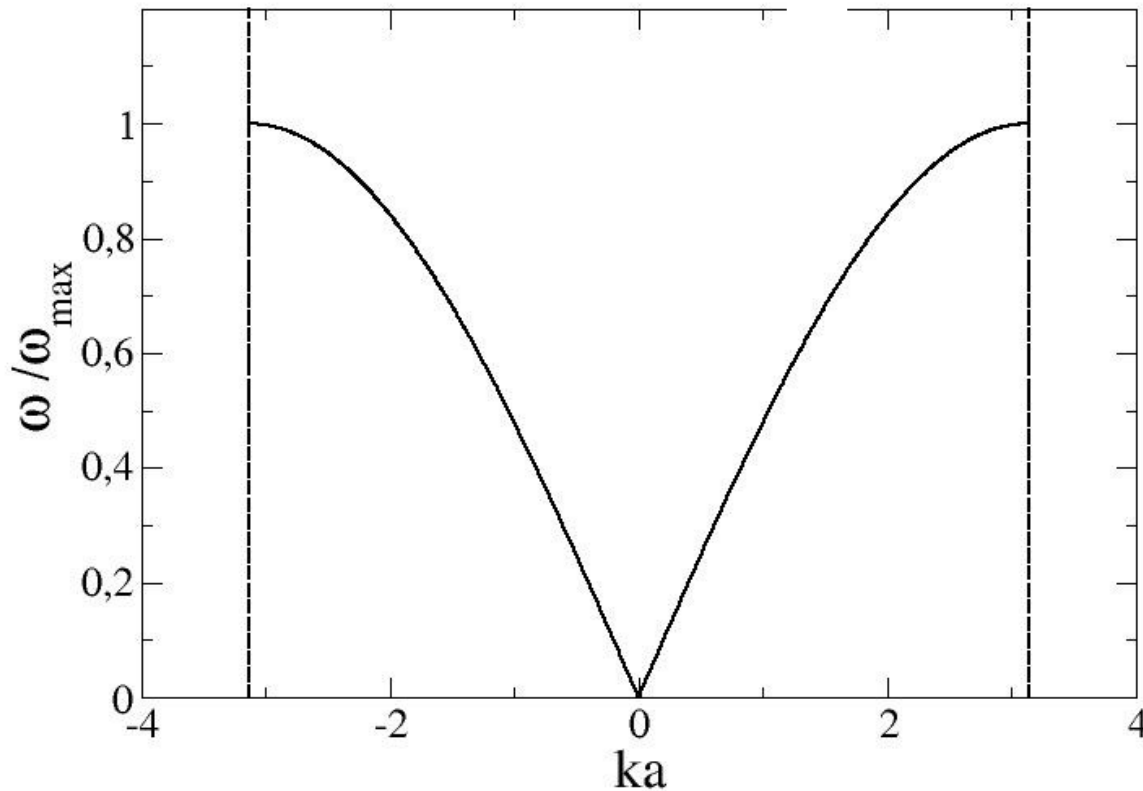
Phase velocity

$$v_{\varphi}(k) = \left(\frac{4C}{m}\right)^{1/2} \sin\left(\frac{ka}{2}\right) / k$$

Group velocity

$$v_g(k) = \left(\frac{C}{m}\right)^{1/2} a \cos\left(\frac{ka}{2}\right)$$

Dynamics of a monoatomic chain



Vibrational density of states

$$g(\omega) = \frac{dN}{d\omega} \sim \frac{1}{v_g(\omega)} \sim \frac{1}{(\omega_{max}^2 - \omega^2)^{1/2}}$$

Dispersion relation

$$\omega(k) = \left(\frac{4C}{m} \right)^{1/2} \left| \sin \left(\frac{ka}{2} \right) \right|$$

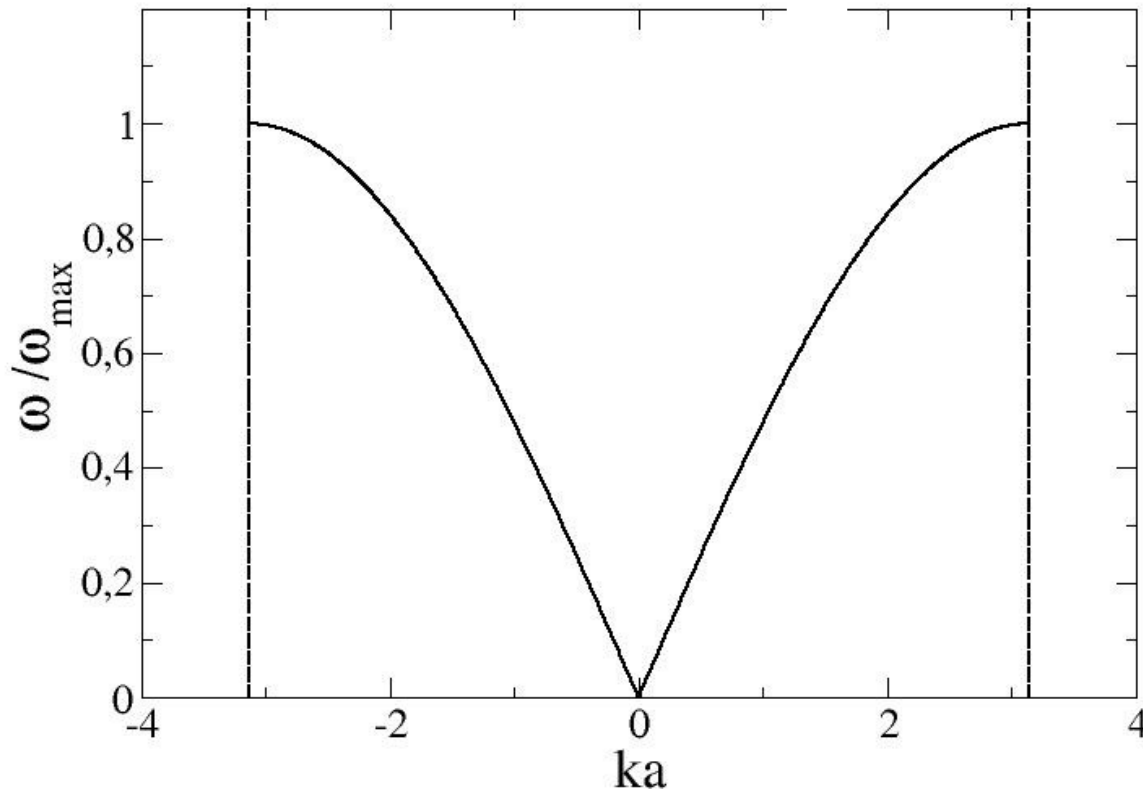
Phase velocity

$$v_\varphi(k) = \left(\frac{4C}{m} \right)^{1/2} \sin \left(\frac{ka}{2} \right) / k$$

Group velocity

$$v_g(k) = \left(\frac{C}{m} \right)^{1/2} a \cos \left(\frac{ka}{2} \right)$$

Dynamics of a monoatomic chain



Dispersion relation

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Phase velocity

$$v_{\varphi}(k) = \left(\frac{4C}{m} \right)^{1/2} \sin \left(\frac{ka}{2} \right) / k$$

Group velocity

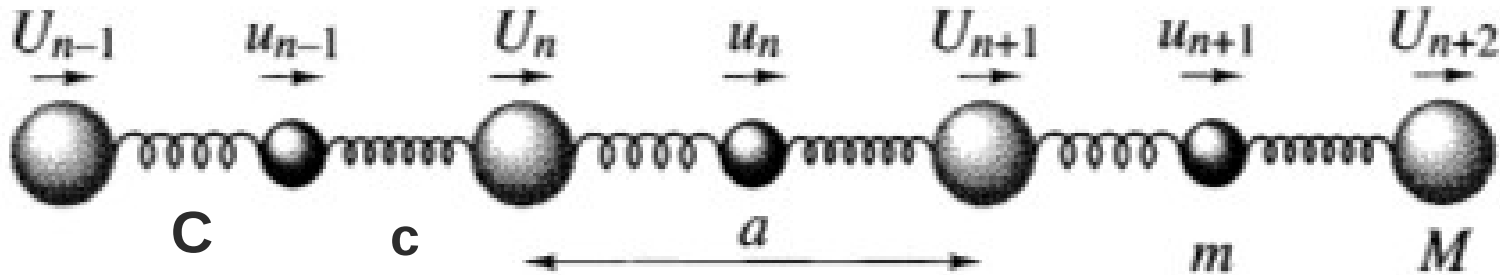
$$v_g(k) = \left(\frac{C}{m} \right)^{1/2} a \cos \left(\frac{ka}{2} \right)$$

Vibrational density of states

$$g(\omega) = \frac{dN}{d\omega} \sim \frac{1}{v_g(\omega)} \sim \frac{1}{(\omega_{max}^2 - \omega^2)^{1/2}}$$

Acoustic limit :

$$k \rightarrow 0 \quad v_{\varphi}(k) \simeq v_g(\vec{k}) \simeq v_s k \quad v_s = \left(\frac{C}{m} \right)^{1/2} a$$



Equations of motion :

$$M \frac{d^2 U_n}{dt^2} = -C(U_n - u_n) - c(U_n - u_{n-1})$$

$$m \frac{d^2 u_n}{dt^2} = -c(u_n - U_{n+1}) - C(u_n - U_n)$$

Solutions :

$$U_n(t) = Q_k \exp(i(kna - \omega(k)t)) \quad k = \frac{2\pi p}{N} \quad (p \text{ integer})$$

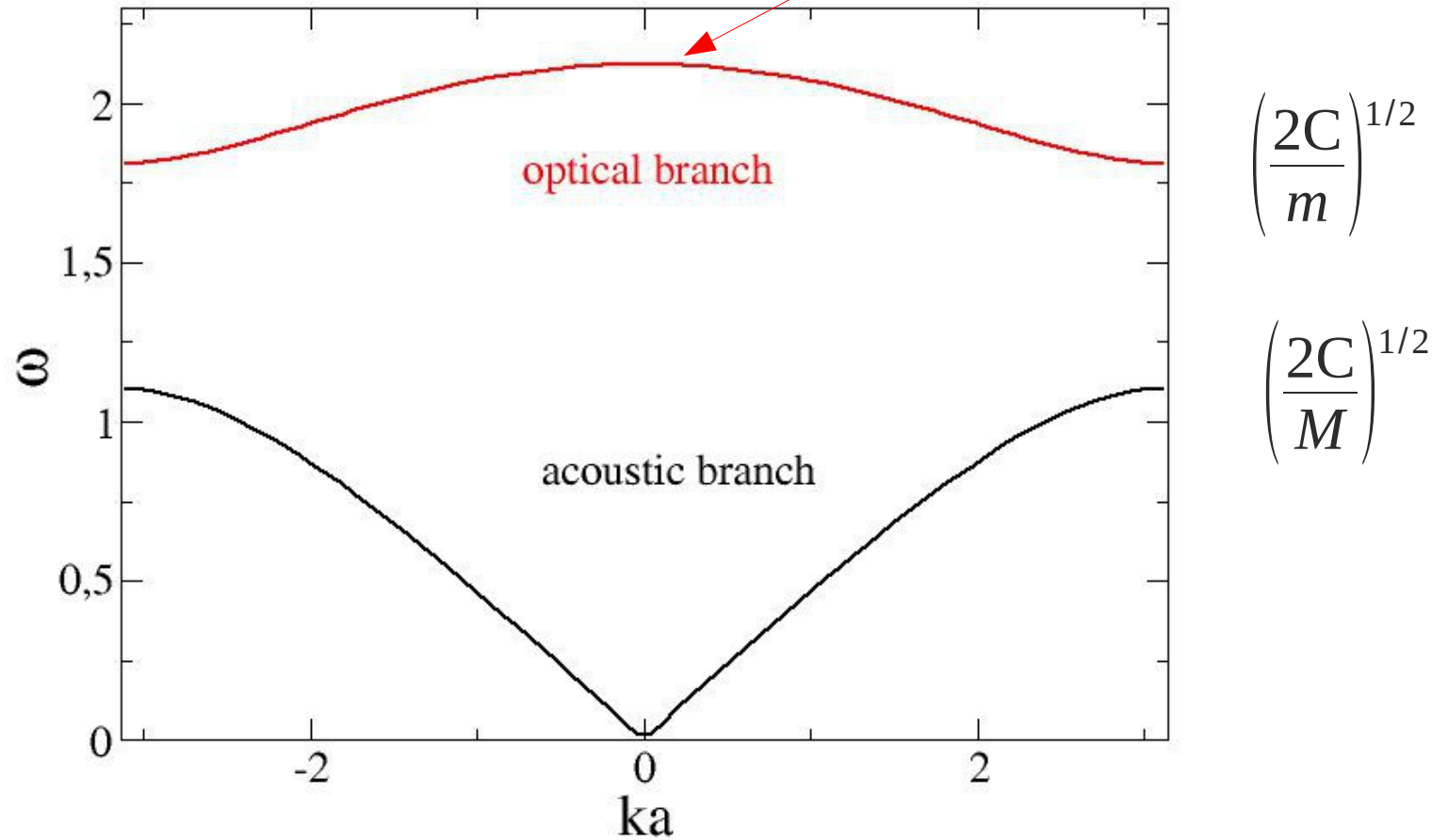
$$u_n(t) = q_k \exp(i(k(n + \frac{1}{2})a - \omega(k)t))$$

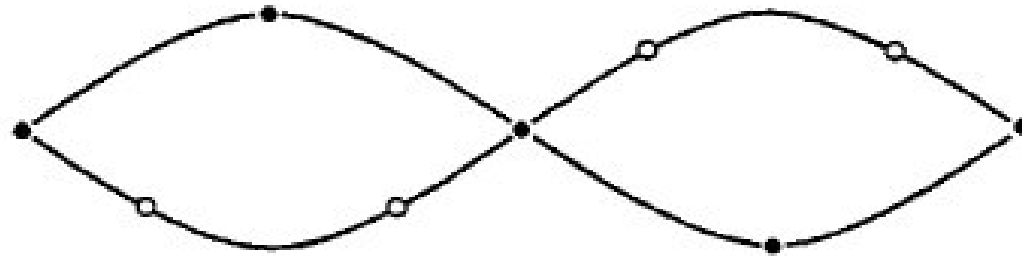
Dispersion relation :

$$\omega^2(k) = (M+m) \frac{(C+c)}{2Mm} \pm \frac{((M+m)^2(C+c)^2 - 16MmCc \sin^2(ka/2))^{1/2}}{2Mm}$$

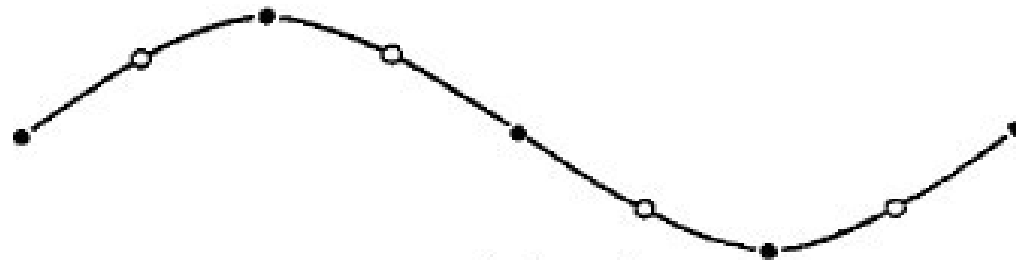
Case $C=c$

$$\left(2C \frac{(M+m)}{Mm}\right)^{1/2}$$





Optical mode



Acoustical mode

Harmonic Hamiltonian : $H = H_0 + \frac{1}{2} \sum_{i,j} \Phi_{ij}^{\alpha\beta} u_i^\alpha u_j^\beta$ $\alpha, \beta \in x, y, z$

Harmonic force constants

Equations of motion : $m_i \frac{d^2 u_i^\alpha}{dt^2} = - \sum_j \Phi_{ij}^{\alpha,\beta} u_j^\beta$

Solution : $u_i^\alpha = \frac{Q_{\vec{k}}}{\sqrt{m_i}} e_\alpha(\vec{k}) \exp(i(\vec{k} \cdot \vec{r}_i - \omega t))$

Polarisation vector

$$D_{\alpha,\beta}(\vec{k}) e_\beta(\vec{k}) = \omega^2(\vec{k}) e_\alpha(\vec{k})$$

Dynamic matrix :

$$D_{ij}^{\alpha,\beta} = \frac{1}{\sqrt{m_i m_j}} \Phi_{ij}^{\alpha\beta}$$

$$D_{\alpha\beta}(\vec{k}) = \sum_j D_{ij}^{\alpha\beta} \exp(i\vec{k} \cdot (\vec{r}_j - \vec{r}_i))$$

Dynamic matrix and dispersion relations

Dynamic matrix : $D_{ij}^{\alpha,\beta} = \frac{1}{\sqrt{m_i m_j}} \Phi_{ij}^{\alpha\beta}$ $D_{\alpha\beta}(\vec{k}) = \sum_j D_{ij}^{\alpha\beta} \exp(i\vec{k} \cdot (\vec{r}_j - \vec{r}_i))$

$$D_{\alpha,\beta}(\vec{k}) e_{\beta}(\vec{k}) = \omega^2(\vec{k}) e_{\alpha}(\vec{k})$$

Properties : $D_{ij}^{\alpha,\beta} = D_{ji}^{\beta,\alpha}$ $D_{\alpha\beta}(\vec{k}) = D_{\beta\alpha}^*(-\vec{k})$ (Taylor)

$D_{ij}^{\alpha,\beta} = D_{ji}^{\alpha,\beta}$ $D_{\alpha\beta}(\vec{k}) = D_{\alpha\beta}^*(-\vec{k})$ (inversion symmetry)

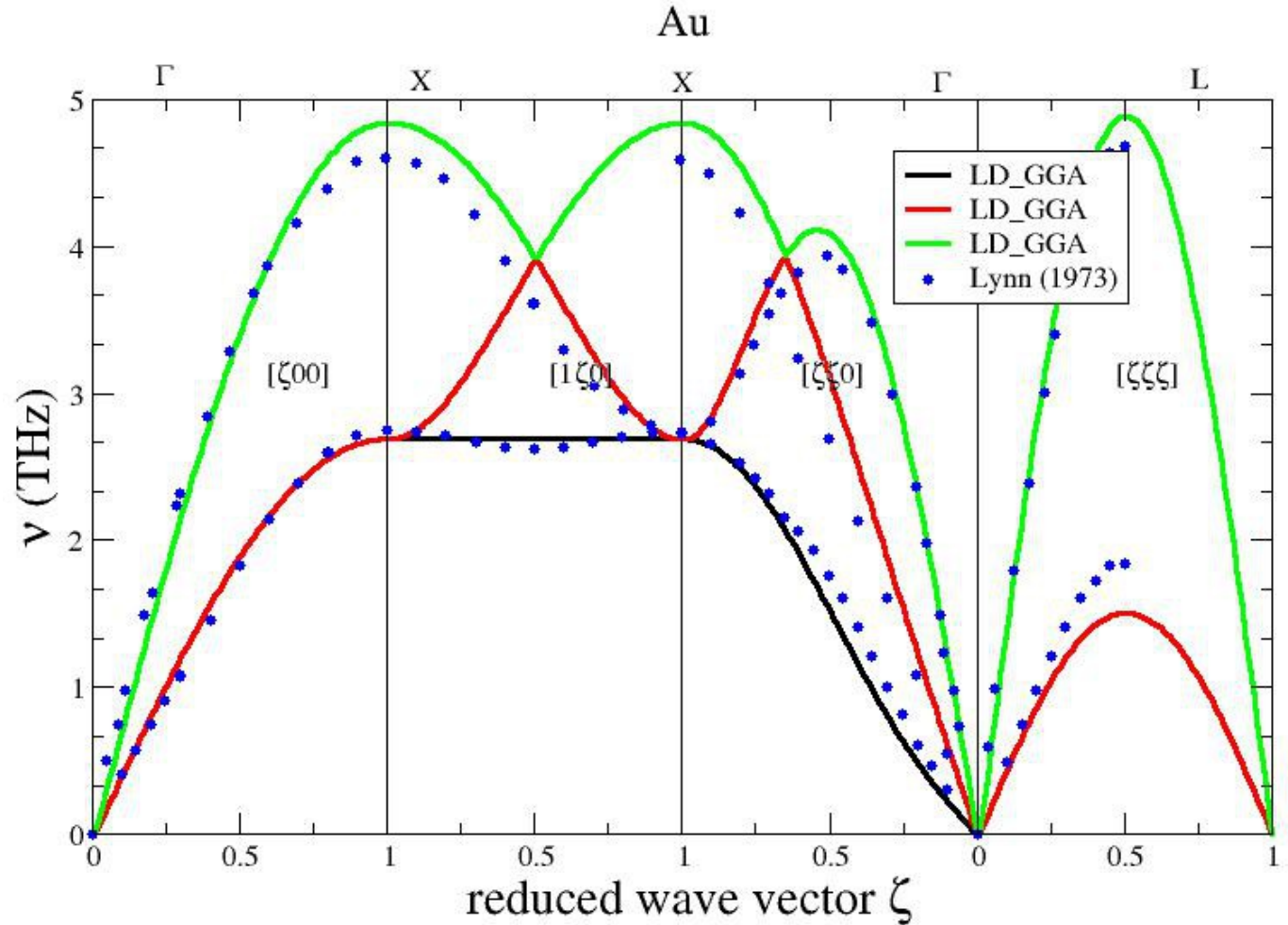
$\forall i, \sum_j D_{ij}^{\alpha\beta} = 0 \rightarrow D_{\alpha\beta}(\vec{k} = \vec{0}) = 0$ (acoustic rule)

Transverse modes

Displacement perpendicular to k

Longitudinal mode

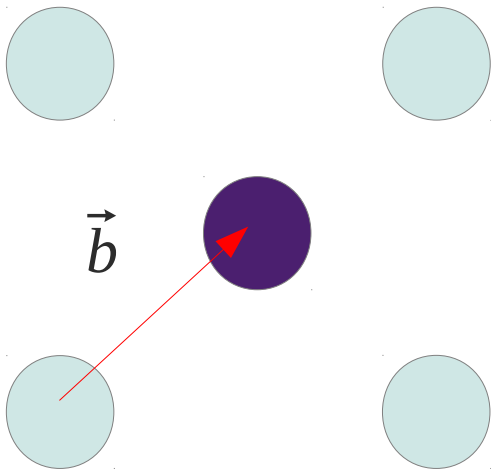
Displacement parallel to k



Dispersion relations for complex crystals

Eigenmodes :
$$u_i^\alpha(\vec{b}) = \frac{Q_{\vec{k}}}{\sqrt{m_i}} e_\alpha(\vec{k}, \vec{b}) \exp(i(\vec{k} \cdot \vec{r}_i(\vec{b}) - \omega t))$$

 vector defining the position in the primitive cell

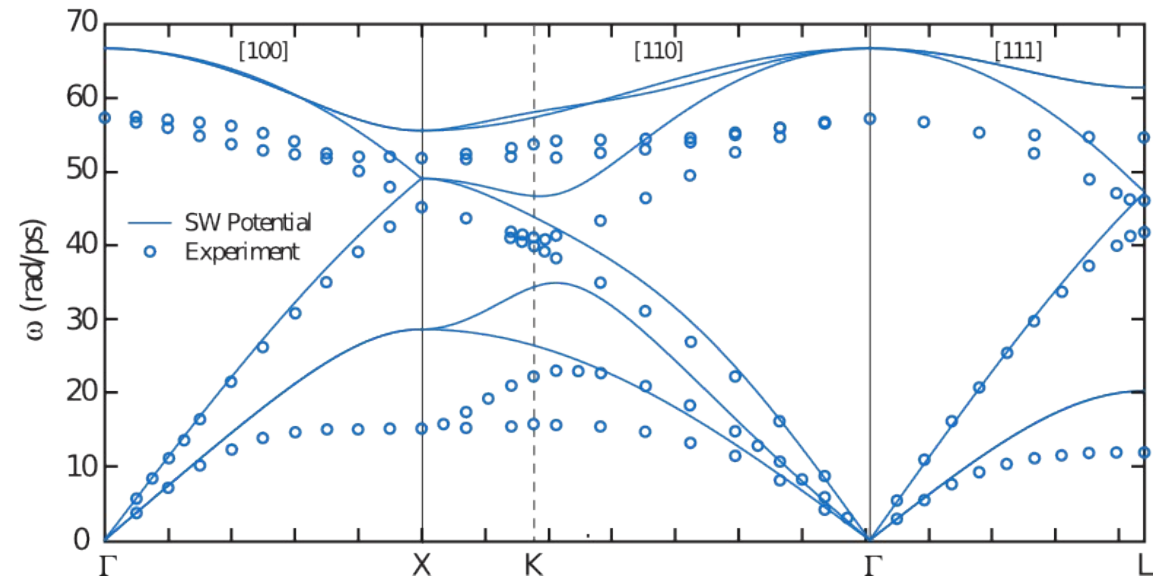
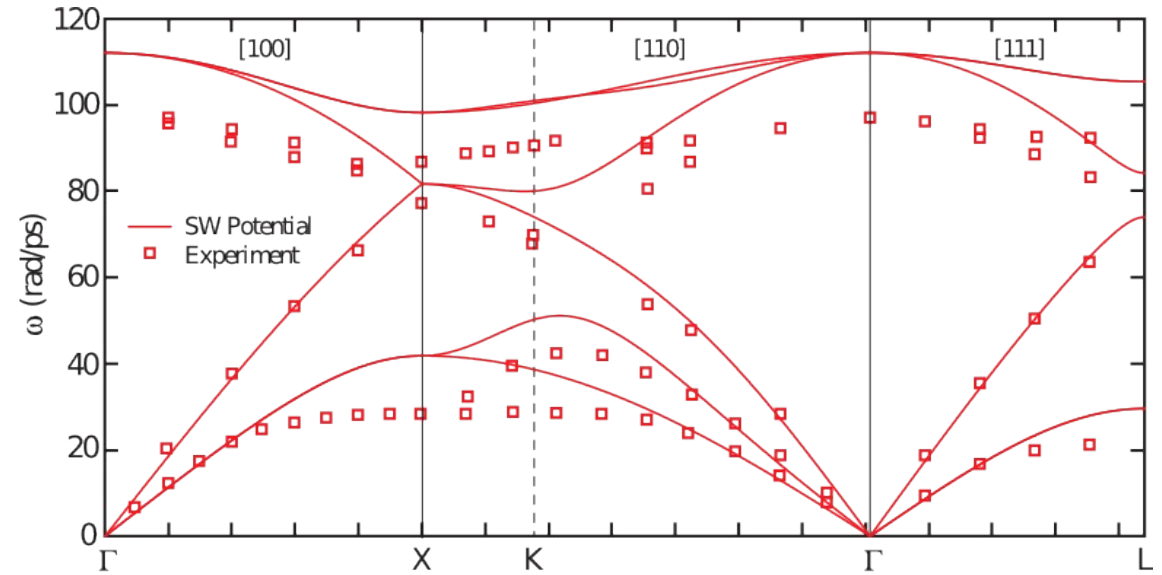
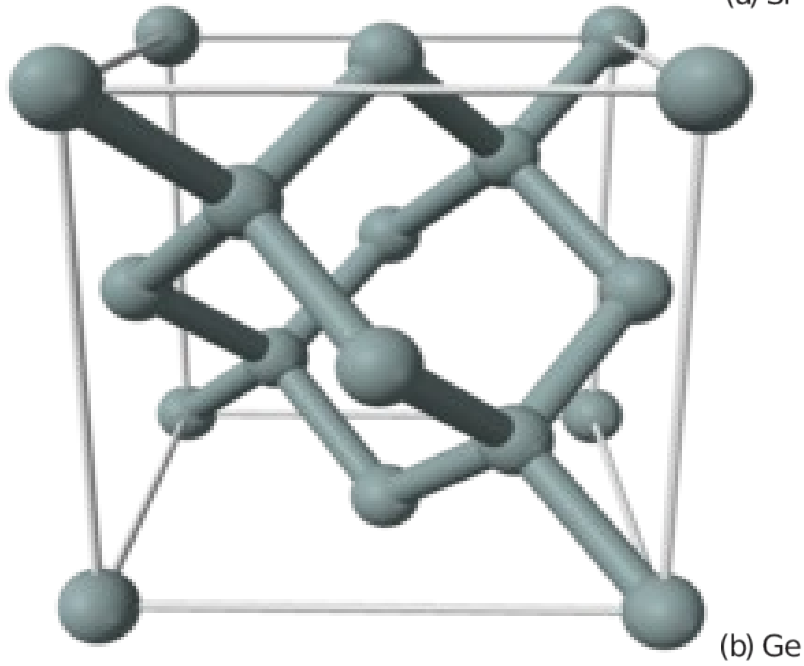


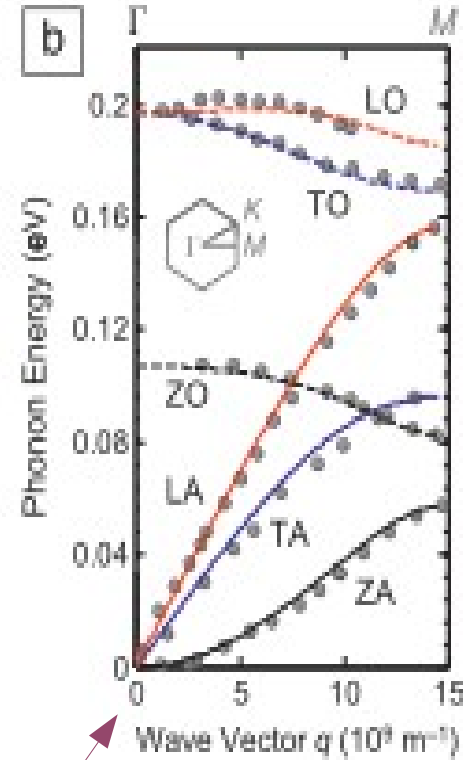
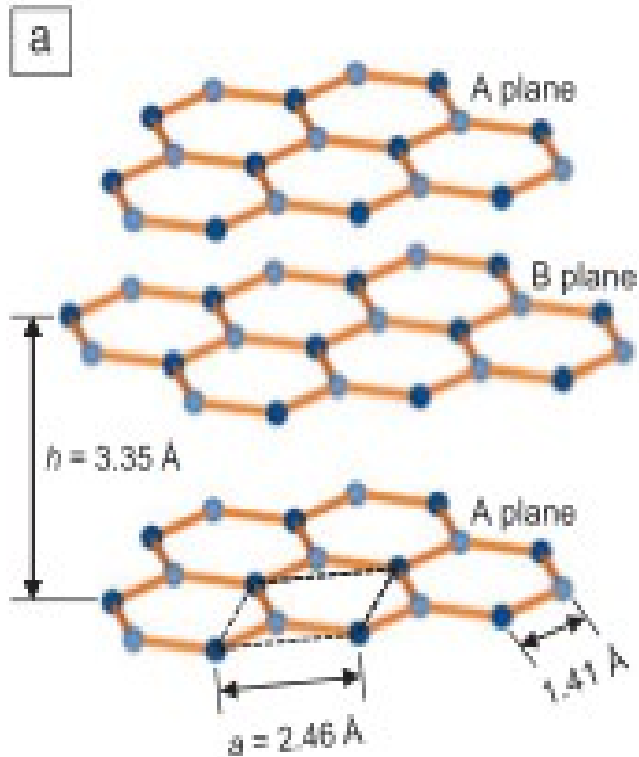
Eigenvalues :

$$\omega^2(\vec{k}) e_\alpha(\vec{k}, \vec{b}) = \sum_{\vec{b}'} D_{\alpha\beta}(\vec{k}; \vec{b}, \vec{b}') e_\beta(\vec{k}, \vec{b}')$$

$$\omega_s^2(\vec{k}); e_{\alpha,s}(\vec{k}, \vec{b})$$

Three dimensional crystal : index s
Total = 3*p branches with p = number of atoms
in the primitive cell





2D material

$$g(\omega) = \frac{\omega}{v_{\varphi}(\omega) v_g(\omega)}$$

Bending modes dominant at low q

Molecular dynamics : $m \frac{d^2 \vec{r}_i}{dt^2} = - \frac{\partial \Phi}{\partial \vec{r}_i}$ + thermal bath

Interatomic potential :

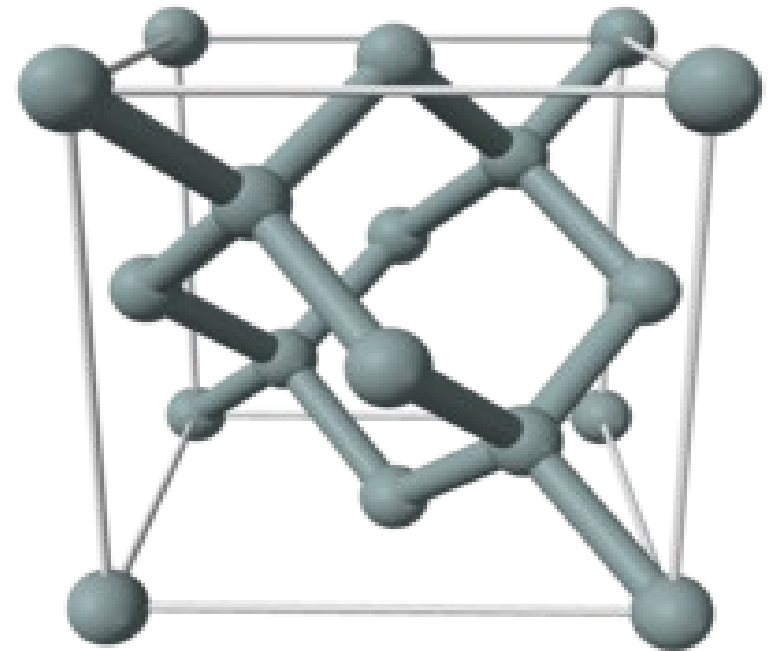
$$\Phi = \sum_{i < j} V_2(\vec{r}_{ij}) + \sum_{i < j < k} V_3(\vec{r}_i, \vec{r}_j, \vec{r}_k)$$

Stinger-Weber potential (Si) :

$$\Phi = \sum_i \sum_{i > j} v_1(r_{ij}) + \sum_i \sum_{j \neq i} \sum_{k > j} v_2(r_{ij}, r_{ik}, \theta_{ijk})$$

$$v_1(r_{ij}) = A \epsilon \left(B \left(\frac{\sigma}{r_{ij}} \right)^p - 1 \right) e^{\frac{\sigma}{r_{ij} - a \sigma}}$$

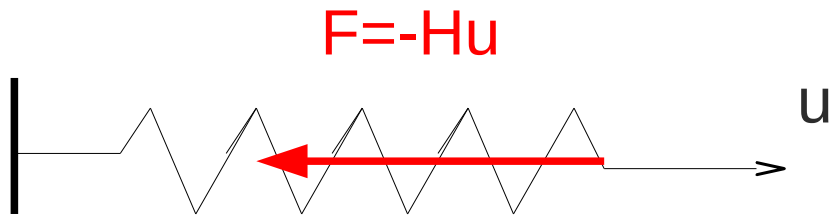
$$v_2(r_{ij}, r_{ik}, \theta_{ijk}) = \lambda \epsilon \left(\cos \theta_{ijk} - \frac{1}{3} \right)^2 e^{\frac{\sigma \gamma}{r_{ij} - a \sigma}} e^{\frac{\sigma \gamma}{r_{ik} - a \sigma}}$$



We use the property : $\Phi_{\alpha,\beta}(\vec{k}) = k_B T G_{\alpha,\beta}^{-1}(\vec{k})$

Harmonic force constants

Atomic displacement Green's function



Equipartition : $H = \frac{k_B T}{\langle u^2 \rangle}$

$$\Phi_{\alpha,\beta}(\vec{k}) = \sum_j \Phi_{\alpha,\beta}(i, j) \exp(i\vec{k} \cdot (\vec{r}_i - \vec{r}_j))$$

$$D_{\alpha,\beta}(\vec{k}) = \frac{1}{m} \Phi_{\alpha,\beta}(\vec{k})$$

$$G_{\alpha,\beta}(\vec{k}) = \langle u_\alpha(\vec{k}) u_\beta^{cc}(\vec{k}) \rangle$$

$$u_\alpha(\vec{k}) = \sum_i u_{\alpha,i} \exp(i\vec{k} \cdot \vec{r}_i)$$

$$G_{\alpha,\beta}(\vec{k}) = \langle r_\alpha(\vec{k}) r_\beta^{cc}(\vec{k}) \rangle - \langle r_\alpha(\vec{k}) \rangle \langle r_\beta^{cc}(\vec{k}) \rangle$$

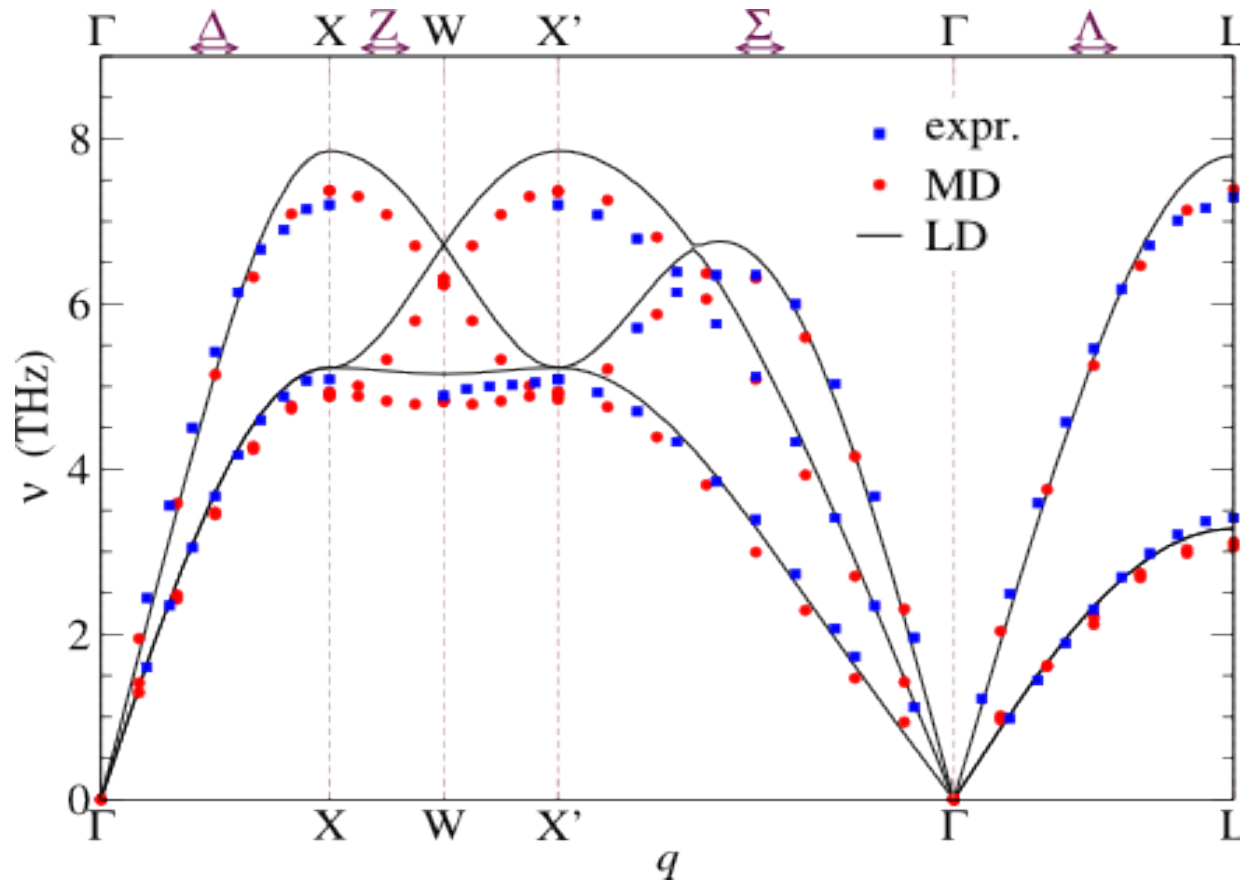


Phonon dispersion measured directly from molecular dynamics simulations[☆]

Ling Ti Kong

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FCC Cu



Implemented in LAMMPS

« fix phonon » command

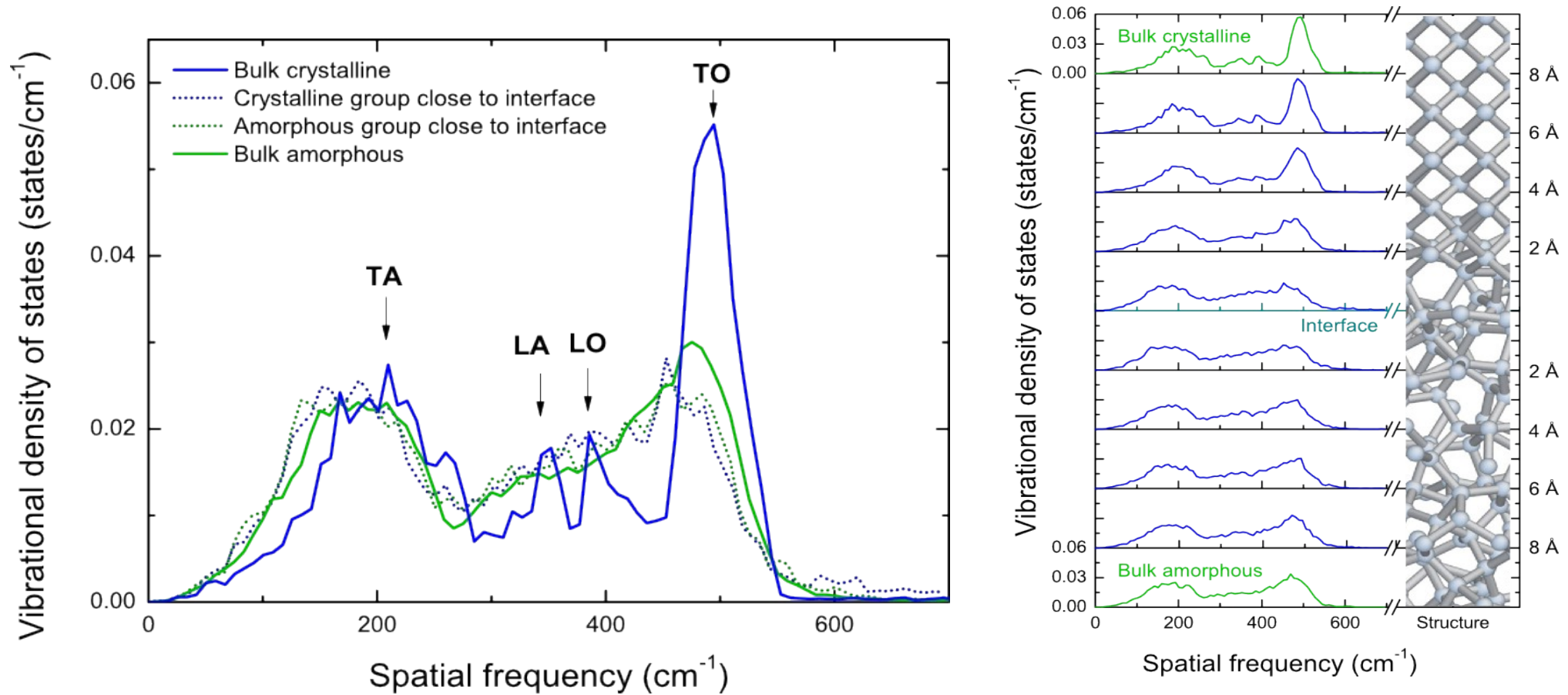
Definition $g(\omega) = \frac{1}{V} \sum_k \delta(\omega - \omega_k)$

Identity $g(\omega) \propto \int_0^{+\infty} C_{vv}(t) \exp(i\omega t) d\omega$

$$C_{vv}(t) = \langle \vec{v}_i(t) \cdot \vec{v}_i(0) \rangle$$

See Dove « Introduction to lattice dynamics »

Silicon crystalline and amorphous



Thermal conductivity

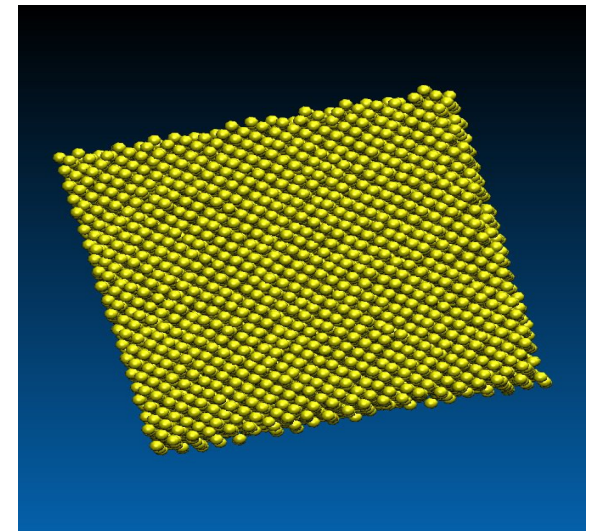
Essentially, two methods :

1. the « direct » method

Apply a temperature gradient or energy flux and calculate the conductivity with Fourier law

2. equilibrium method

Probe the fluctuations around equilibrium of the energy flux vector

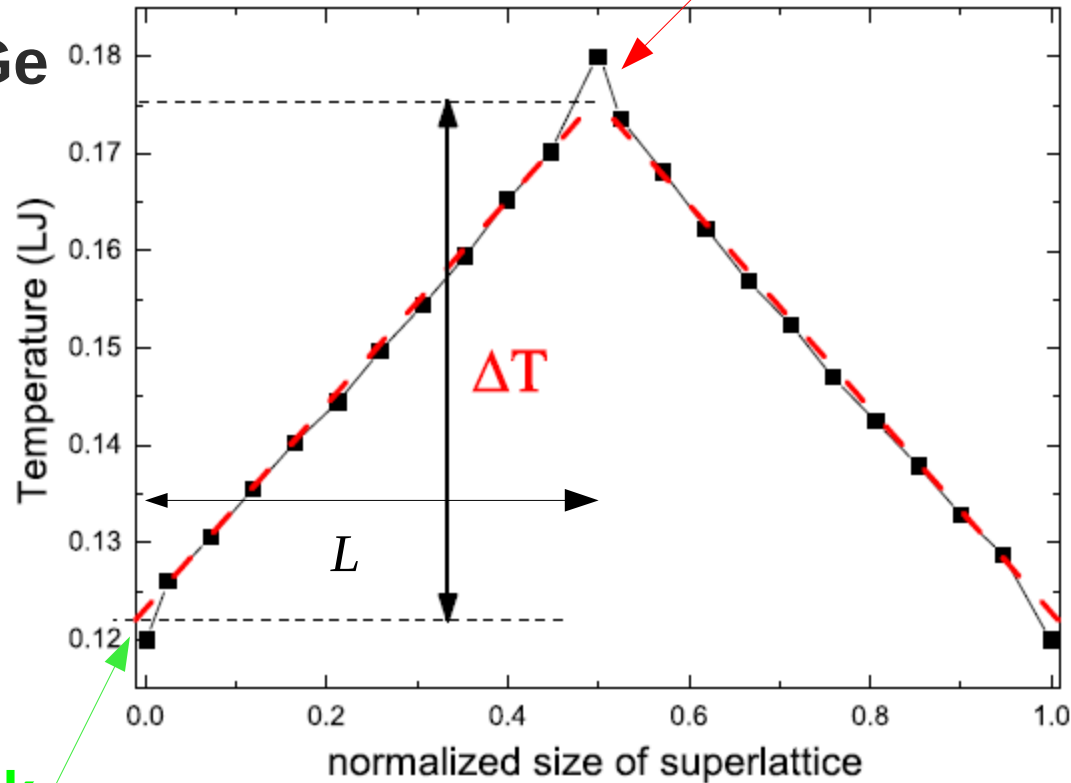


1. Thermal conductivity : the direct method

Steady state temperature profile

Heat source

Example Si/Ge



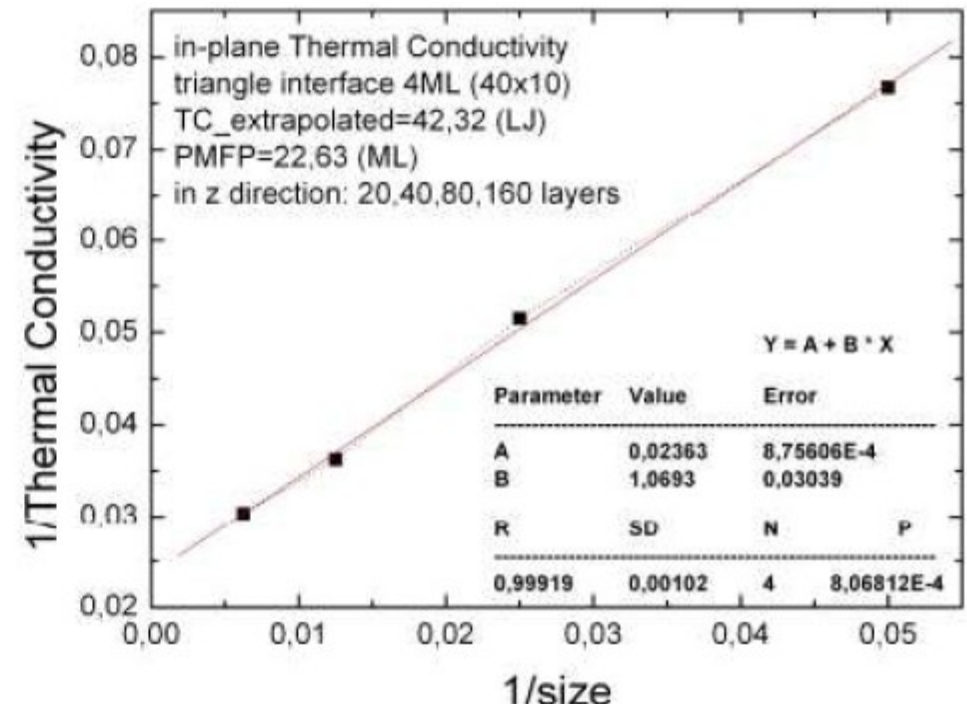
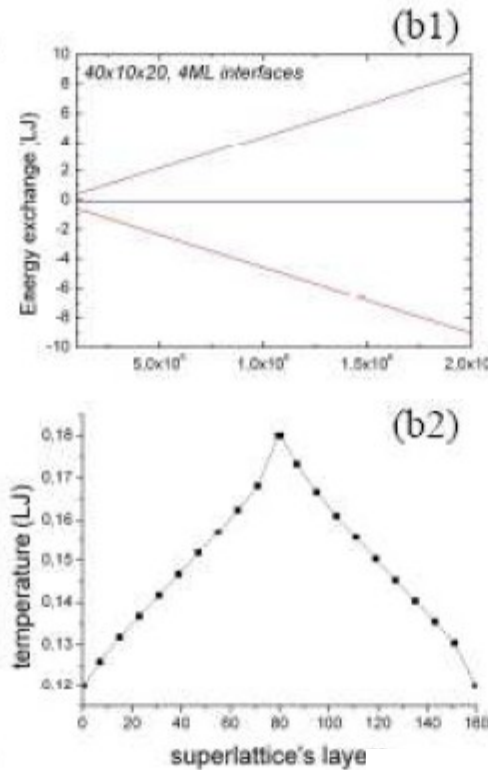
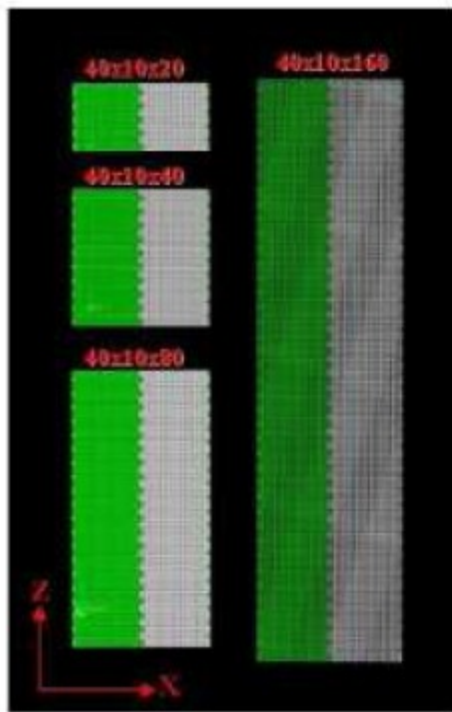
Heat sink

Fourier law :

$$J = -\lambda \frac{\Delta T}{L}$$

Thermal conductivity : the direct method

Finite size effect analysis



« Bulk » thermal conductivity $1 / \Lambda(L) = 2 / L + 1 / \Lambda(L \rightarrow \infty)$

Thermal conductivity : direct method

Advantages : - relatively easy to implement using open sources codes (LAMMPS)
-may be used also to compute the thermal boundary resistance

Inconvenients : -need to check if we are in the linear regime
=> analyze different heat fluxes
-severe finite size effects !
=> analyze different system sizes to properly extrapolate a « bulk » conductivity

Thermal conductivity : Green-Kubo equilibrium simulations

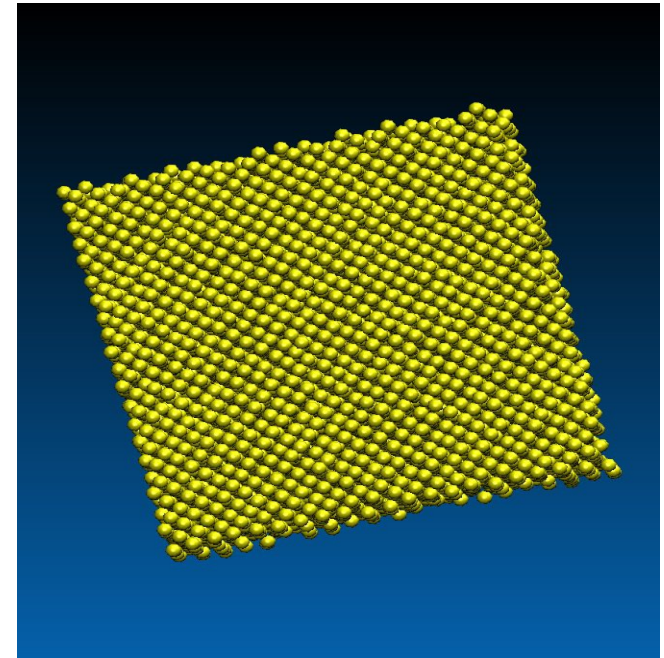
Green-Kubo formula :

$$\lambda_{\alpha,\beta} = \frac{1}{V k_B T^2} \int_0^{+\infty} \langle J_\alpha(t) J_\beta(0) \rangle dt$$

Heat flux vector : $\vec{J} = \frac{d}{dt} (\sum_i E_i \vec{r}_i)$

$$\vec{J} = \sum_i E_i \vec{v}_i + \frac{1}{2} \sum_{i \neq j} \vec{F}_{ij} \cdot (\vec{v}_i + \vec{v}_j)$$

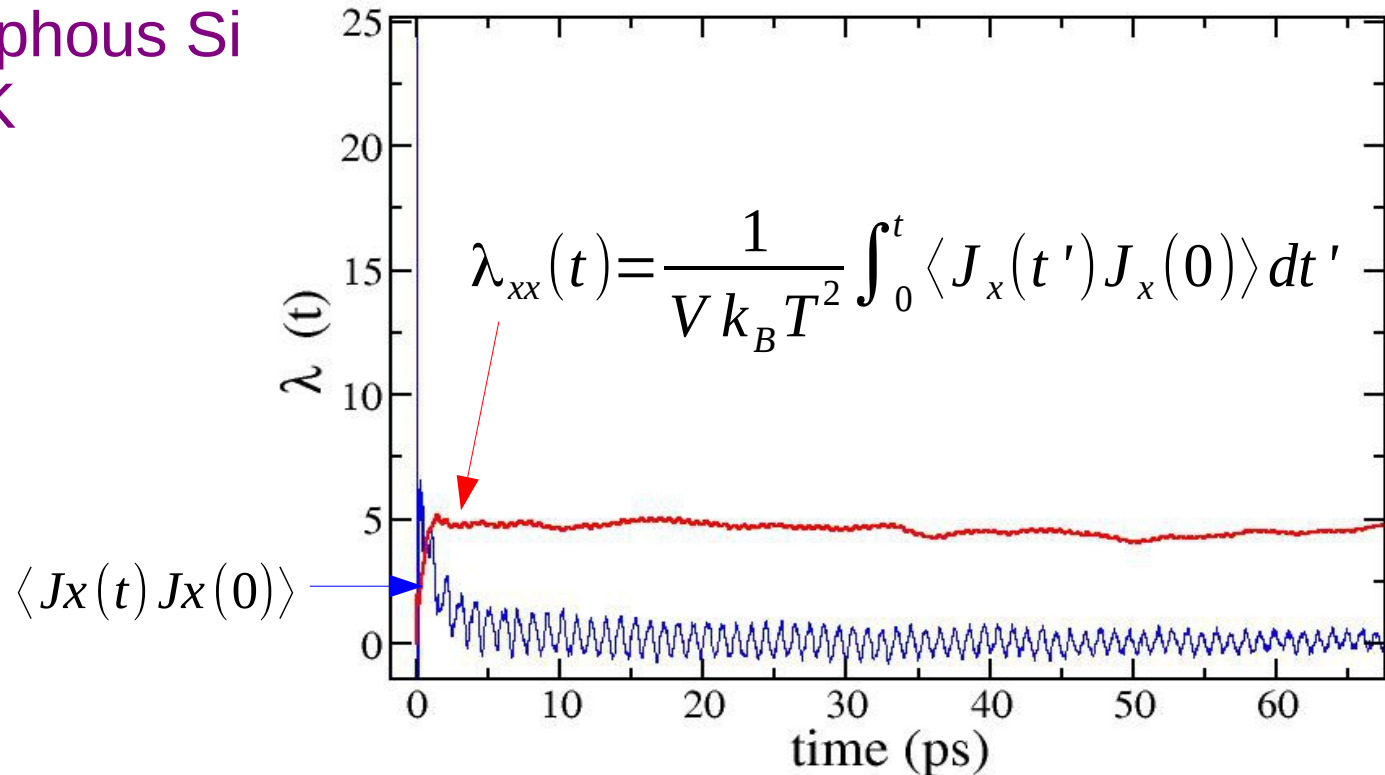
In practice, run in NVE ensemble



Green-Kubo formula :

$$\lambda_{\alpha,\beta} = \frac{1}{V k_B T^2} \int_0^{+\infty} \langle J_\alpha(t) J_\beta(0) \rangle dt$$

Example amorphous Si
300 K

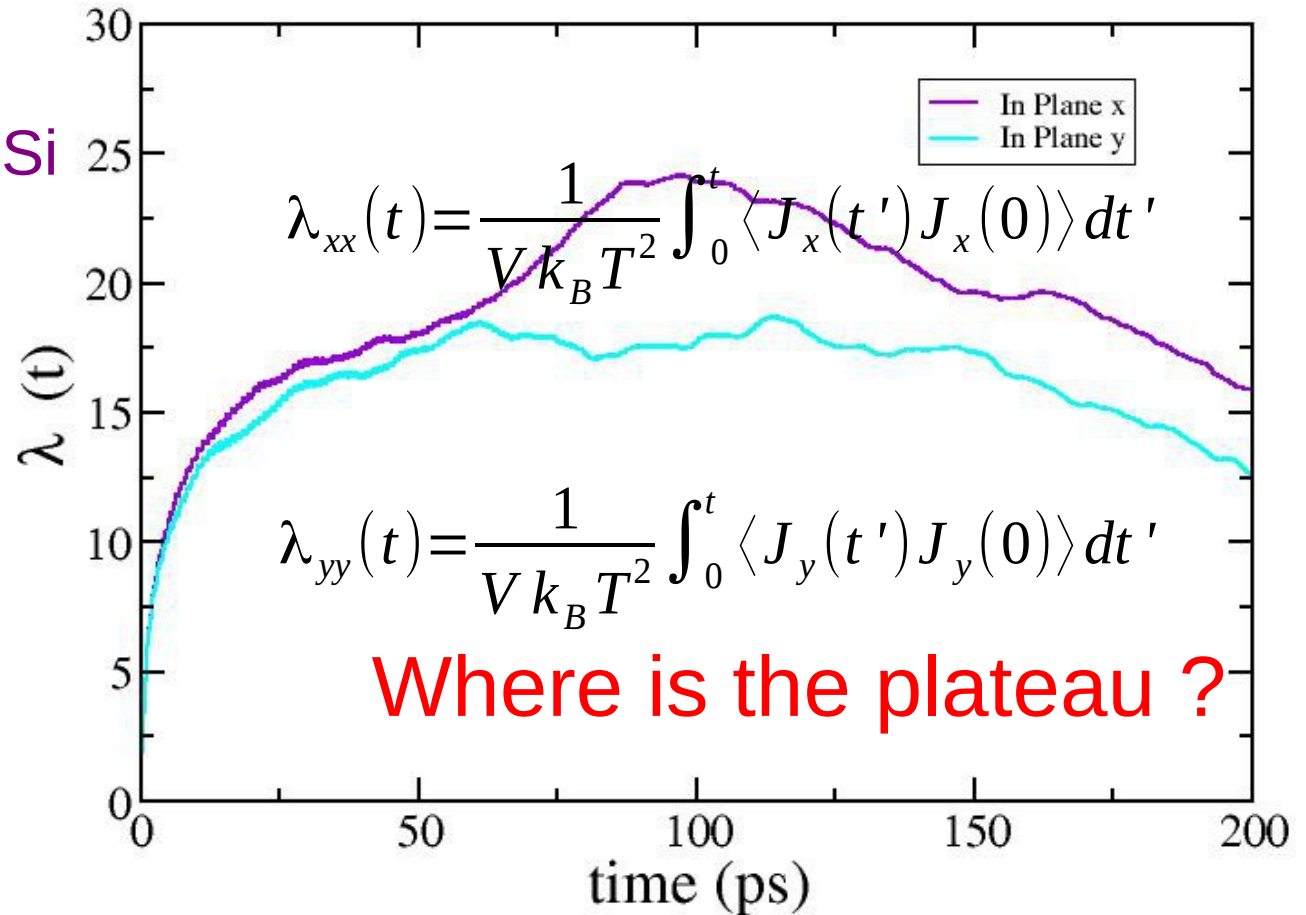


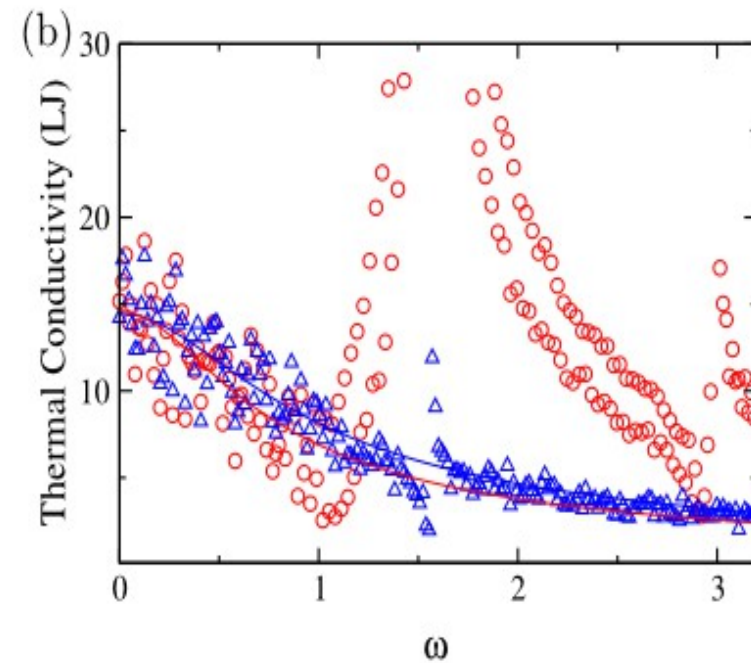
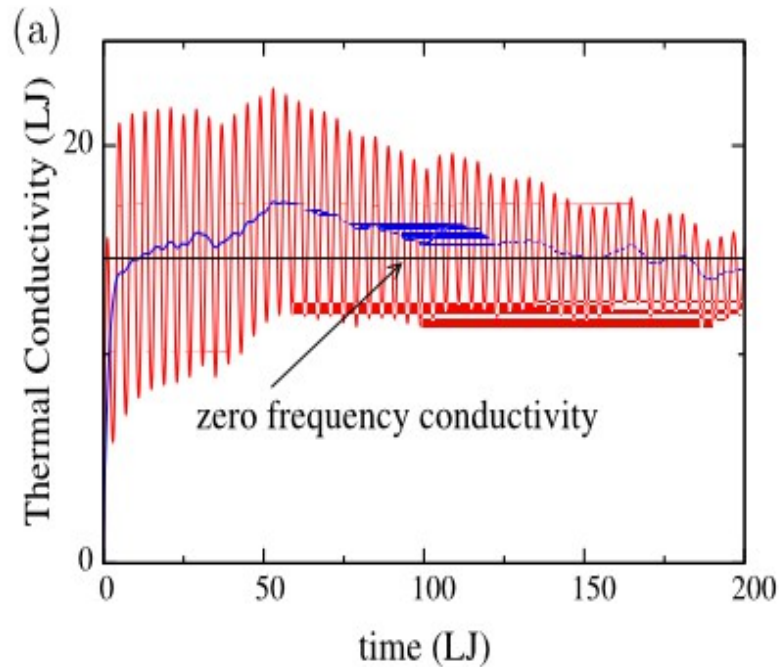
Thermal conductivity : Green-Kubo equilibrium simulations

Green-Kubo formula :

$$\lambda_{\alpha,\beta} = \frac{1}{V k_B T^2} \int_0^{+\infty} \langle J_\alpha(t) J_\beta(0) \rangle dt$$

Example amorphous Si
300 K





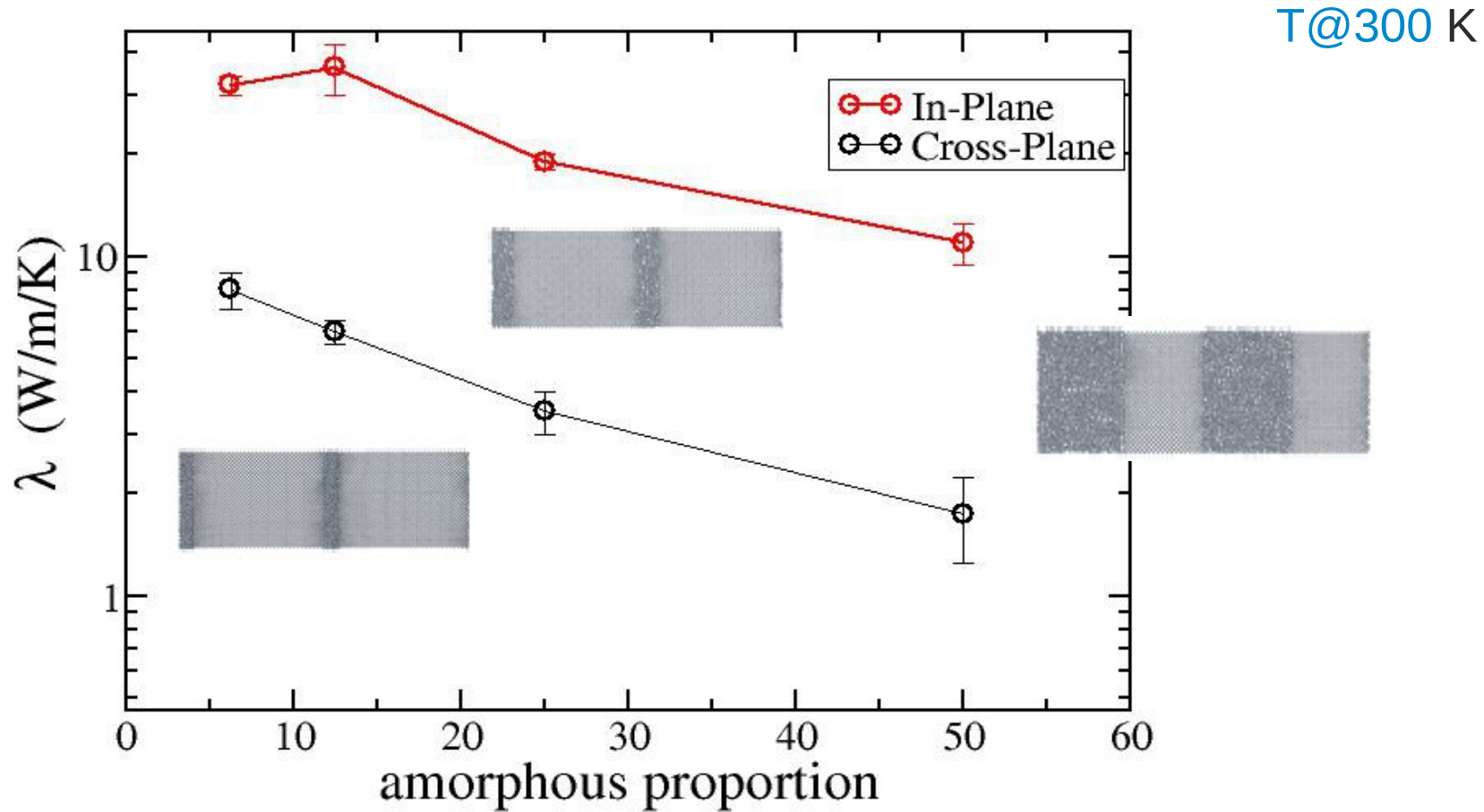
$$\lambda(\omega) = \frac{1}{V k_B T^2} \int_0^\infty \langle J(t) J(0) \rangle \exp(i\omega t) dt$$

Thermal conductivity : Green-Kubo equilibrium simulations

Advantages : - less severe finite size effects

- access to the full thermal conductivity tensor in a single simulation (anisotropic materials, superlattices)

Inconvenients : -need to run several independent simulations (usually 10 to 20)
-the plateau is sometimes difficult to identify (in principle, in a finite system the Green-Kubo formula should give a vanishing conductivity ...)

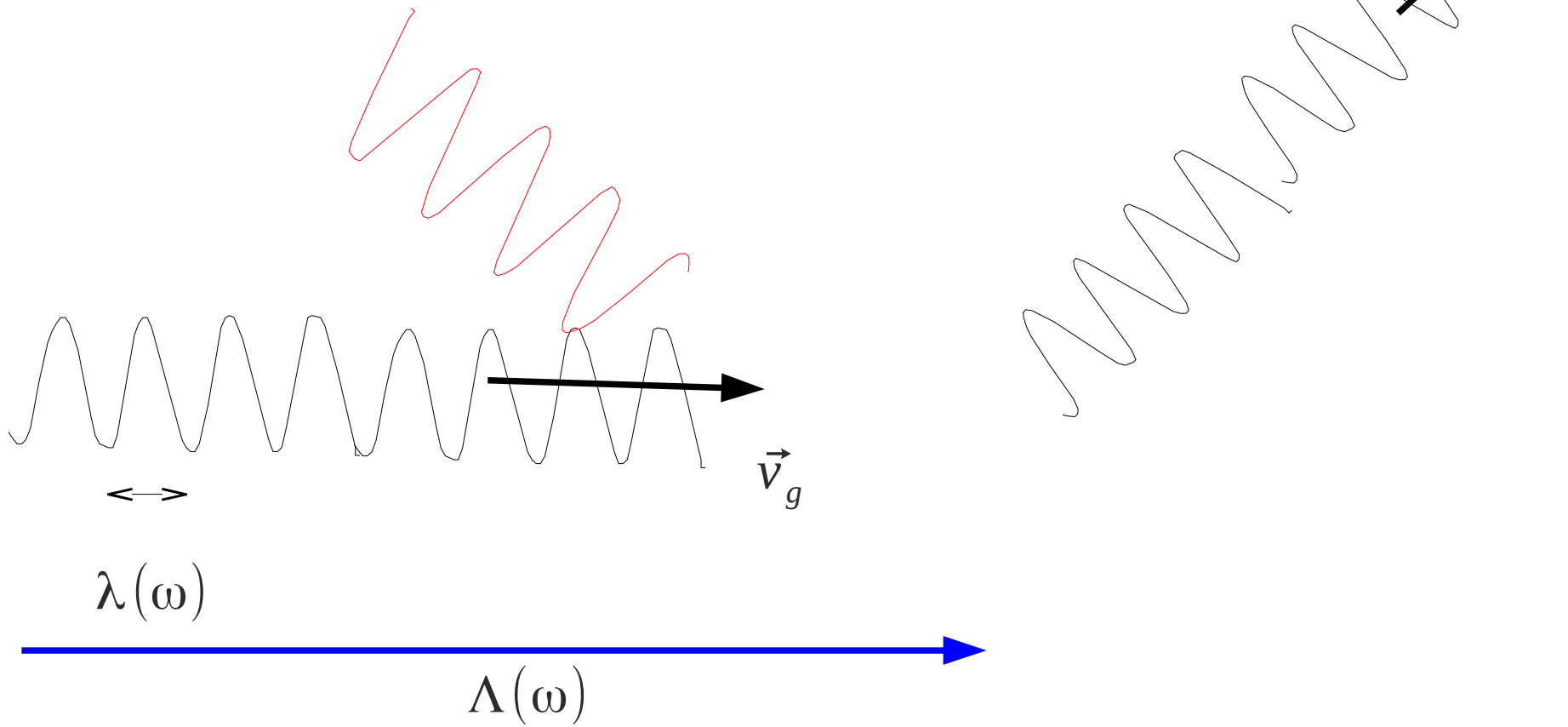


A.F. Lannord, SM, T. Albaret, D. Lacroix, K. Termentzidis, 2014

Kinetic formula : $\lambda = \frac{1}{3} \sum_{\vec{k}} c_v v_g \Lambda_{\vec{k}}$

Phonon lifetime $\tau(\omega)$

Mean Free path $\Lambda(\omega) = v_g \tau(\omega)$



Local phonon occupation number : $n \equiv n(\vec{k}, s, \vec{r}, t)$

Boltzmann transport equation : $\frac{\partial n}{\partial t} + \vec{v}_g \cdot \vec{\nabla} n = \left(\frac{\partial n}{\partial t} \right)_{coll}$

Single relaxation time approximation : $\frac{\partial n}{\partial t} + \vec{v}_g \cdot \vec{\nabla} n = -\frac{(n - n_{eq})}{\tau(\vec{k}, s)}$

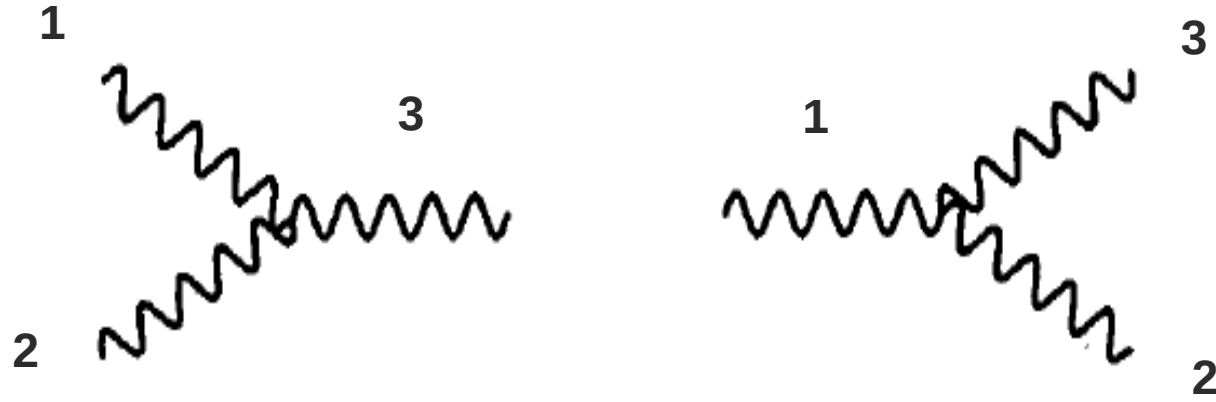
Thermal conductivity : $\lambda = \frac{\rho}{3} \int_0^{\omega_{max}} g(\omega) C_v(\omega) v_g^2(\omega) \tau(\omega) d\omega$

$$\lambda = \frac{1}{3} \sum_{\vec{k}} c_v v_g \Lambda_{\vec{k}}$$

Mean free path

$$\Lambda(\omega) = v_g \tau(\omega)$$

Relaxation times : phonon-phonon scattering

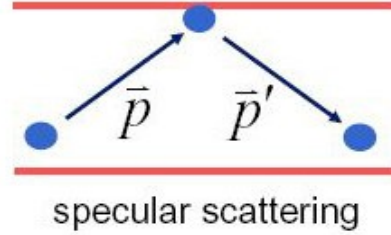


Three phonons Umklapp processes : $\tau^{-1}(\omega, T) = A \omega^2 T \exp(-B/T)$

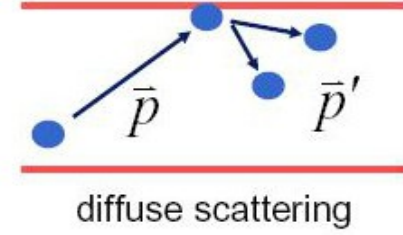
Klemens formula $\tau^{-1}(\omega, T) = \gamma^2 \frac{k_B T}{M v^2} \frac{\omega^2}{\omega_D^2}$

Boundary scattering

$$\tau_b = \frac{v}{L}$$

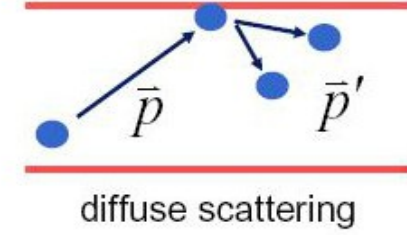
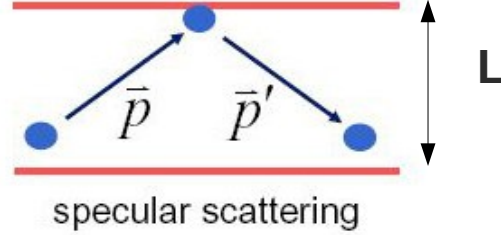


L



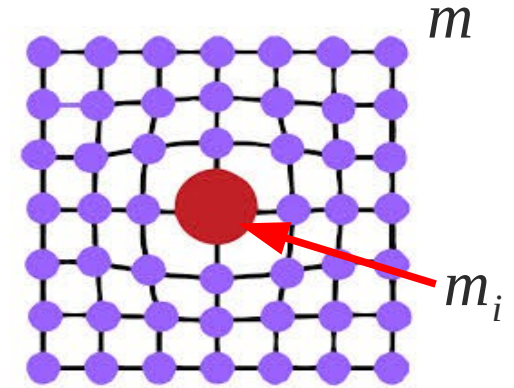
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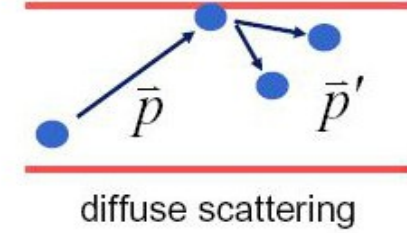
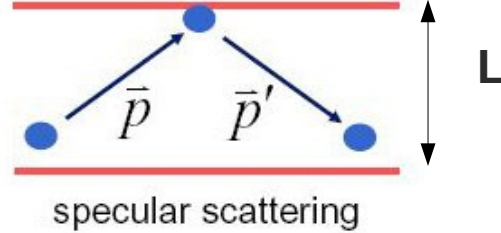
Point defects

$$\tau_m^{-1} = V \left(\frac{m_i - m}{m} \right)^2 \frac{\omega^4}{4\pi v^3}$$



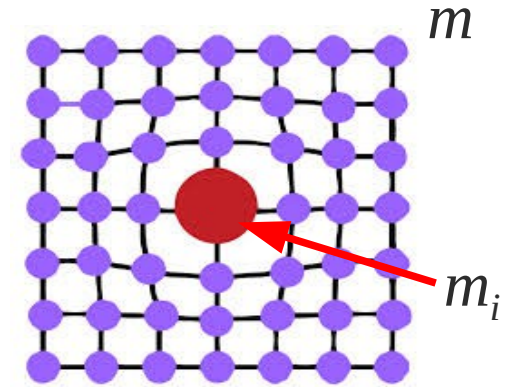
Boundary scattering

$$\tau_b = \frac{v}{L}$$



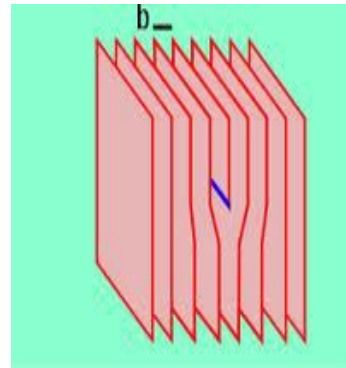
Point defects

$$\tau_m^{-1} = V \left(\frac{m_i - m}{m} \right)^2 \frac{\omega^4}{4\pi v^3}$$



Dislocations

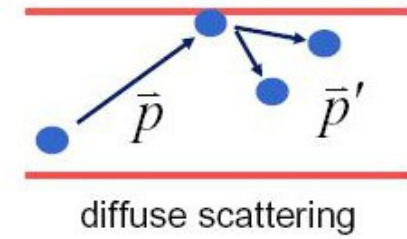
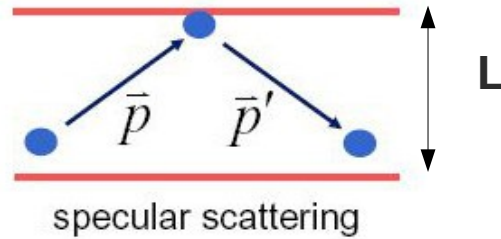
$$\tau_d^{-1} = N_d b^2 \omega$$



number of dislocation lines per unit area

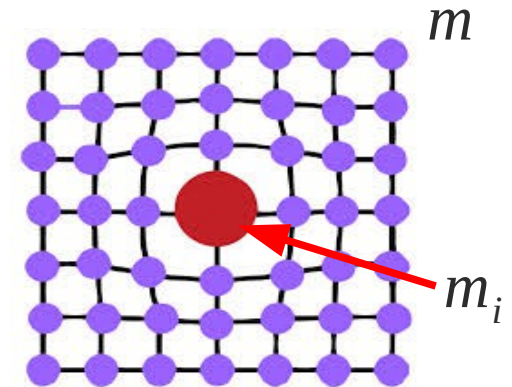
Boundary scattering

$$\tau_b = \frac{v}{L}$$



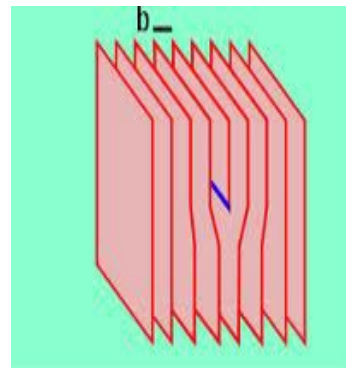
Point defects

$$\tau_m^{-1} = V \left(\frac{m_i - m}{m} \right)^2 \frac{\omega^4}{4\pi v^3}$$



Dislocations

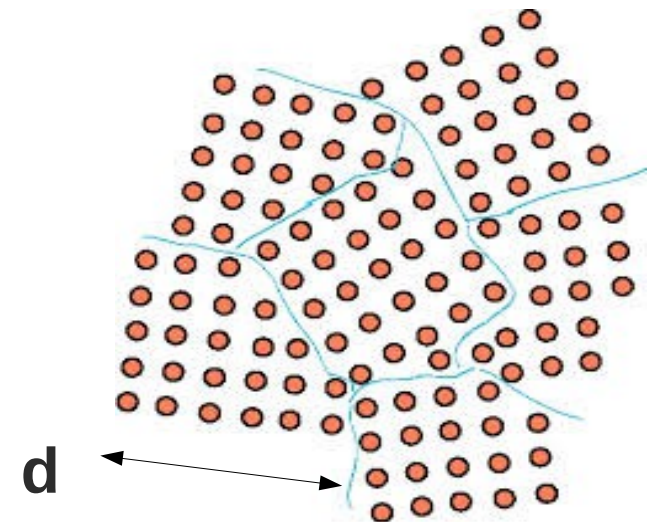
$$\tau_d^{-1} = N_d b^2 \omega$$



number of dislocation lines per unit area

Grain boundaries

$$\tau_g \simeq \frac{v}{d}$$



Matthiessen's rule

$$\frac{1}{\tau} = \frac{1}{\tau_{3ph}} + \frac{1}{\tau_b} + \frac{1}{\tau_m} + \frac{1}{\tau_d} + \frac{1}{\tau_g}$$

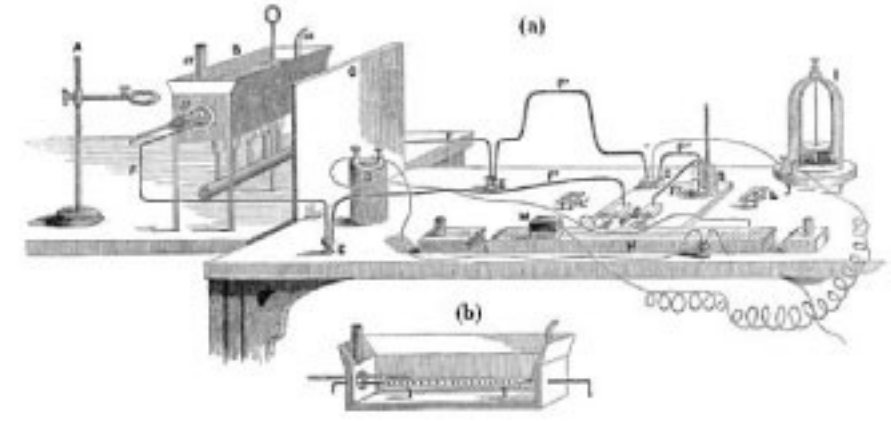
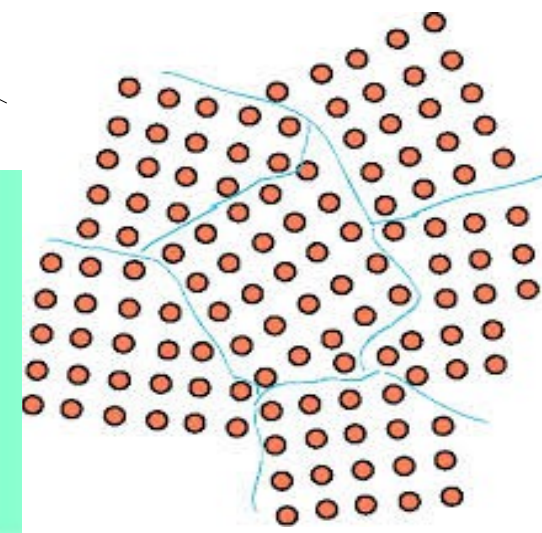
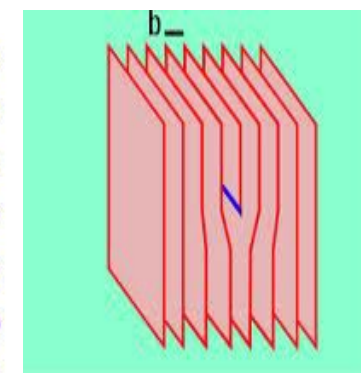
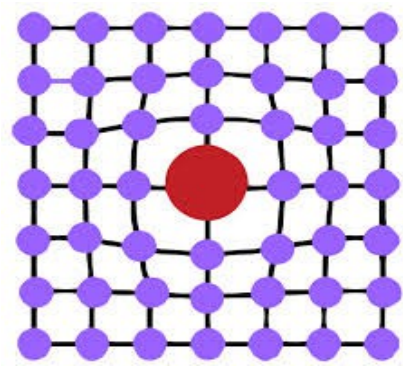
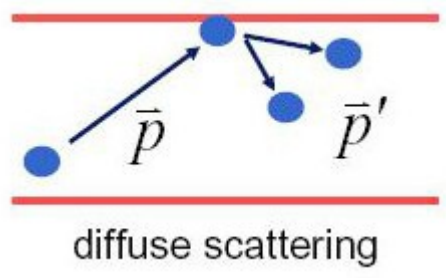
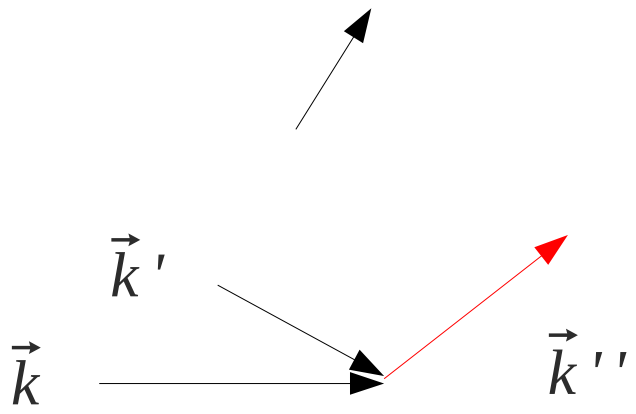
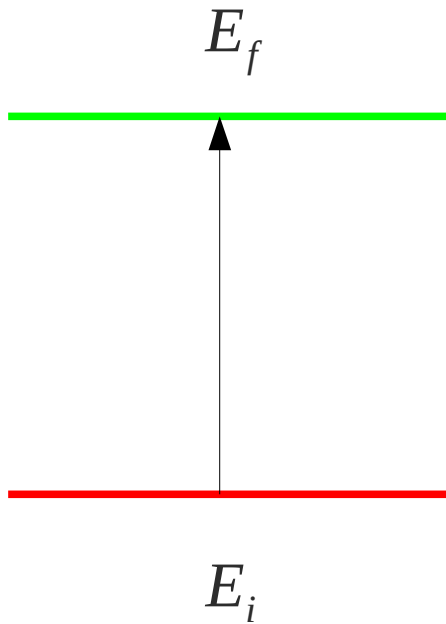


Figure 7. Matthiessen's apparatus. Credit: Ref. [32].



Phonon-phonon scattering : Perturbation theory



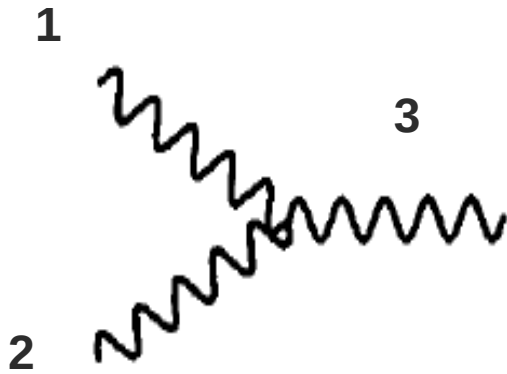
Hamiltonian :

$$H = H_0 + H'$$

$$P_i^f = \frac{2\pi}{\hbar} |\langle i | H' | f \rangle|^2 \rho(E_i) \delta(E_f - E_i)$$

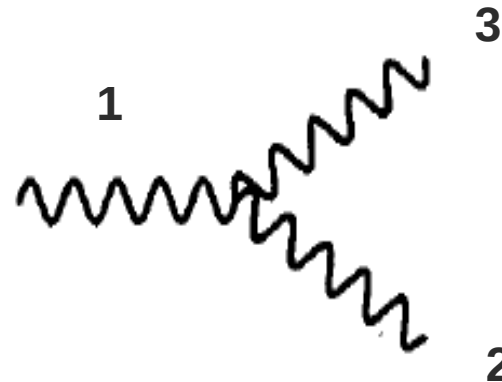


E. Fermi



Initial state

Final state



Initial state

Final state

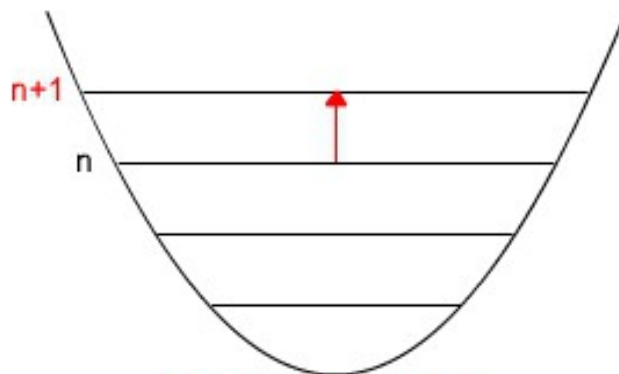
Thermal conductivity : perturbation Hamiltonian

Reference Hamiltonian :
(harmonic crystal)

$$H_0 = \frac{1}{2} \sum_{i,j,\alpha,\beta} \Phi_{ij}^{\alpha,\beta} u_{i,\alpha} u_{j,\beta}$$

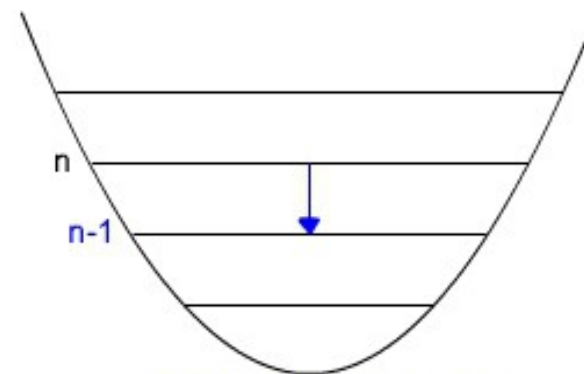
$$H_0 = \sum_{\vec{k},s} \hbar \omega(\vec{k},s) \left(\hat{a}^\dagger(\vec{k},s) \hat{a}(\vec{k},s) + \frac{1}{2} \right)$$

operator \hat{a}^\dagger



Opérateur de création

operator \hat{a}



Opérateur d'annihilation

Thermal conductivity : perturbation Hamiltonian

Reference Hamiltonian :
(harmonic crystal)

$$H_0 = \frac{1}{2} \sum_{i,j,\alpha,\beta} \Phi_{ij}^{\alpha,\beta} u_{i,\alpha} u_{j,\beta}$$

$$H_0 = \sum_{\vec{k},s} \hbar \omega(\vec{k},s) \left(\hat{a}^+(\vec{k},s) \hat{a}(\vec{k},s) + \frac{1}{2} \right)$$

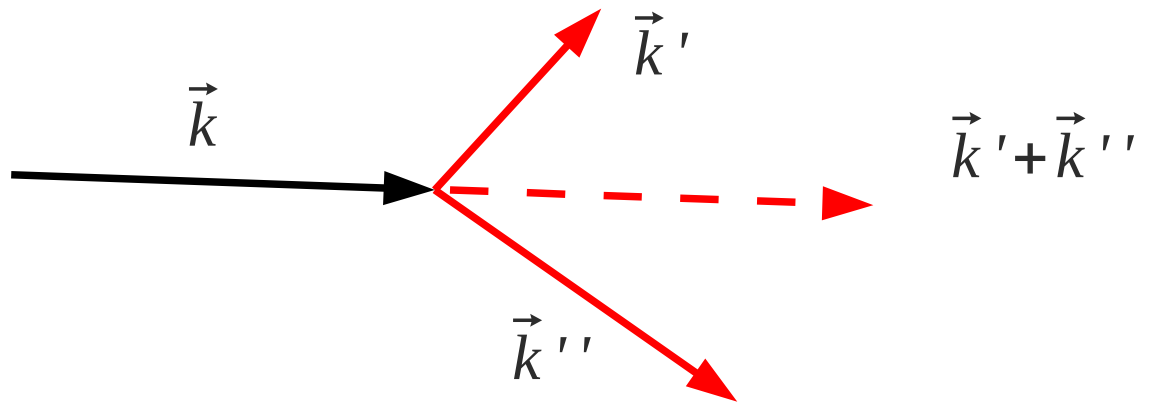
Perturbation Hamiltonian :

$$H' = \frac{1}{6} \sum_{i,j,k,\alpha,\beta,\gamma} X_{ijk}^{\alpha,\beta,\gamma} u_{i,\alpha} u_{j,\beta} u_{k,\gamma}$$

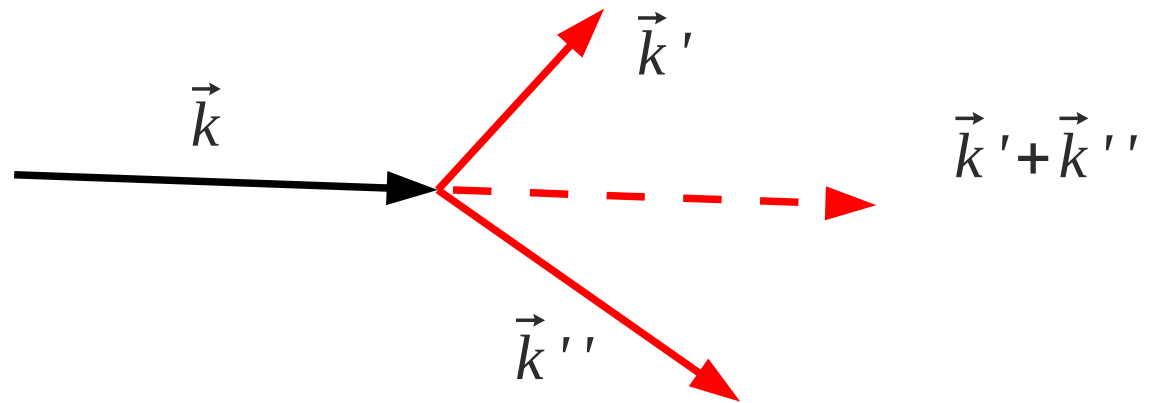
$$H' = \sum_{\vec{k},s,\vec{k}',s',\vec{k}'',s''} \delta_{\vec{G},\vec{k}+\vec{k}'+\vec{k}''} V(\vec{k},s,\vec{k}',s',\vec{k}'',s'') \\ (\hat{a}^+(\vec{k},s) - \hat{a}(-\vec{k},s)) (\hat{a}^+(\vec{k}',s') - \hat{a}(-\vec{k}',s')) (\hat{a}^+(\vec{k}'',s'') - \hat{a}(-\vec{k}'',s''))$$

\vec{G} : Reciprocal lattice vector

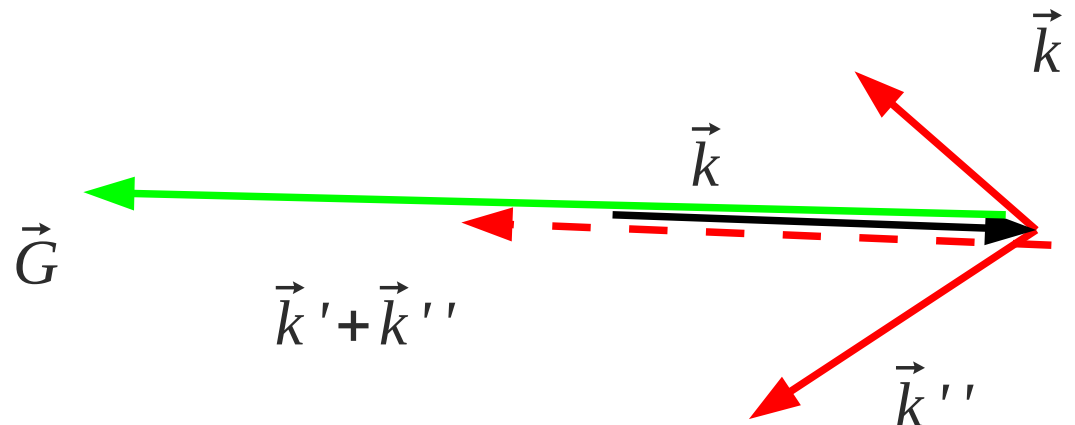
Normal processes $\vec{k} = \vec{k}' + \vec{k}''$



Normal processes $\vec{k} = \vec{k}' + \vec{k}''$



Umklapp processes $\vec{k} = \vec{k}' + \vec{k}'' + \vec{G}$



$$\hat{a}^+(\vec{k})\hat{a}^+(\vec{k}')\hat{a}^+(\vec{k}'')$$

Simultaneous creation of three phonons

$$\hat{a}^+(\vec{k})\hat{a}^+(\vec{k}')\hat{a}(\vec{k}'')$$

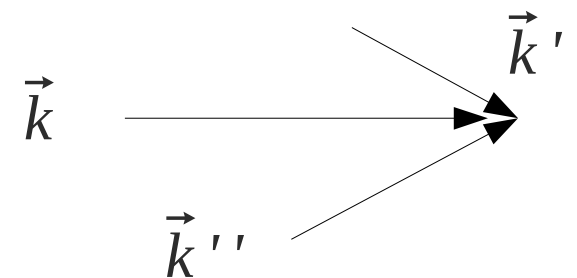
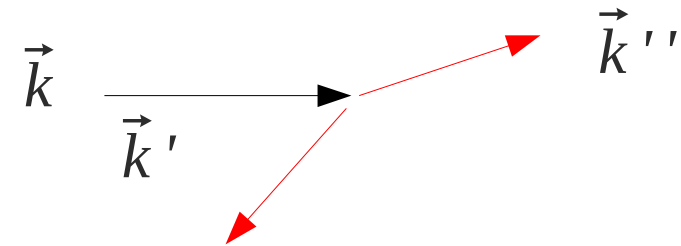
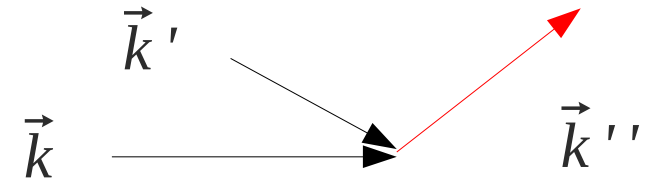
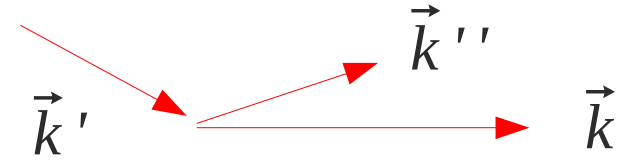
Destruction of two phonons and creation of one phonon

$$\hat{a}^+(\vec{k})\hat{a}(\vec{k}')\hat{a}(\vec{k}'')$$

Destruction of one phonon and creation of two phonons

$$\hat{a}(\vec{k})\hat{a}(\vec{k}')\hat{a}(\vec{k}'')$$

Simultaneous destruction of three phonons

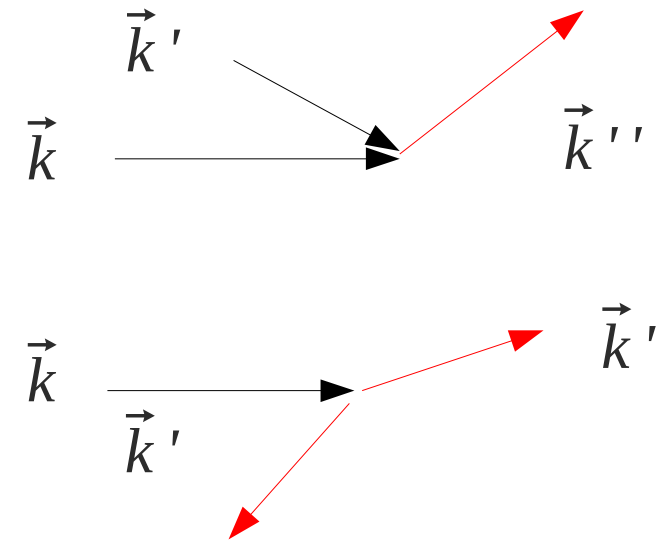


$$\hat{a}^+(\vec{k})\hat{a}^+(\vec{k}')\hat{a}(\vec{k}'')$$

Destruction of two phonons and creation of one phonon

$$\hat{a}^+(\vec{k})\hat{a}(\vec{k}')\hat{a}(\vec{k}'')$$

Destruction of one phonon and creation of two phonons



Only these processes do not violate energy conservation !!

Class 1 events :

$$\frac{1}{\tau(\vec{k})} = \sum_{\vec{k}', \vec{k}''} \vec{G}_{\vec{k}', \vec{k}''} |\gamma|^2 n'_{eq} \frac{(n''_{eq} + 1)}{(n_{eq} + 1)} \delta(\omega + \omega' - \omega'')$$

Class 1 events :
$$\frac{1}{\tau(\vec{k})} = \sum_{\vec{k}', \vec{k}''} \vec{G}_{\vec{k}', \vec{k}''} |\gamma|^2 n'_{eq} \frac{(n''_{eq} + 1)}{(n_{eq} + 1)} \delta(\omega + \omega' - \omega'')$$

High temperature regime :
$$n'_{eq} \simeq \frac{k_B T}{\hbar \omega'} \quad n_{eq} + 1 \simeq \frac{k_B T}{\hbar \omega'}$$

$$\frac{1}{\tau(\vec{k})} = k_B T \sum_{\vec{k}', \vec{k}''} \vec{G}_{\vec{k}', \vec{k}''} |\gamma|^2 \frac{\omega}{\omega' \omega''} \delta(\omega + \omega' - \omega'')$$

Difficult to estimate because Dispersion : $\omega' \equiv \omega'(\vec{k}')$

Crystal anisotropy : $\omega' \neq \omega'(|\vec{k}'|)$

Polarisation : $\vec{k}' \equiv (\vec{k}', s)$

Isotropic crystal, no polarisation

P.G. Klemens, « Solid State Physics » Academic Press New-York 1958

J. Callaway, « Model for lattice thermal conductivity at low temperatures », Phys. Rev. 1958

Effect of the crystal anisotropy

C. Herring, « Role of low-energy phonons in thermal conduction », Phys. Rev. 1954

Effect of the normal processes :

P. Carruthers,
« Theory of thermal conductivity of solids at low temperatures »
Rev. Mod. Phys. 1961

Effect of the polarisation

M.G. Holland, « Analysis of lattice thermal conductivity », Phys. Rev. 1963

'Exact' model for a model crystal

A.A. Maradudin and A.E. Fein,
« Scattering of neutrons by an anharmonic crystal »,
Phys. Rev., 1962

Phonon spectroscopy

Thermal conductivity :
(single relaxation time approximation)

$$\lambda = \sum_{\vec{k}, \nu} c_{\nu}(\vec{k}, \nu) v_g(\vec{k}, \nu) \Lambda(\vec{k}, \nu)$$

Phonon mean free path : $\Lambda(\vec{k}, \nu) = |\vec{v}_g(\vec{k}, \nu)| \tau(\vec{k}, \nu)$

Importance to calculate the phonon lifetimes :

- understand the physical mechanisms at play in Umklapp and/or normal process
- use the phonon lifetimes in a Monte-Carlo simulation based on the solution of Boltzmann's equation :

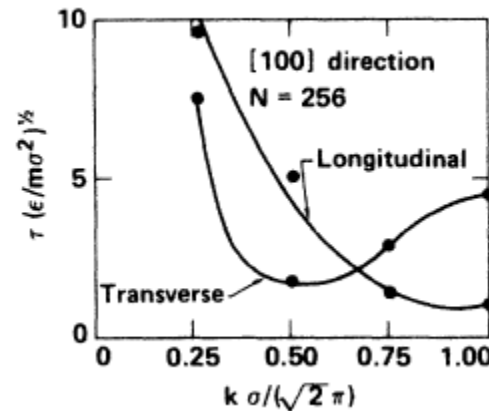
$$\frac{\partial f(\vec{k}, \nu)}{\partial t} + \vec{v}_g \cdot \vec{\nabla} f(\vec{k}, \nu) = -\frac{f - f_{eq}(\vec{k}, \nu)}{\tau(\vec{k}, \nu)}$$

allows large scale simulations-> nanowires, superlattices ...

Phonon lifetimes : some elements of history

First computation of phonon lifetimes in MD goes back to 1986 !

Ladd, Moran and Hoover,
Phys. Rev. B, 34 (1986) 5058

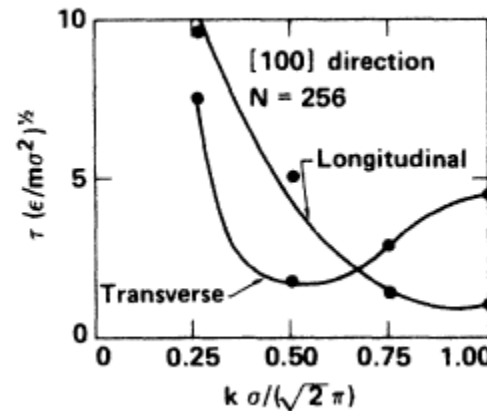


Small system sizes => limited range of k values, but this idea was there

Phonon lifetimes : some elements of history

First computation of phonon lifetimes in MD goes back to 1986 !

Ladd, Moran and Hoover,
Phys. Rev. B, 34 (1986) 5058



Small system sizes => limited range of k values, but this idea was there

Until 2004....almost nothing !

McGaughey, Kaviani, *Phys. Rev. B* 69 (2004) 094303

Since then, considerable amount of work to describe :

- silicon (Henry and Chen, *J. Comp. Theo. Nanores.*, 2008)
- alloys (Larkin and Mcgaughey, *J. App. Phys.* 114 (2013) 023507)
- amorphous solids (He, Donadio, Galli, *App. Phys. Lett.*, 98 (2011)144101)
- polymers (Henry and Chen, *Phys. Rev. B* 79 (2009) 144305)

How to put it in practice ?

1. Determine the harmonic frequencies and eigenvectors :

$$\omega^2(\vec{k}) \vec{e}(\vec{k}) = D(\vec{k}) \cdot \vec{e}(\vec{k}) \quad \text{dynamic matrix}$$

2. Run MD simulations and compute the normal coordinates :

$$Q(\vec{k}, t) = \sum_i \left(\frac{m_i}{N} \right)^{(1/2)} \exp(i \vec{k} \cdot \vec{r}_i^0) \vec{e}_i(\vec{k}) \vec{u}_i(t)$$

3. Calculate the kinetic and potential energies : $T(\vec{k}, t) = \frac{1}{2} \frac{dQ}{dt}(\vec{k}) \frac{dQ}{dt}(-\vec{k})$

$$U(\vec{k}, t) = \frac{1}{2} \omega^2(\vec{k}) Q(\vec{k}) Q(-\vec{k})$$

$$E(\vec{k}, t) = T(\vec{k}, t) + U(\vec{k}, t)$$

$$\tau(\vec{k}) = \int_0^\infty \frac{\langle E(\vec{k}, t) E(\vec{k}, 0) \rangle}{\langle E(\vec{k}, t)^2 \rangle} dt$$

But this route doesnot give access to the anharmonic frequencies $\omega_a(\vec{k})$!!

How to extract the phonon lifetime of mode k ?

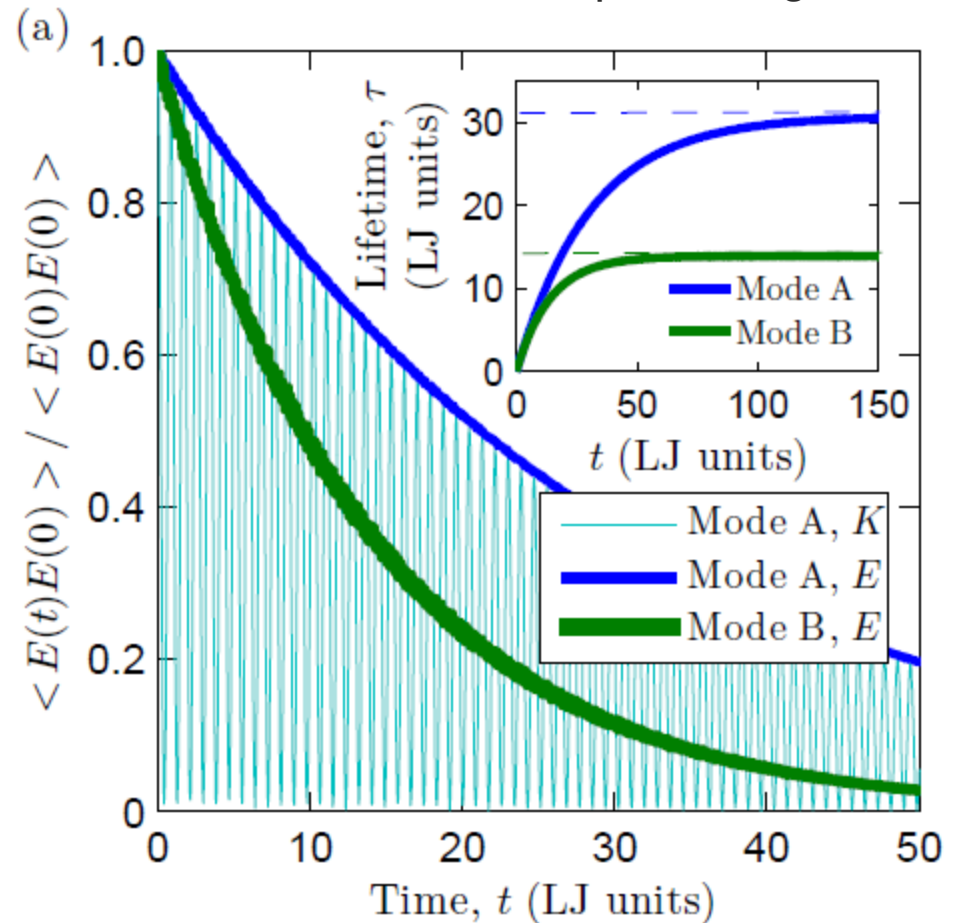
$$\frac{\langle T(\vec{k}, t) T(\vec{k}, 0) \rangle}{\langle T^2(\vec{k}, t) \rangle} = \cos^2(\omega_a(\vec{k})t) \exp(-t/\tau(\vec{k}))$$

$$\frac{\langle E(\vec{k}, t) E(\vec{k}, 0) \rangle}{\langle E^2(\vec{k}, t) \rangle} = \exp(-t/\tau(\vec{k}))$$

$$\tau(\vec{k}) = \int_0^\infty \frac{\langle E(\vec{k}, t) E(\vec{k}, 0) \rangle}{\langle E(\vec{k}, t)^2 \rangle} dt$$

Turney, Landry, McGaughey, Amon,
Phys. Rev. B, 2009

Example LJ Argon, 10 K



Alternative computation : the frequency domain decomposition

3. Calculate the kinetic and potential energies : $T(\vec{k}, t) = \frac{1}{2} \frac{dQ}{dt}(\vec{k}) \frac{dQ}{dt}(-\vec{k})$

Expectation value : $\langle T(\vec{k}, t) \rangle = \lim_{\tau_0 \rightarrow \infty} \frac{1}{2\tau_0} \int_0^{\tau_0} \frac{dQ}{dt}(\vec{k}, t) \frac{dQ}{dt}(-\vec{k}, t) dt$

Parseval theorem : $\langle \tilde{T}(\vec{k}, \omega) \rangle = \omega^2 |\tilde{Q}(\vec{k}, \omega)|^2$

$$\tilde{Q}(\vec{k}, \omega) = \lim_{\tau_0 \rightarrow \infty} \frac{1}{\sqrt{2\pi}} \int_0^{\tau_0} Q(\vec{k}, t) \exp(-i\omega t) dt$$

Spectral energy density

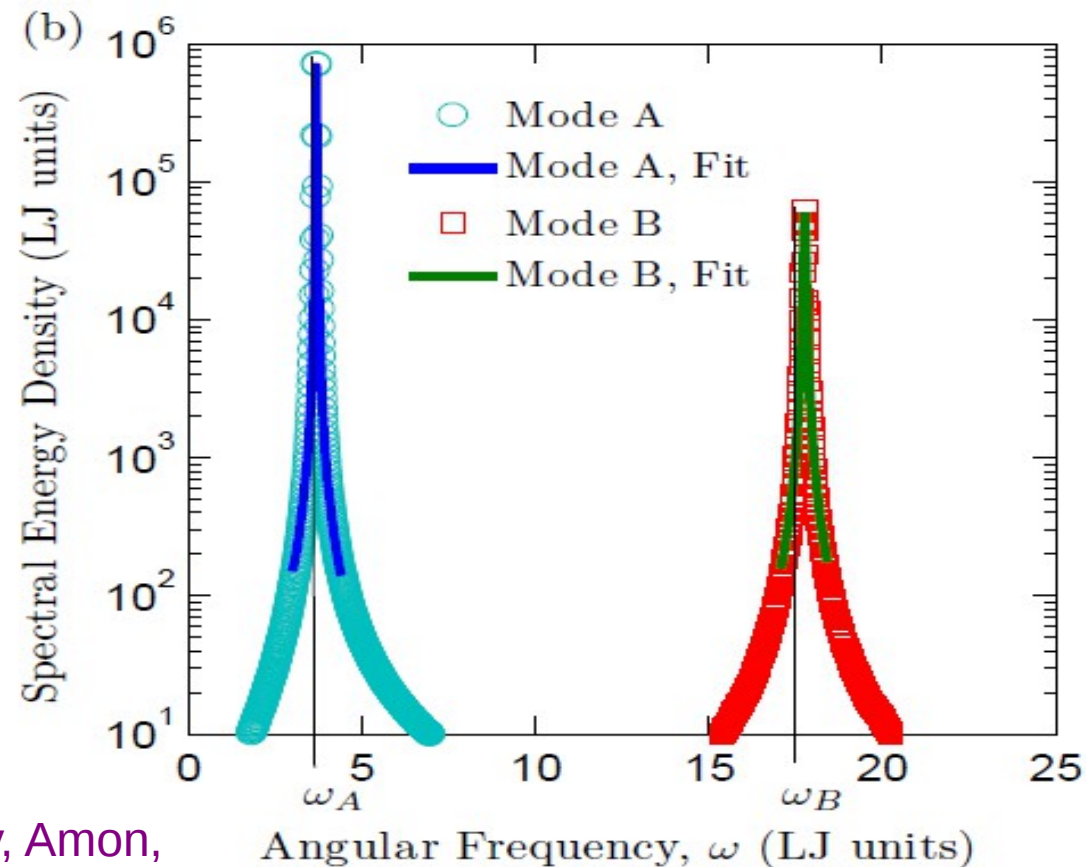
$$\langle \tilde{T}(\vec{k}, \omega) \rangle = C(\vec{k}) \frac{1/2 \pi \tau(\vec{k})}{(\omega_a(\vec{k}) - \omega)^2 + (1/4 \pi \tau(\vec{k}))^2}$$

Alternative computation : the frequency domain decomposition

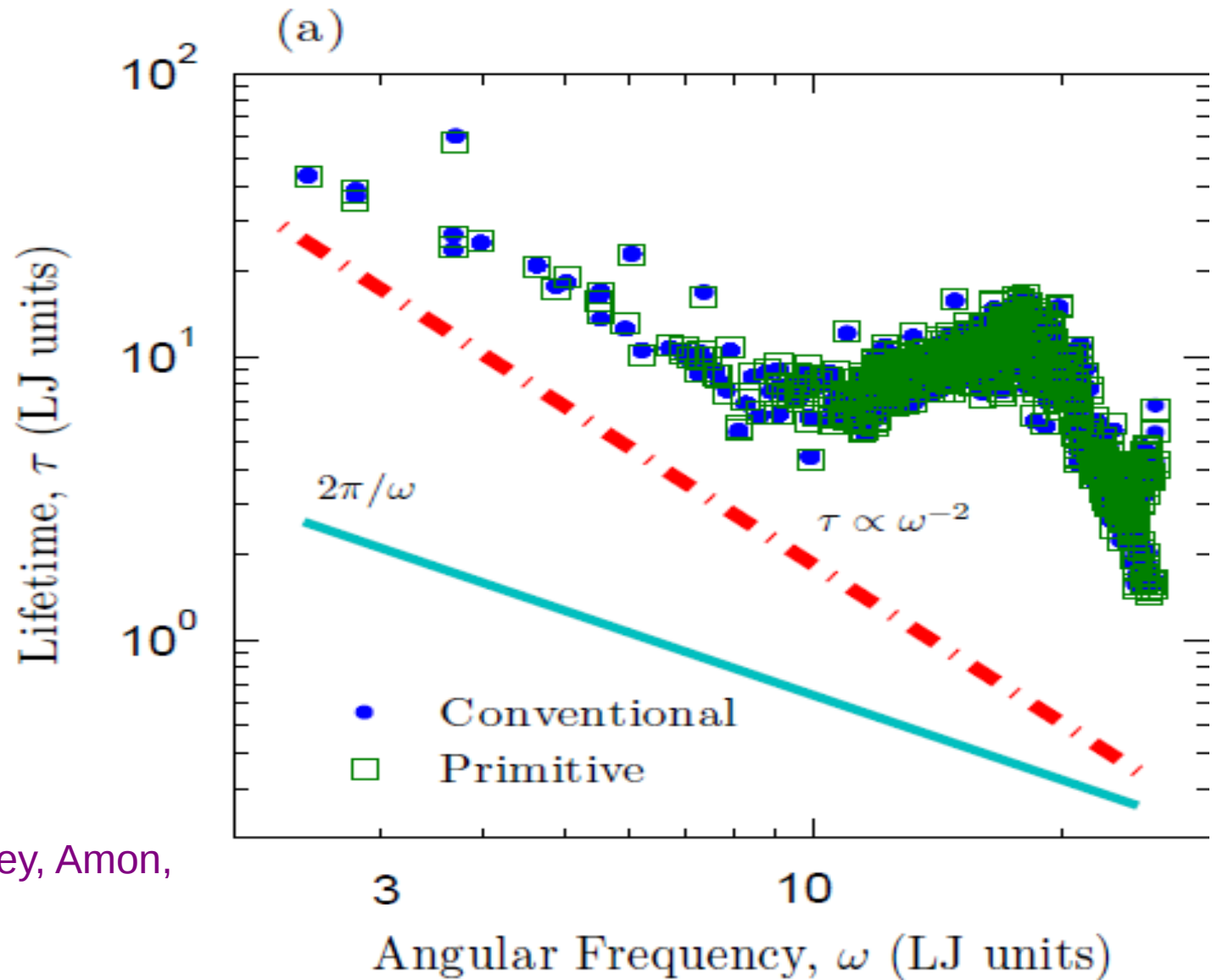
Spectral energy density

$$\langle \tilde{T}(\vec{k}, \omega) \rangle = C(\vec{k}) \frac{1/2\pi\tau(\vec{k})}{(\omega_a(\vec{k}) - \omega)^2 + (1/4\pi\tau(\vec{k}))^2}$$

Example LJ Argon, 10 K



Example LJ Argon, 10 K

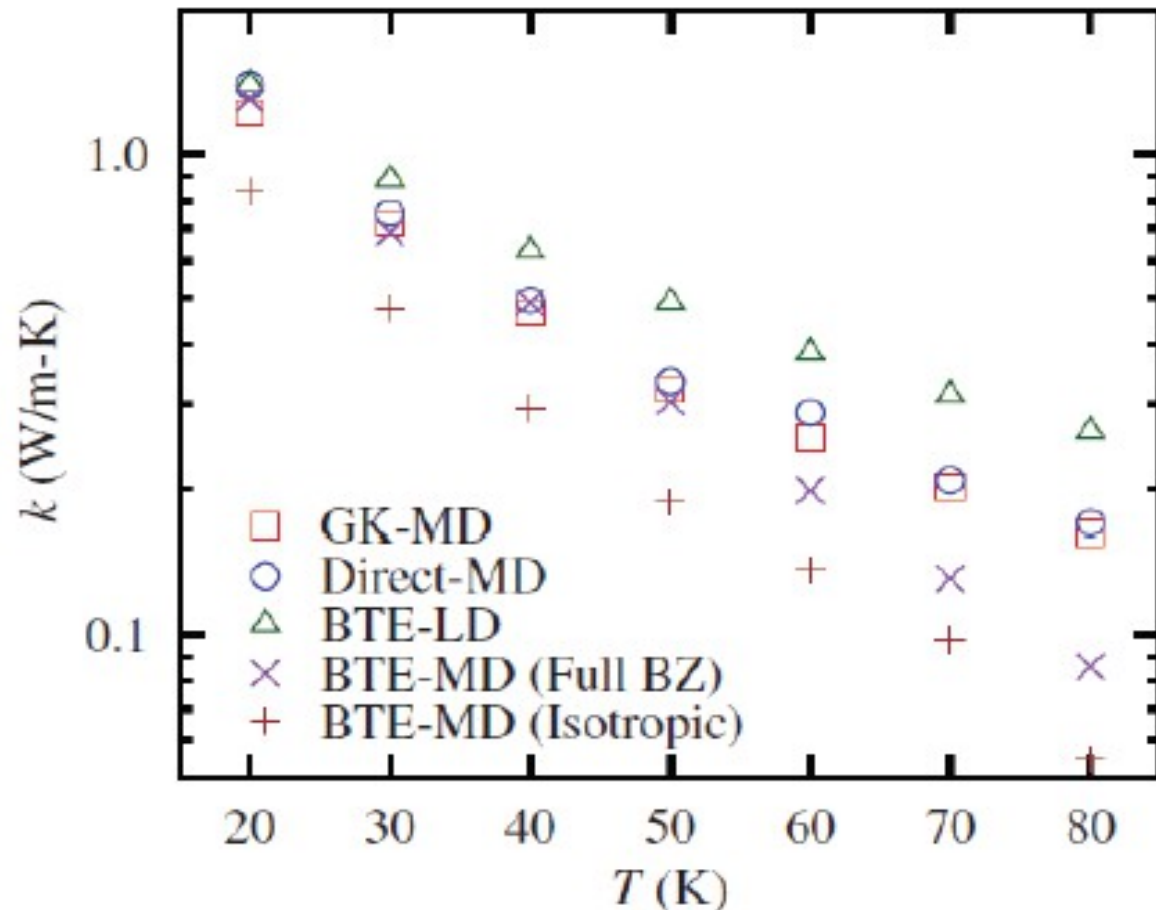


Turney, Landry, McGaughey, Amon,
Phys. Rev. B, 2009

Thermal conductivity

$$\lambda = \sum_{\vec{k}} c_v(\vec{k}) |v_g(\vec{k})| \tau(\vec{k})$$

Example LJ Argon, 10 K

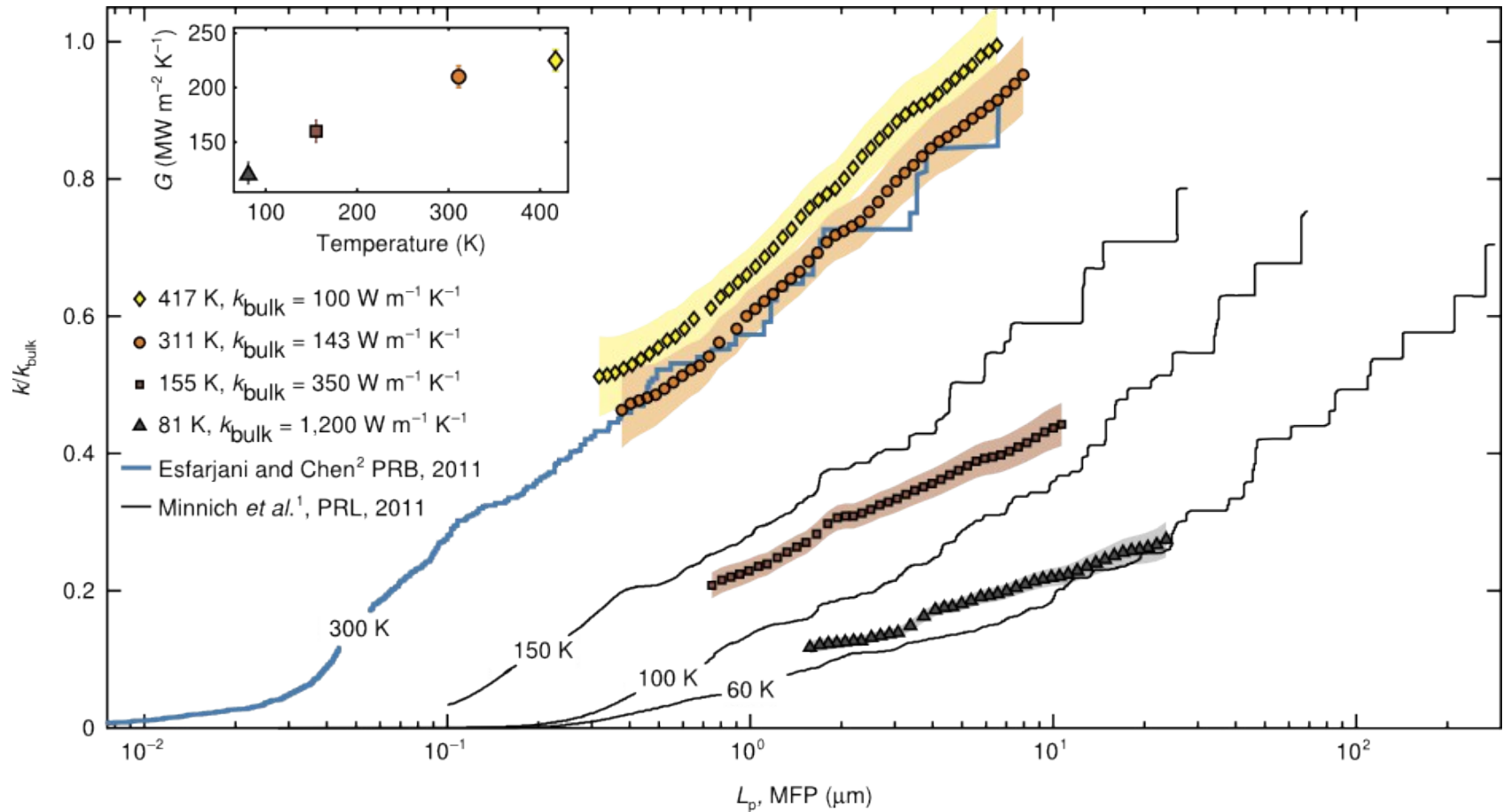


Towards large scale simulations :

Boltzmann transport equation :

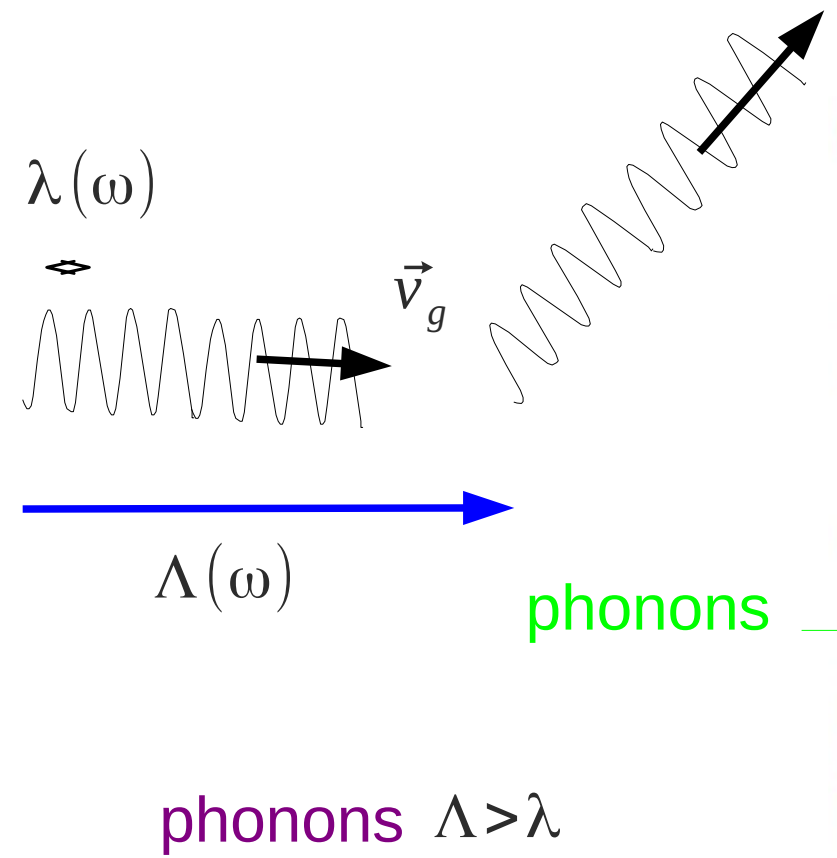
$$\frac{\partial n}{\partial t} + \vec{v}_g \cdot \vec{\nabla} n = -\frac{(n - n_{eq})}{\tau(\vec{k}, s)}$$

Crystal Si

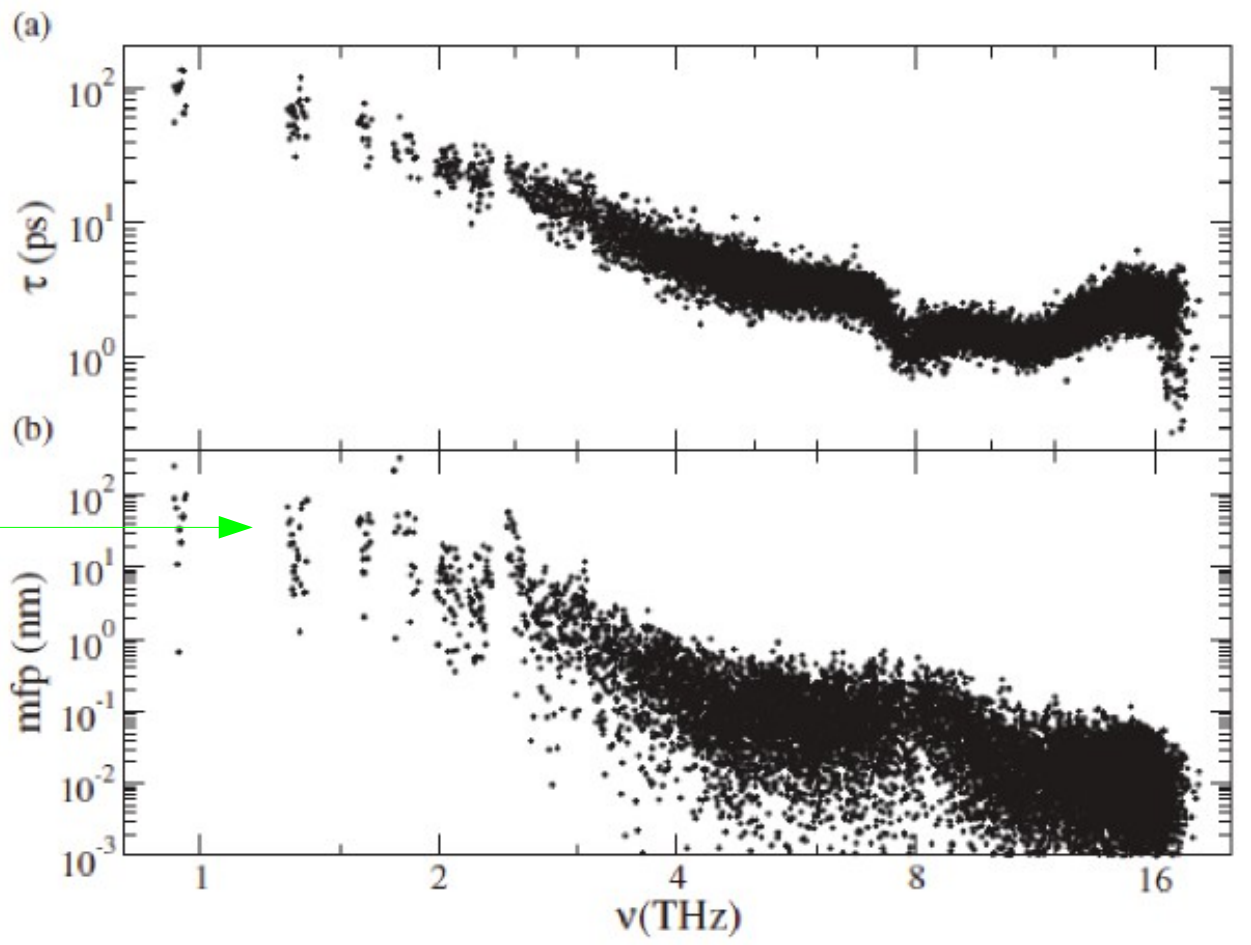


$$acc(\omega) = \int_0^\omega c_v(\omega) v g(\omega) \Lambda(\omega) d\omega$$

Amorphous Si

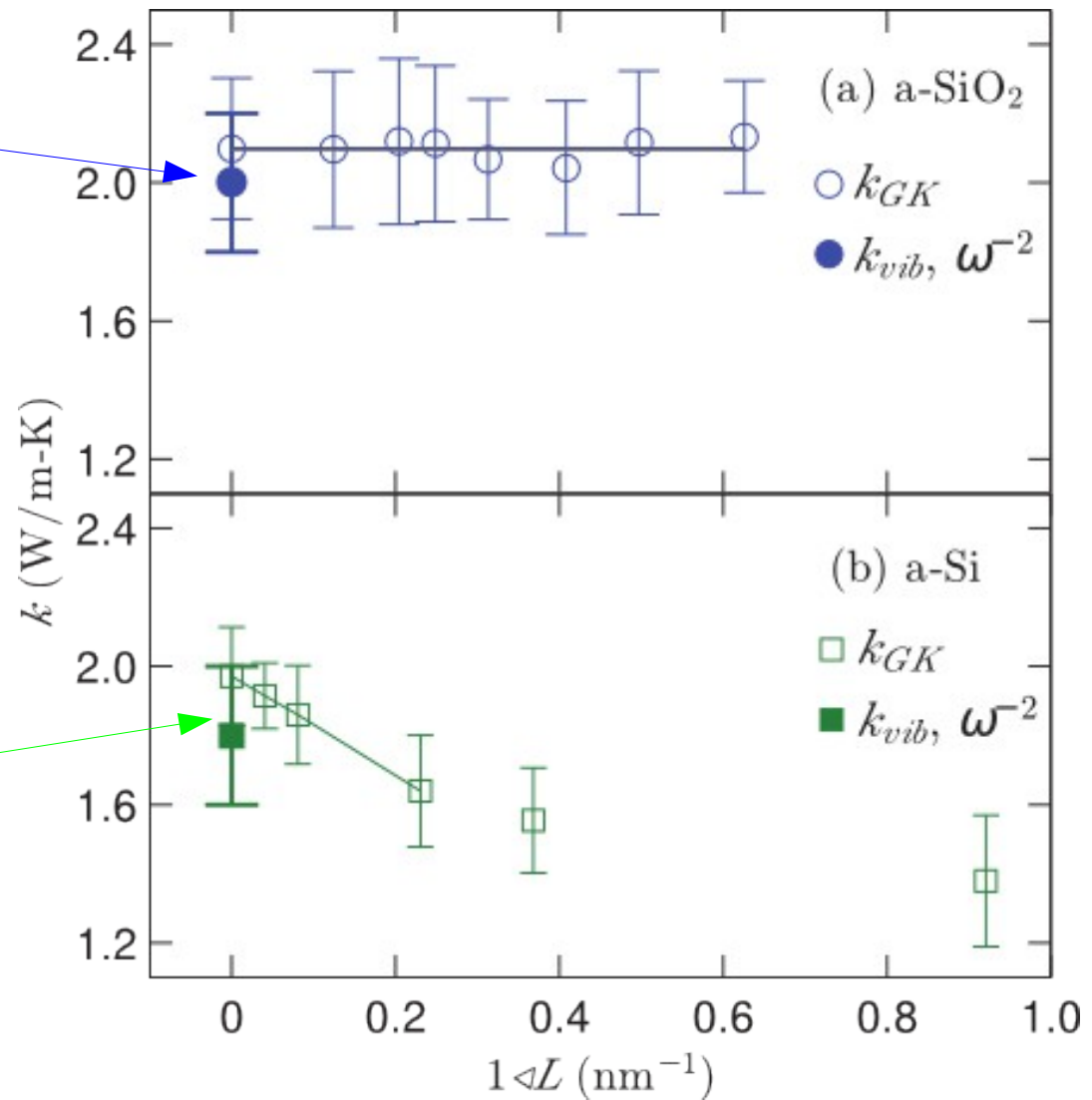


phonons



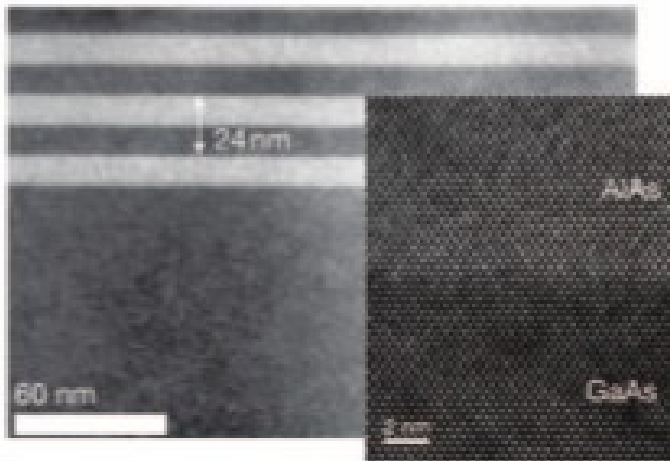
Only localized modes

phonons



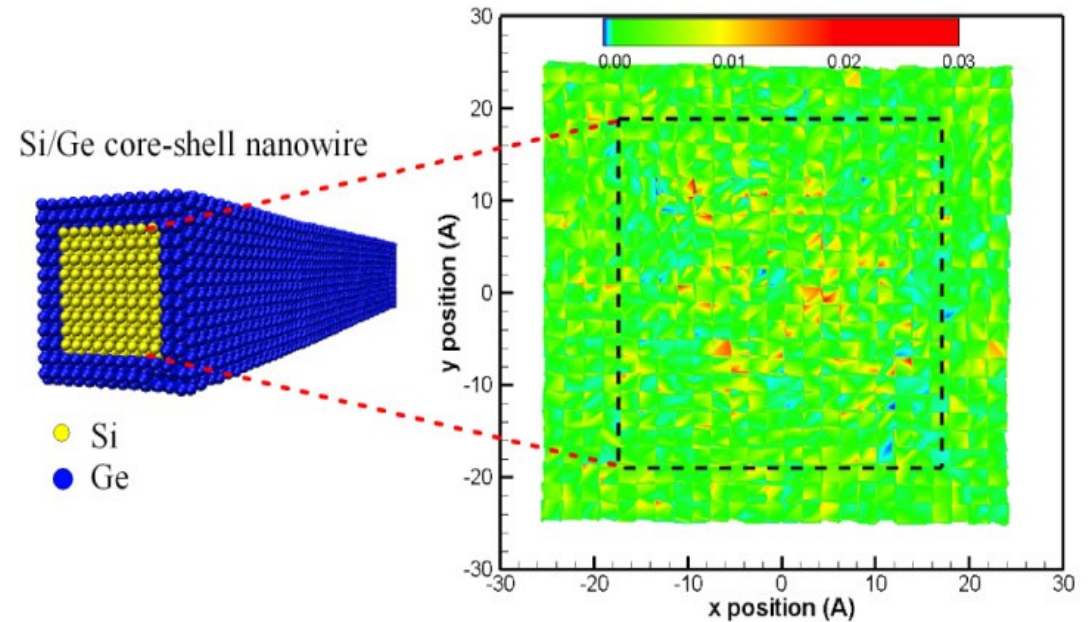
Phonon scattering at interfaces

Superlattices



Dresselhaus, Chen, Science 2012

Nanocomposites-nanowires

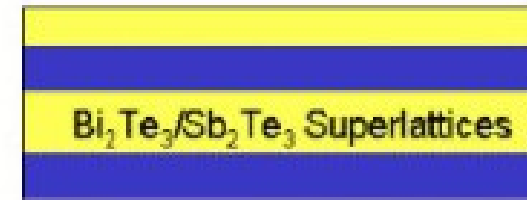
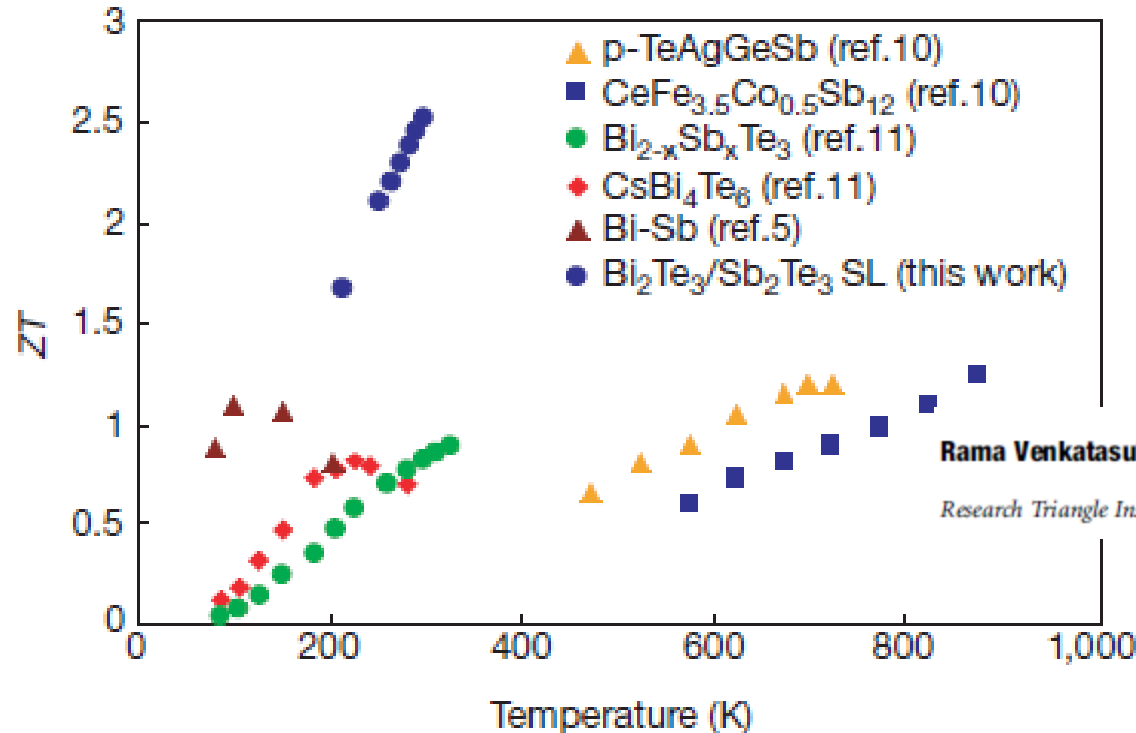


Poulikakos, NanoLetters 2011

-Deviations from Fourier's law (dimensions < phonon mean free path)

-Thermal boundary resistance

Superlattices as high ZT materials



NATURE | VOL 413 | 11 OCTOBER 2001 | www.nature.com

Rama Venkatasubramanian, Edward Siivola, Thomas Colpitts & Brooks O'Quinn

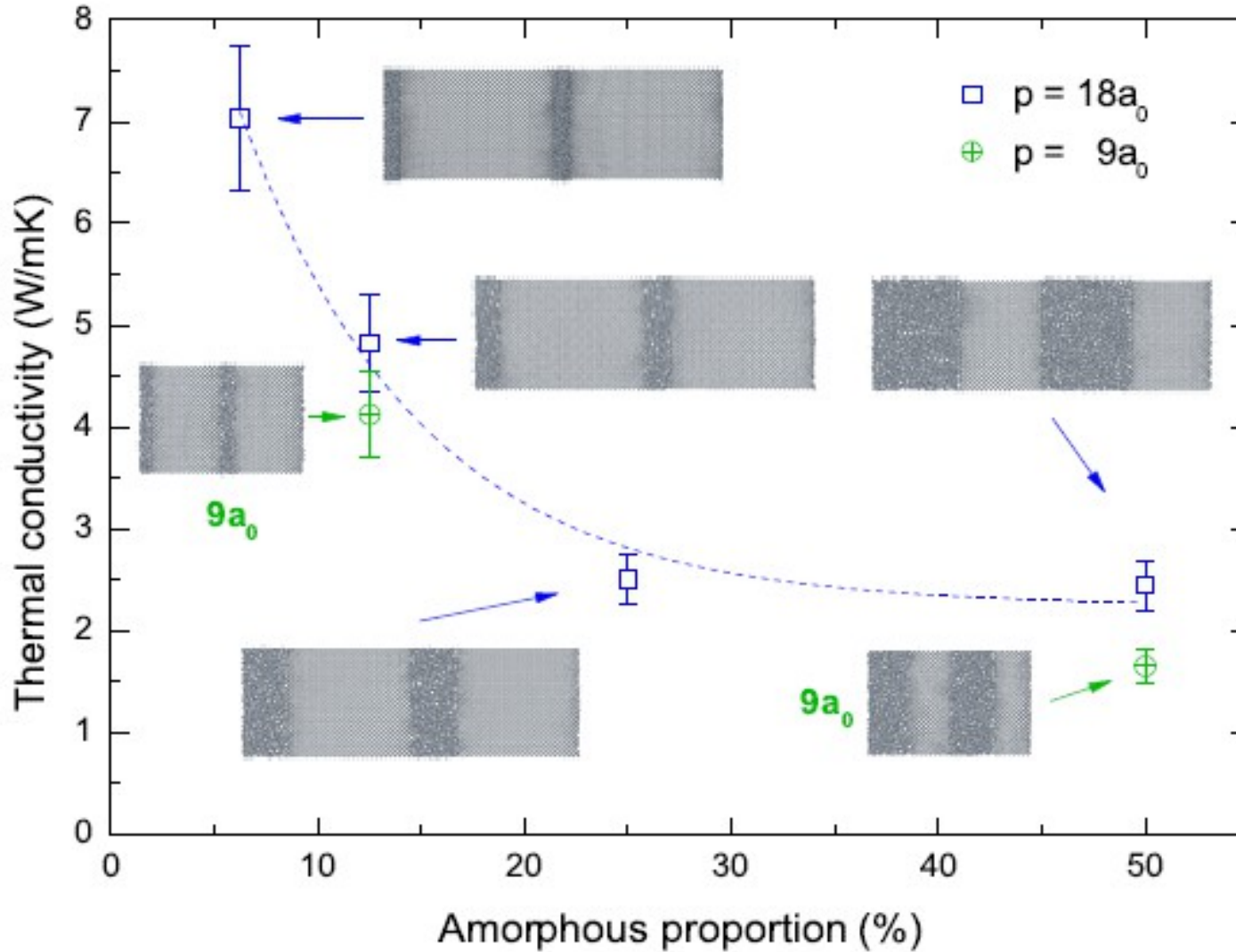
Research Triangle Institute, Research Triangle Park, North Carolina 27709, USA

$$Z = \frac{\alpha^2 \sigma}{K_L + K_e} \approx \frac{\alpha^2}{\left(\frac{K_L}{\mu\rho\eta}\right) + L_0 T}$$

Table 1 Theoretical and experimental lattice thermal conductivities

Material	Thermal conductivity (W m ⁻¹ K ⁻¹)
K_{\min} of Bi ₂ Te ₃ (a-b axis), Slack model ²⁴	0.55
K_{\min} of Bi ₂ Te ₃ (c axis), Slack model ²⁴	0.28
K_{\min} of Bi ₂ Te ₃ (a-b axis), Cahill model ²⁵	0.28
K_{\min} of Bi ₂ Te ₃ (c axis), Cahill model ²⁵	0.14
K_L of Bi _{2-x} Sb _x Te ₃ alloy (a-b axis)	0.97
K_L of Bi _{2-x} Sb _x Te ₃ alloy (c axis)	0.49
K_L of Bi ₂ Te ₃ /Sb ₂ Te ₃ superlattice (c axis)	0.22

Lattice thermal conductivity (K_L) of the Bi₂Te₃/Sb₂Te₃ superlattice (period ~50 Å) compared with K_L observed in the respective alloys and the theoretical minimum lattice thermal conductivity (K_{\min}) from various models.



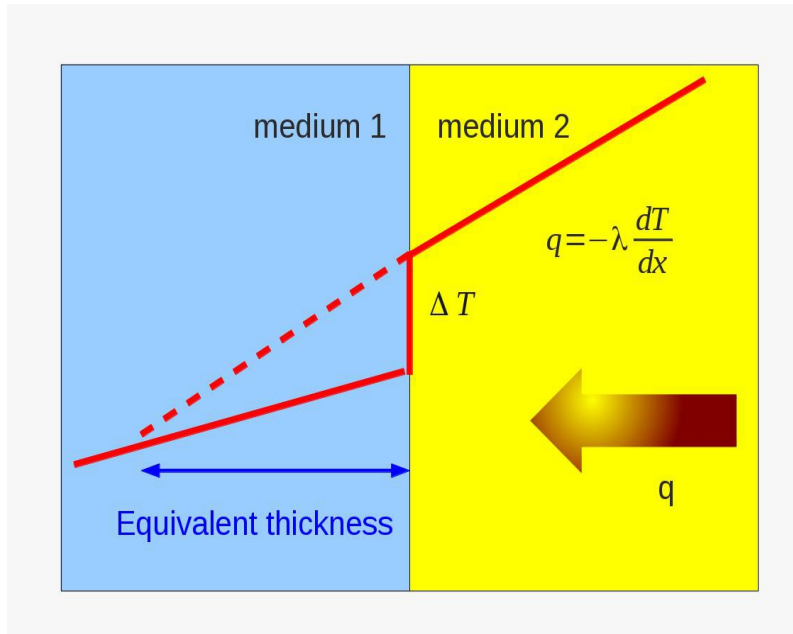
Bulk crystalline
Si @300 K

$$\lambda = 148 \text{ W / K / m}$$

Bulk amorphous
Si @300 K

$$\lambda = 0.68 \text{ W / K / m}$$

6% amorphised Silicon
=> 20 fold drop in the thermal conductivity



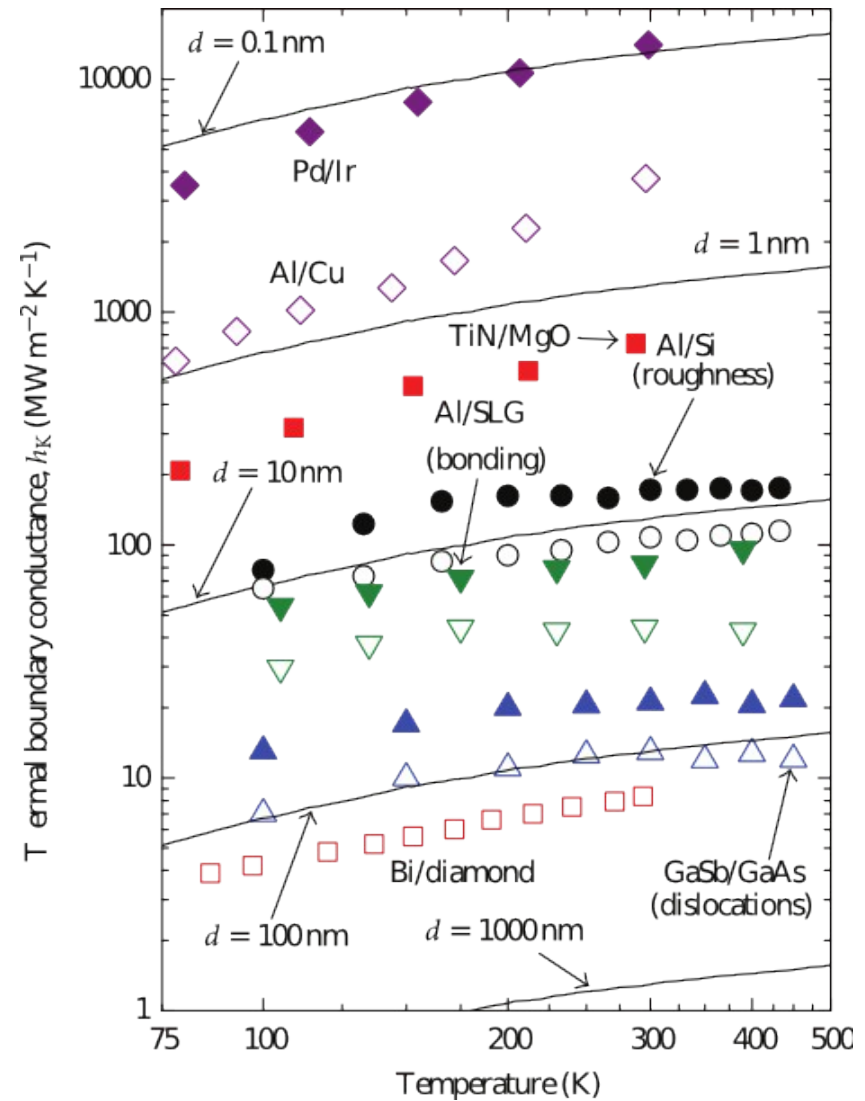
Thermal boundary conductance $G = q / \Delta T$

$$G = 1/R = \int C_v(\omega) v_g(\omega) t_{12}(\omega) d\omega$$

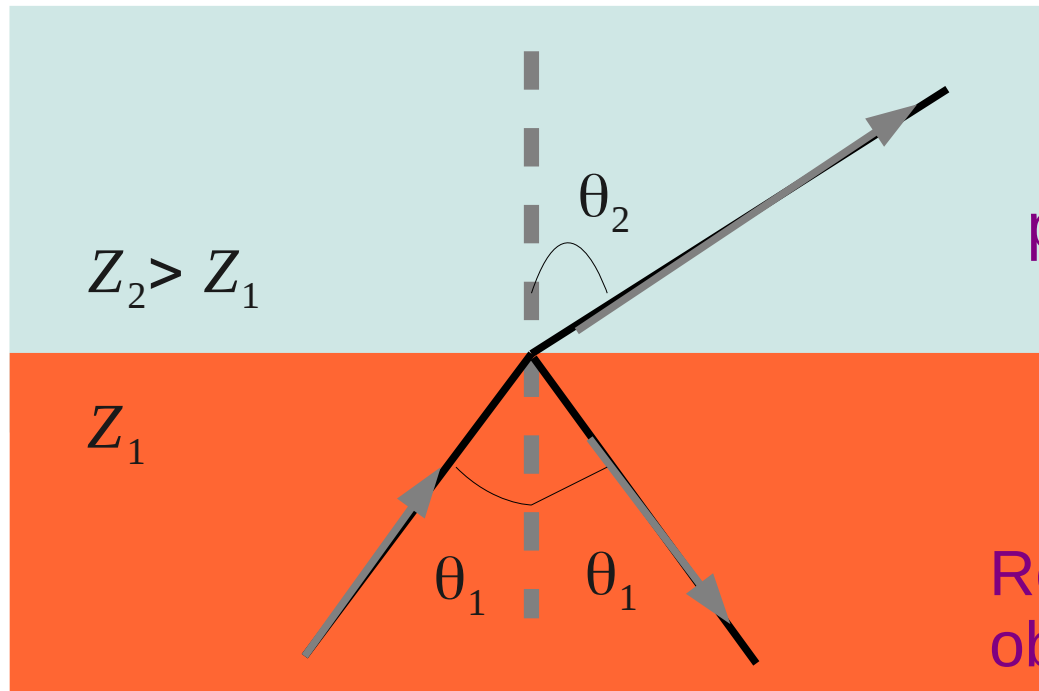
Energy transmission coefficient

$$G \simeq 1 - 1000 \text{ MW} / \text{m}^2 / \text{K}$$

Equivalent thickness : $l = \lambda / G = 1 - 1000 \text{ nm}$



Hopkins 2013



W.A. Little (1959)

Phonons treated as plane waves propagating in continuous media

acoustic impedance $Z_i = \rho_i c_i$

Reflection/refraction at the interface obey laws analogous to Snell's laws

$$\frac{\sin \theta_1}{c_1} = \frac{\sin \theta_2}{c_2}$$

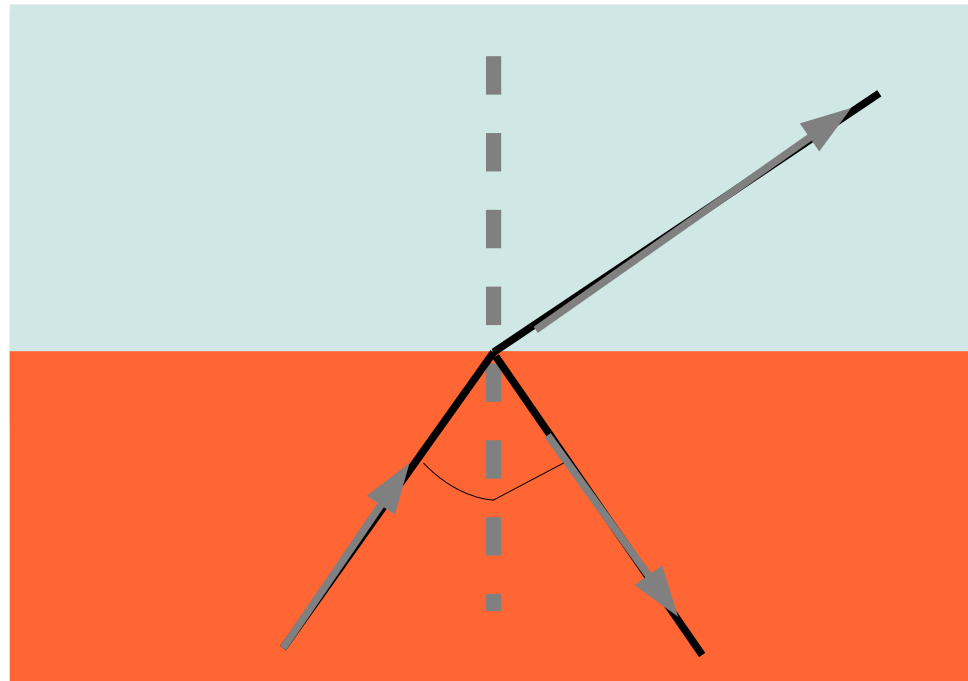
Transmission coefficient : $t_{12} = \frac{4 Z_1 Z_2 \cos \theta_1 \cos \theta_2}{(Z_1 \cos \theta_1 + Z_2 \cos \theta_2)^2}$

(Swartz 1989)

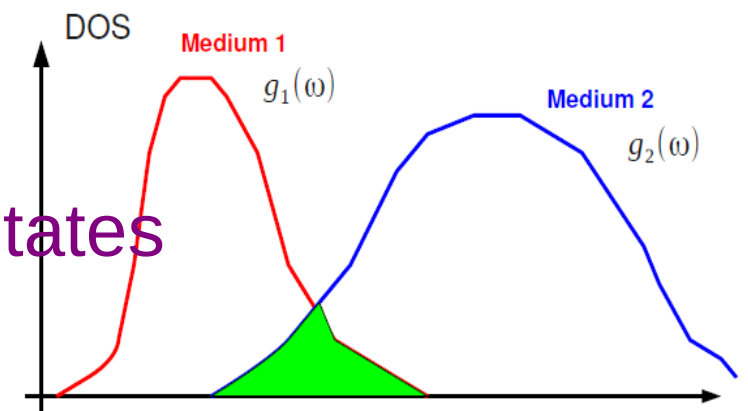
All the phonons are diffusively scattered by the interface

The transmission coefficient is given by detailed balance

$$\alpha_{12}(\omega) = \frac{c_2 g_2(\omega)}{c_2 g_2(\omega) + c_1 g_1(\omega)}$$



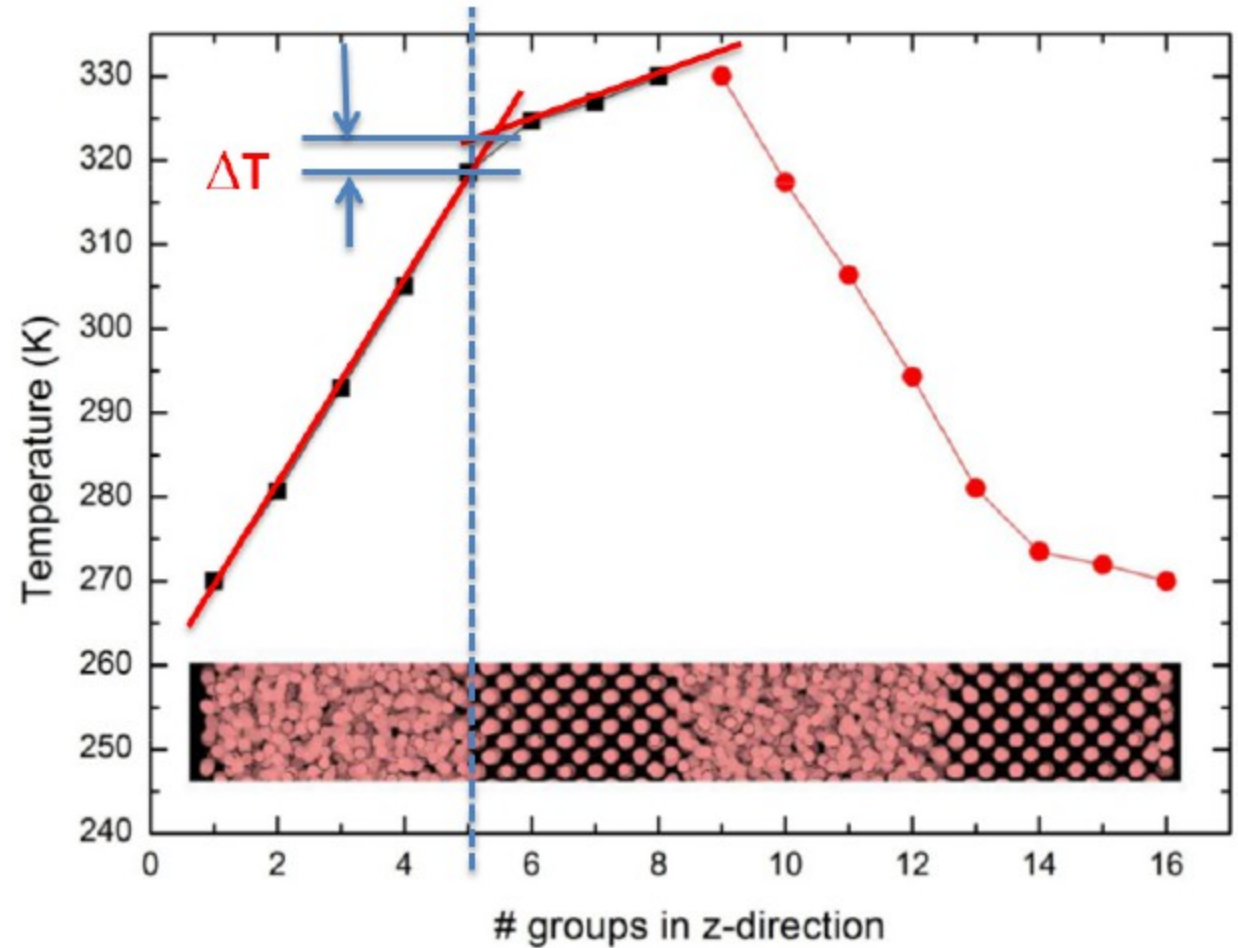
The conductance is related to the overlap between the density of states characterizing the two media



Factors affecting the conductance : (a non exhaustive list)

- « Bonding strength» between the two solids
- Lattice parameters mismatch
- Interfacial roughness
- Defects at the interface (vacancies)
- Intermixing
- Electron-phonon coupling

Amorphous Si/
Crystalline Si

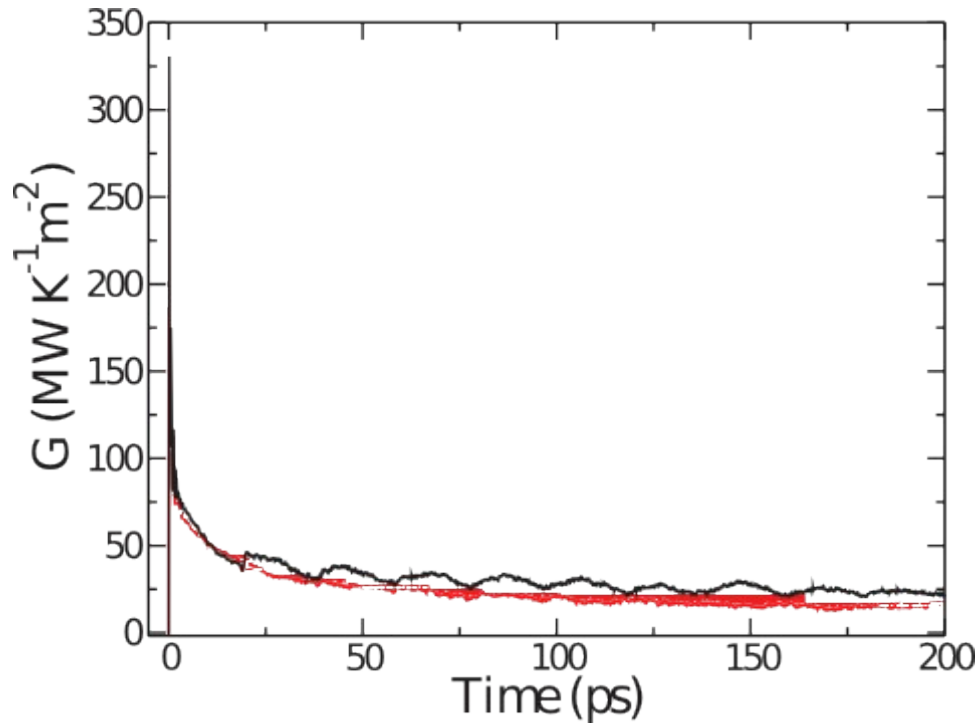


Fourier law : $J = -\lambda \frac{\partial T}{\partial z}$

Thermal boundary resistance : $R = \Delta T / J$

Puech formula

$$G = \frac{1}{A k_B T^2} \int_0^{+\infty} \langle q(t) \cdot q(0) \rangle dt$$



A interfacial area

Analogous to the Green-Kubo formula for the thermal conductivity

Interfacial flux :

$$q = \sum_{i \in 1, j \in 2} \vec{F}_{ij} \frac{(\vec{v}_i + \vec{v}_j)}{2}$$

Barrat and Chiaruttini, *Mol. Phys.* 2003
SM and Termentzidis, *PRB* 2012

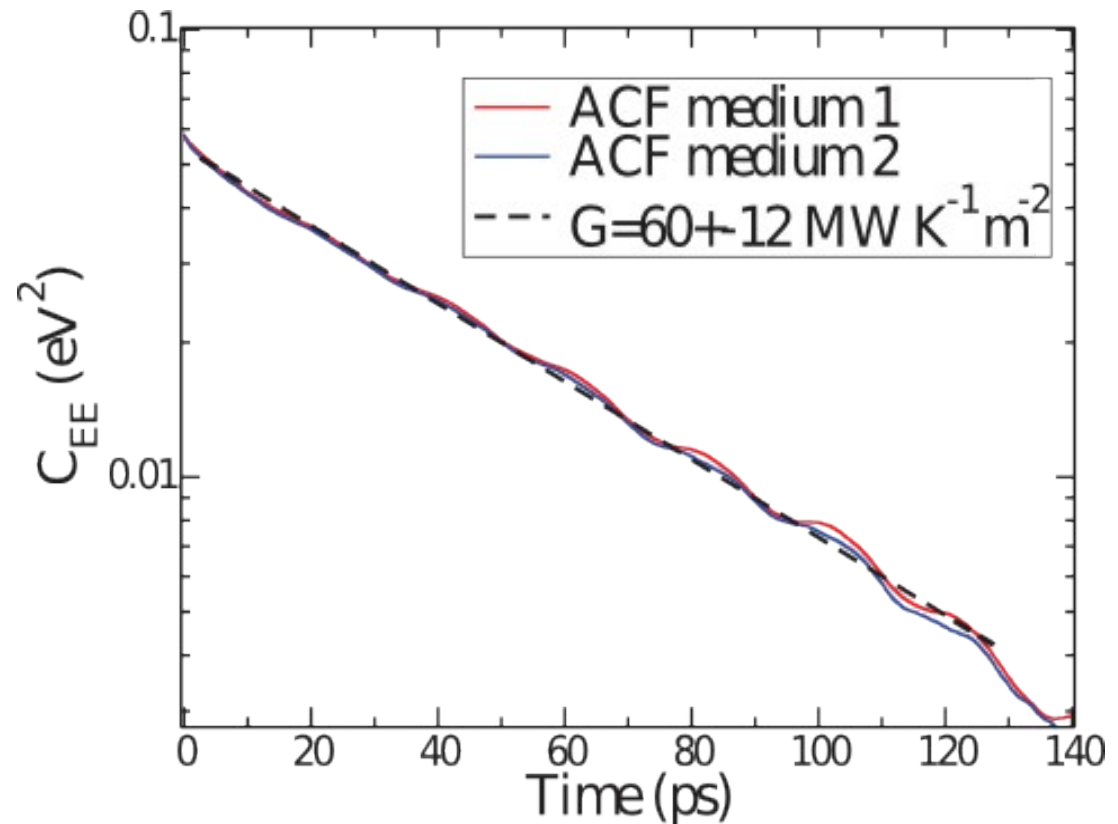
Equivalent formula

$$G = \frac{-1}{A k_B T^2} \left(\frac{dC_{EE}}{dt} \right)_{t=0}$$

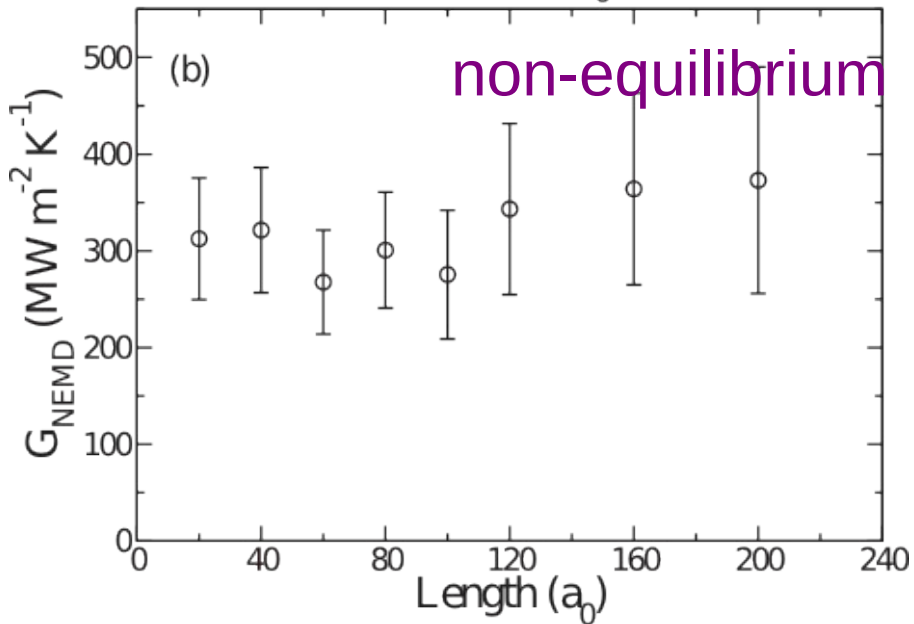
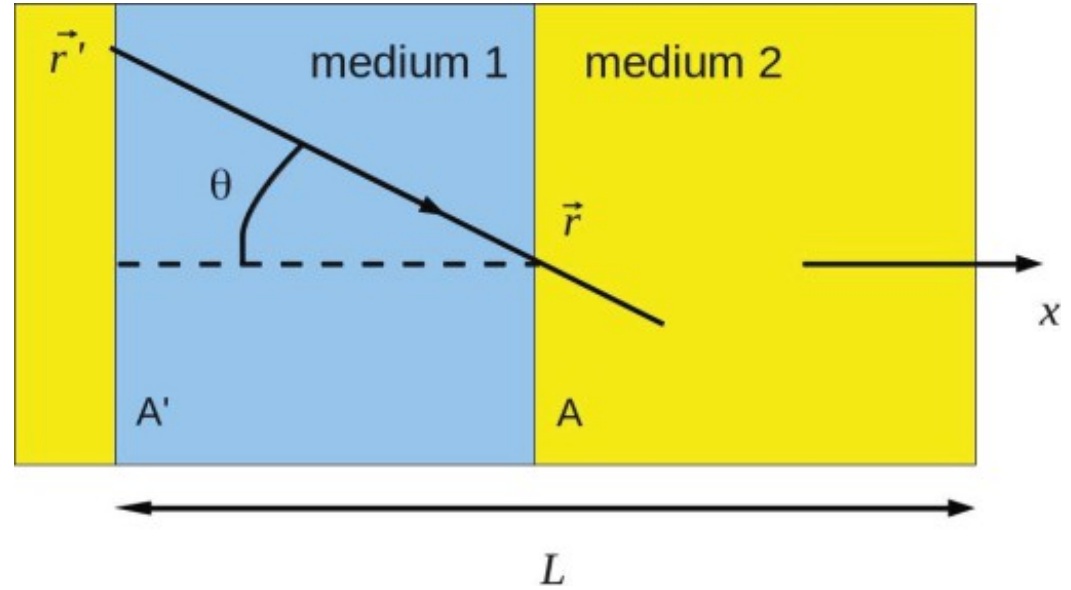
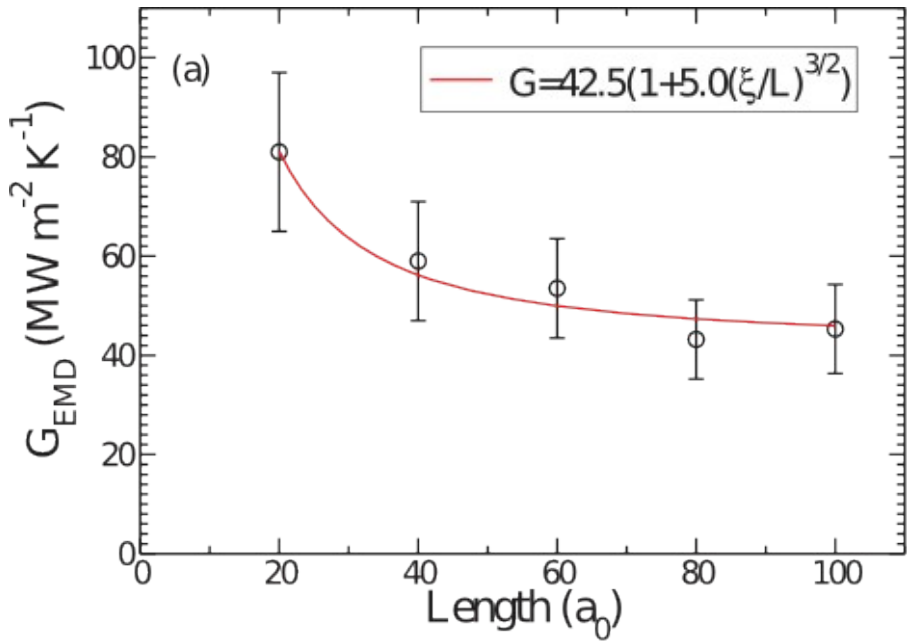
$$C_{EE}(t) = \langle \delta E(t) \delta E(0) \rangle$$

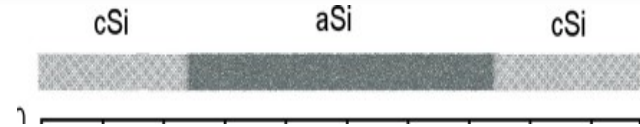
$$C_{EE}(t) \sim C_{EE}(0) \exp(-t/\tau)$$

$$G = \frac{1}{A k_B T^2} \frac{C_{EE}(0)}{\tau}$$

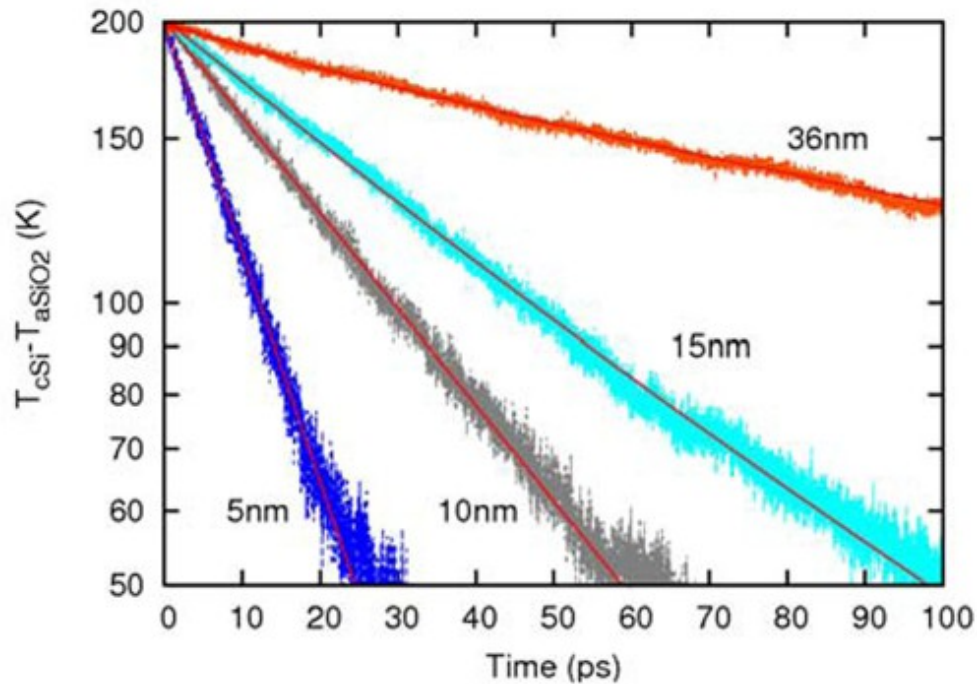


equilibrium





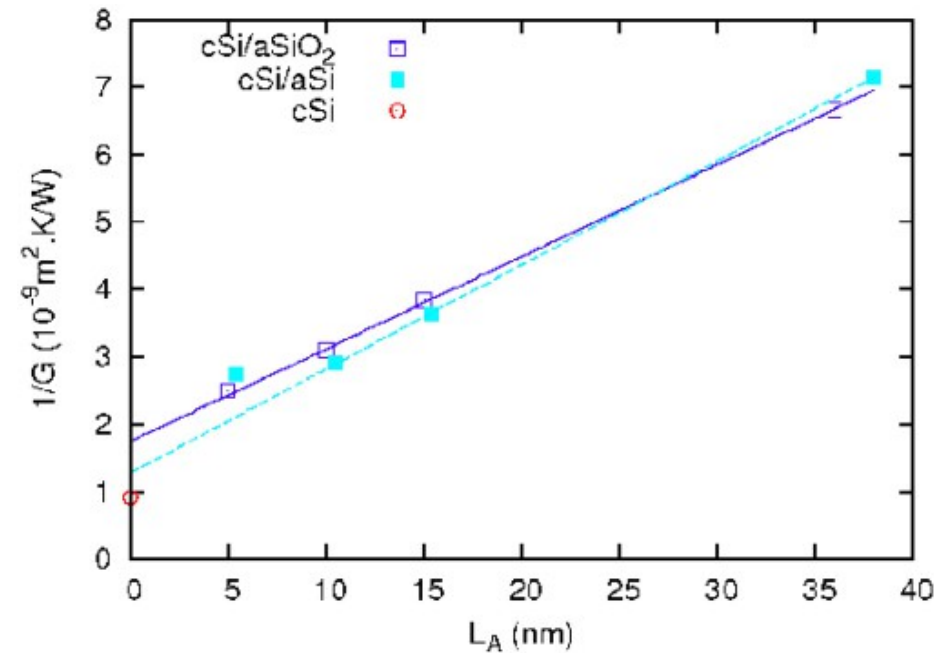
Temperature relaxation



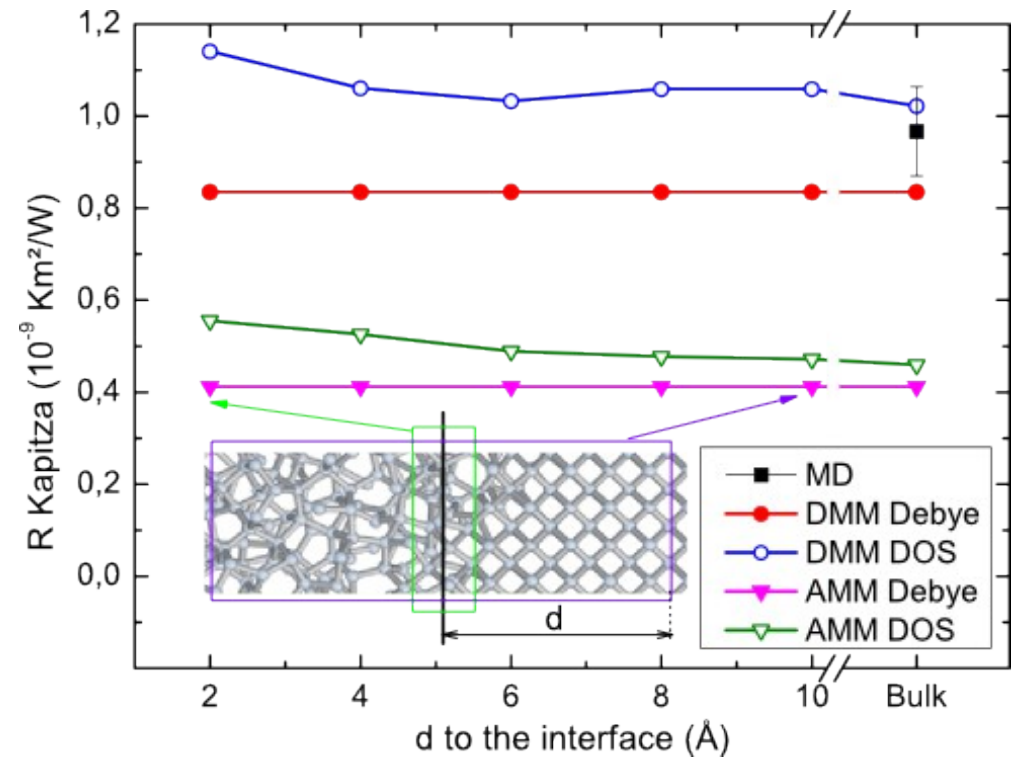
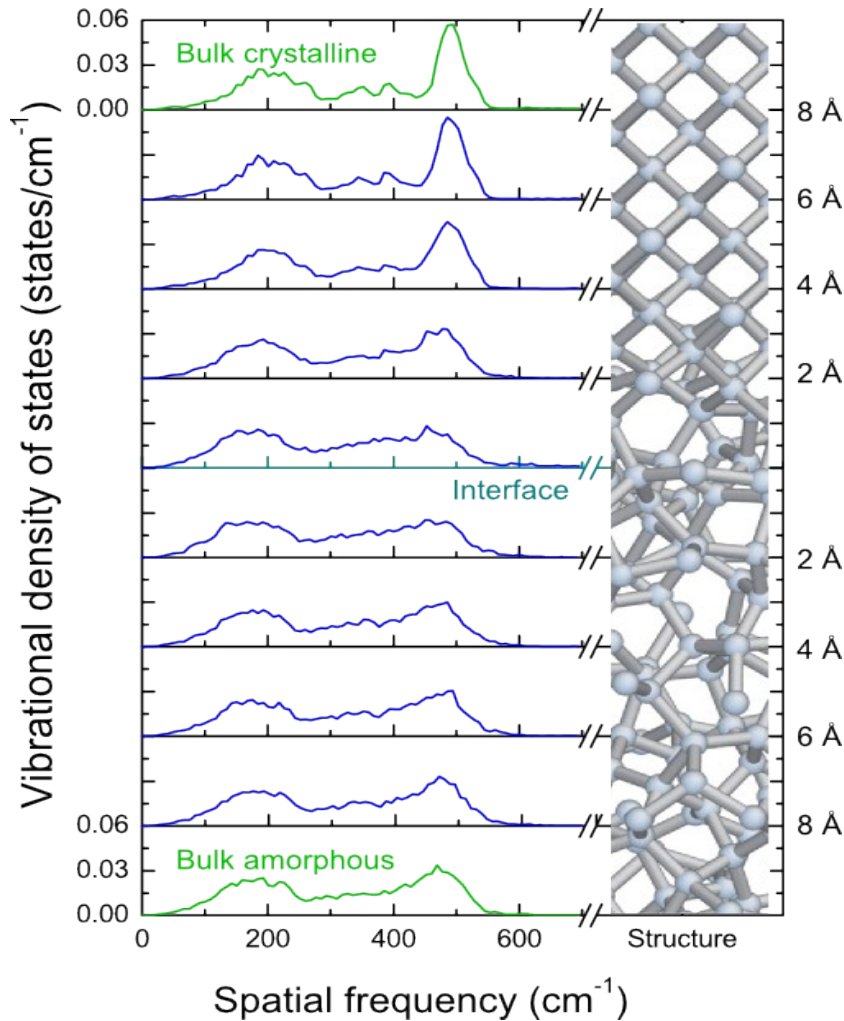
$$\Delta T(t) \propto \exp(-t/\tau)$$

$$G = C_v / (A \tau)$$

Finite size effects



Thermal boundary resistance



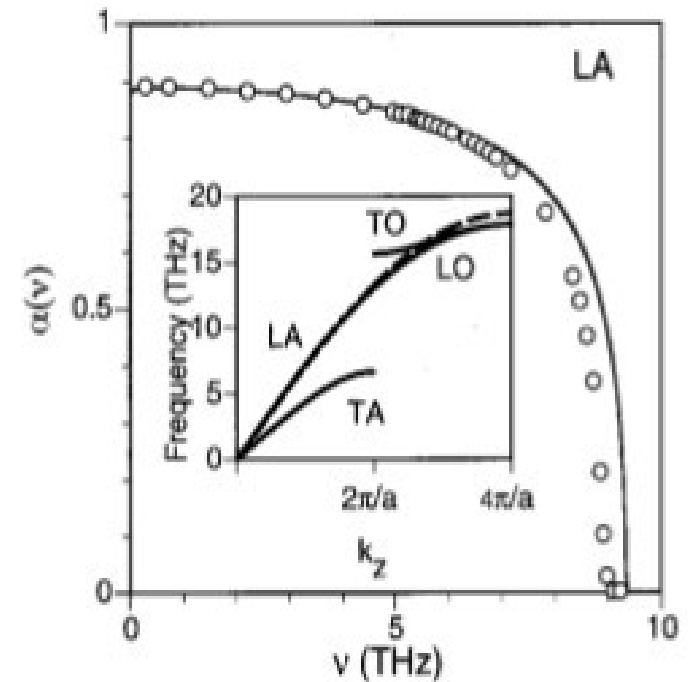
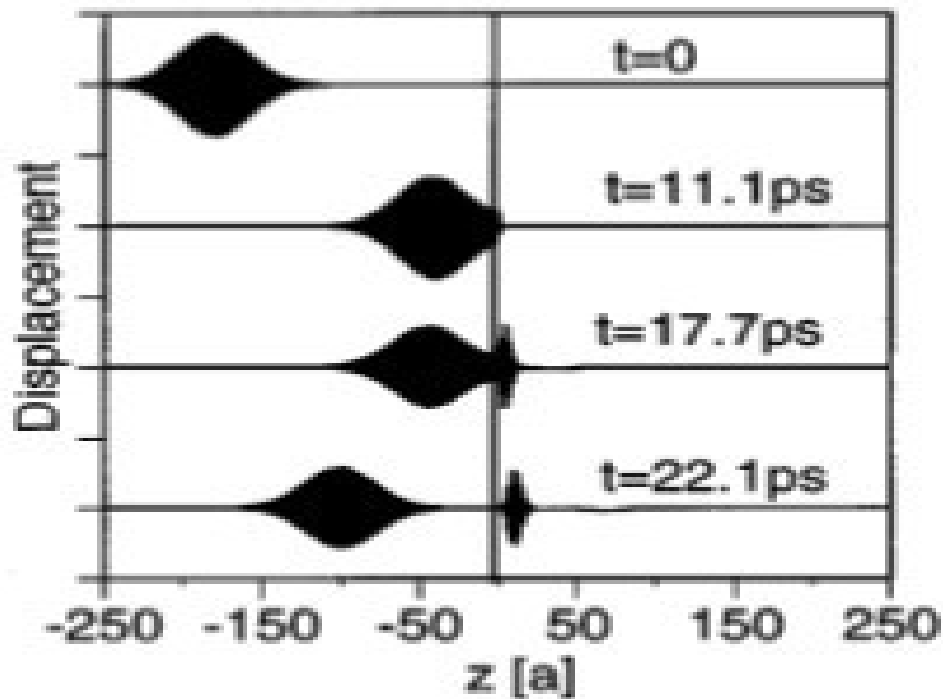
$$G = 1/R = \int g(\omega) C_v(\omega) v_g(\omega) t_{12}(\omega) d\omega$$

$$\text{AMM} \quad t_{12} = \frac{4 Z_1 Z_2 \cos \theta_1 \cos \theta_2}{(Z_1 \cos \theta_1 + Z_2 \cos \theta_2)^2}$$

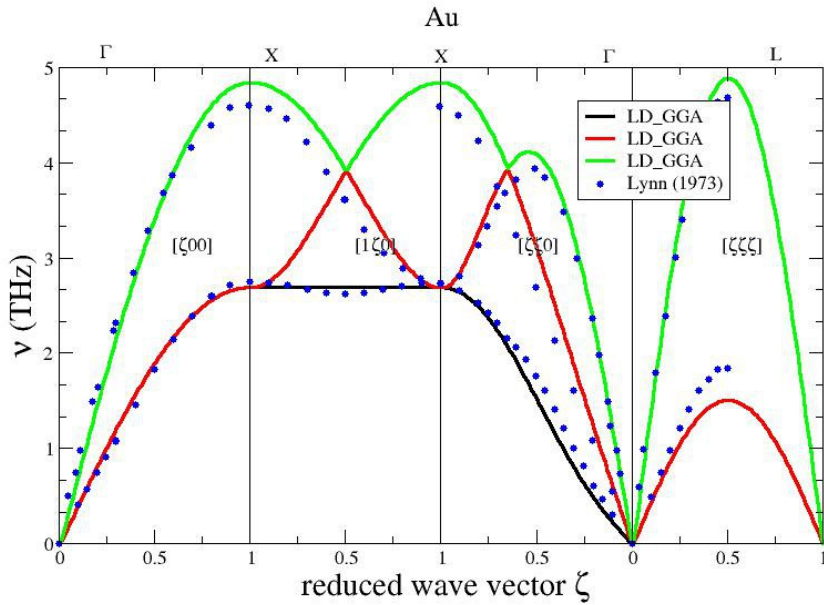
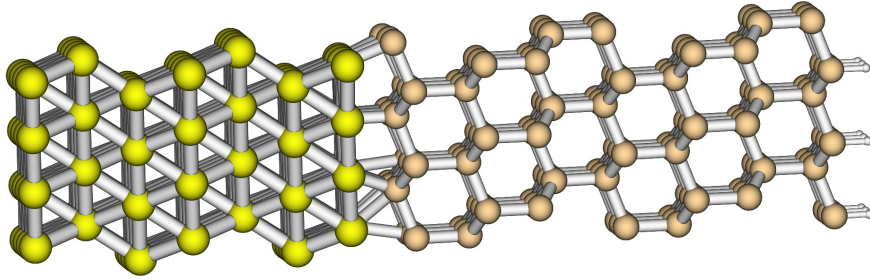
$$\text{DMM} \quad t_{12}(\omega) = \frac{c_2 g_2(\omega)}{c_2 g_2(\omega) + c_1 g_1(\omega)}$$

crystalline Si/heavy Si interface

Transmission coefficient

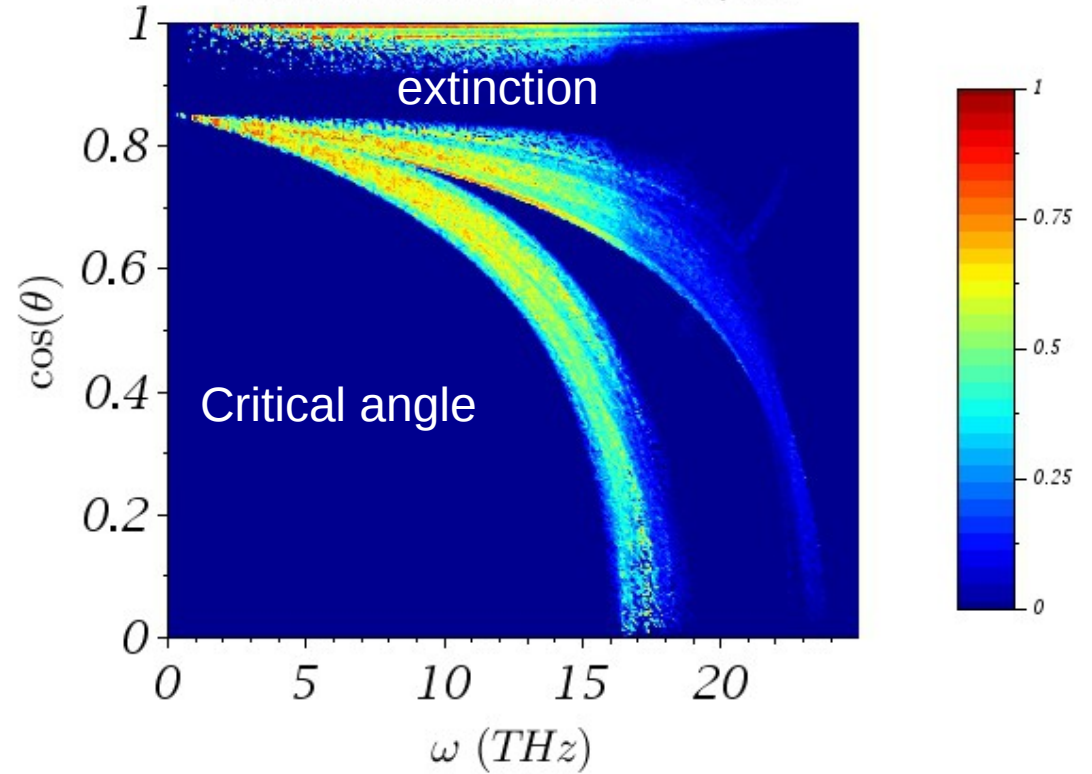


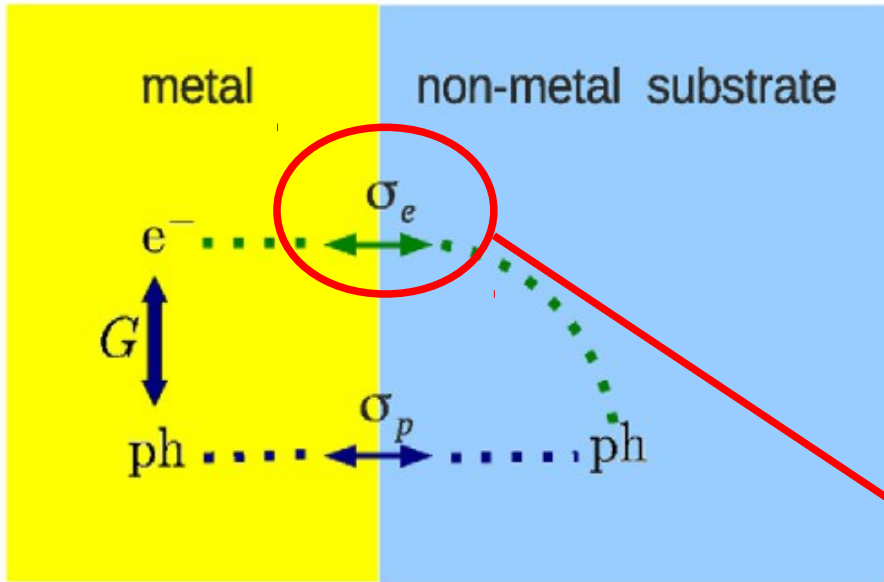
Au/ Si interface



$$t_{1 \rightarrow 2} = \frac{\rho_{m,2}}{\rho_{m,1}} \sum_{q=4}^6 \frac{v_{g,q}^z \cdot |A_q|^2}{v_{g,0}^z \cdot |A_0|^2}$$

Transmission across Si/Au





Measurements :
Hopkins et al. *JAP* 2009

Theory :
Sergeev, *PRB* 1998
Mahan, *PRB* 2009

Two temperature model

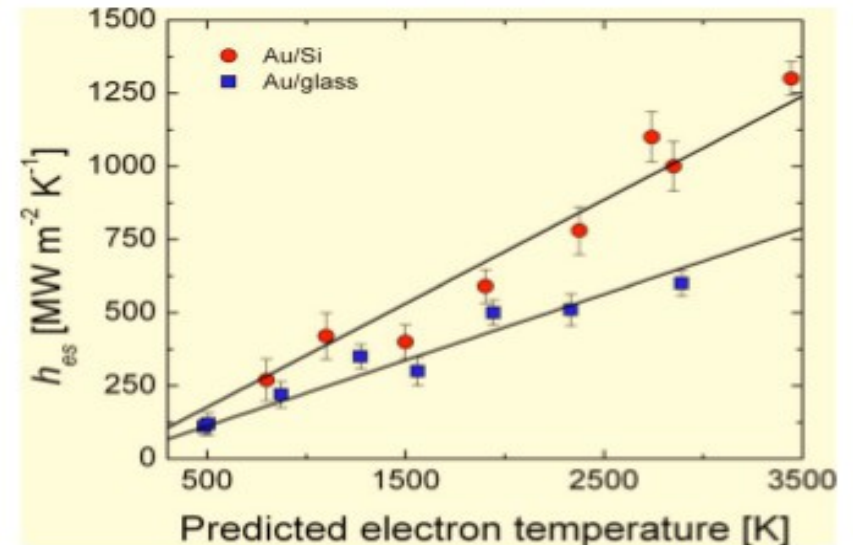
$$c_e \partial_t T_e = k_e \partial_{xx}^2 T_e - G(T_e - T_p),$$

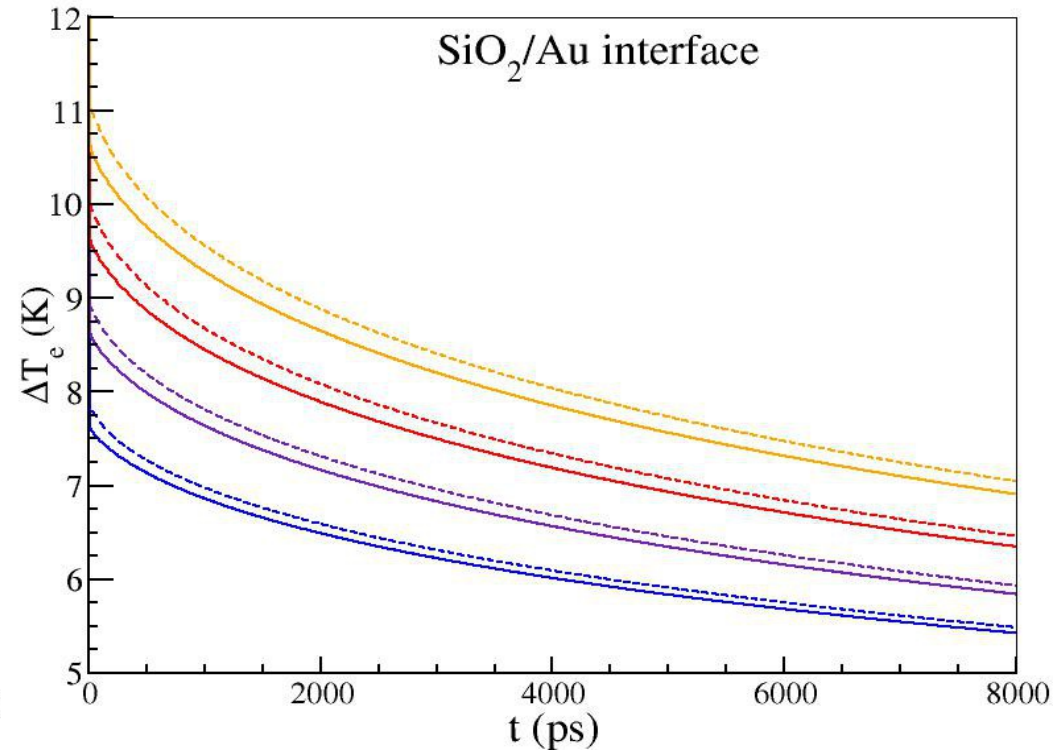
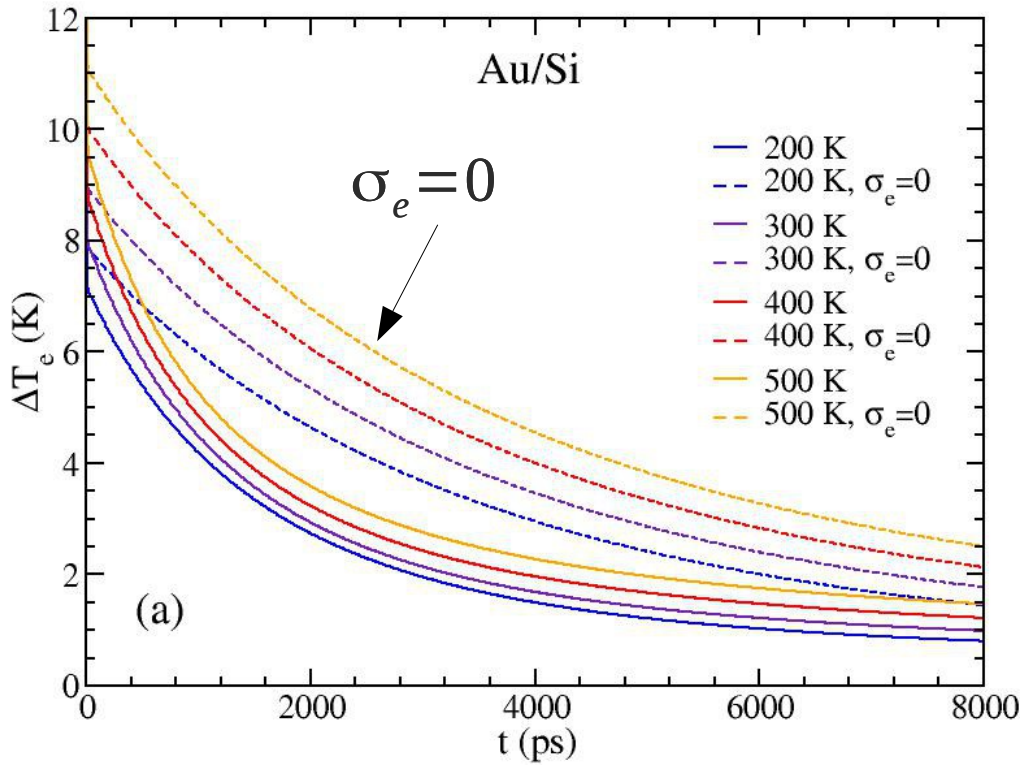
$$c_p \partial_t T_p = k_p \partial_{xx}^2 T_p + G(T_e - T_p),$$

$$c_s \partial_t T_s = k_s \partial_{xx}^2 T_s.$$

+ boundary conditions

$$\begin{aligned} -k_s \partial_x T_s &= \sigma_e(T_e - T_s) + \sigma_p(T_p - T_s), \\ &= -k_e \partial_x T_e - k_p \partial_x T_p. \end{aligned}$$





Two temperature model

$$c_e \frac{\partial T_e}{\partial t} = k_e \partial_{xx}^2 T_e - G(T_e - T_p)$$

$$c_p \frac{\partial T_p}{\partial t} = k_p \partial_{xx}^2 T_p + G(T_e - T_p)$$

$$c_s \frac{\partial T_s}{\partial t} = k_s \partial_{xx}^2 T_s$$

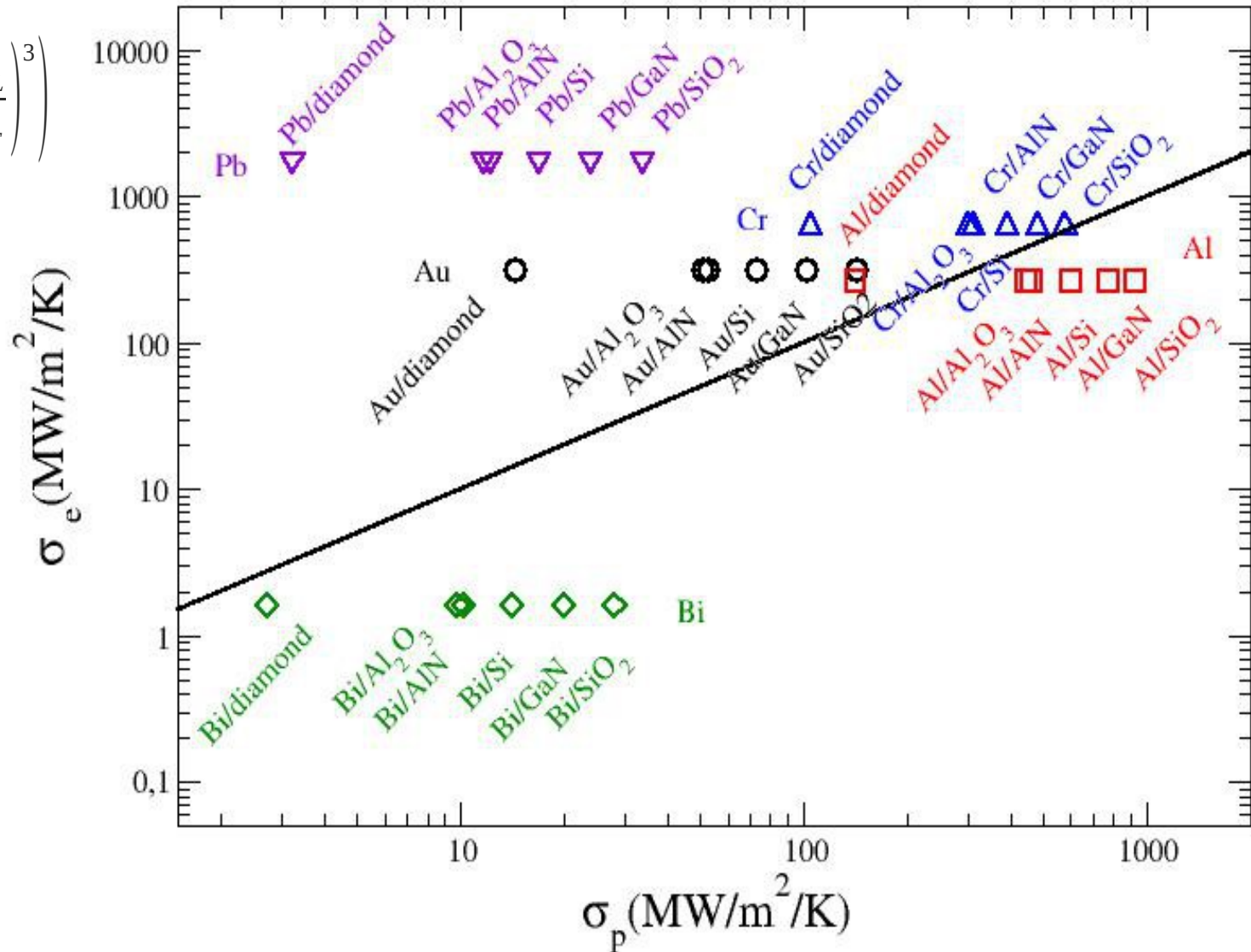
Boundary conditions

$$x = h$$

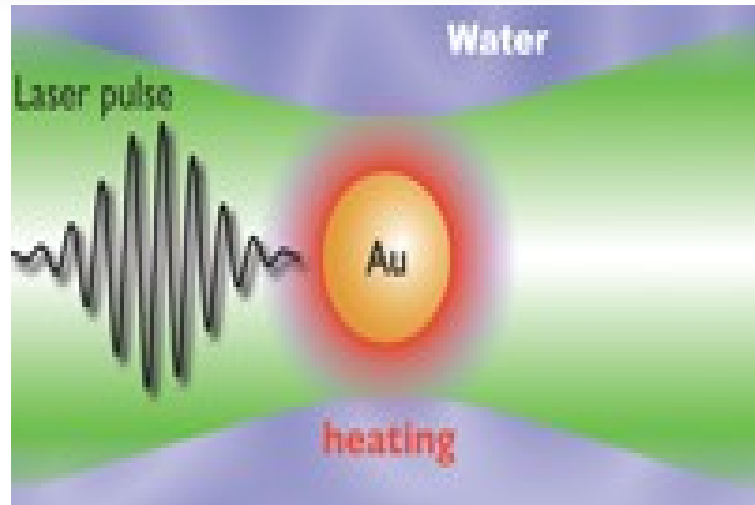
$$J = -k_s \partial_x T_s = \sigma_e (T_e - T_s) + \sigma_p (T_p - T_s)$$

$$= -k_e \partial_x T_e - k_p \partial_x T_p$$

$$\sigma_e \propto \frac{\bar{h}}{k_B} \frac{\gamma u_L}{\tau_{e-ph}} \left(1 + 2 \left(\frac{u_L}{u_T} \right)^3 \right)$$



Heat transport at solid/liquid interfaces

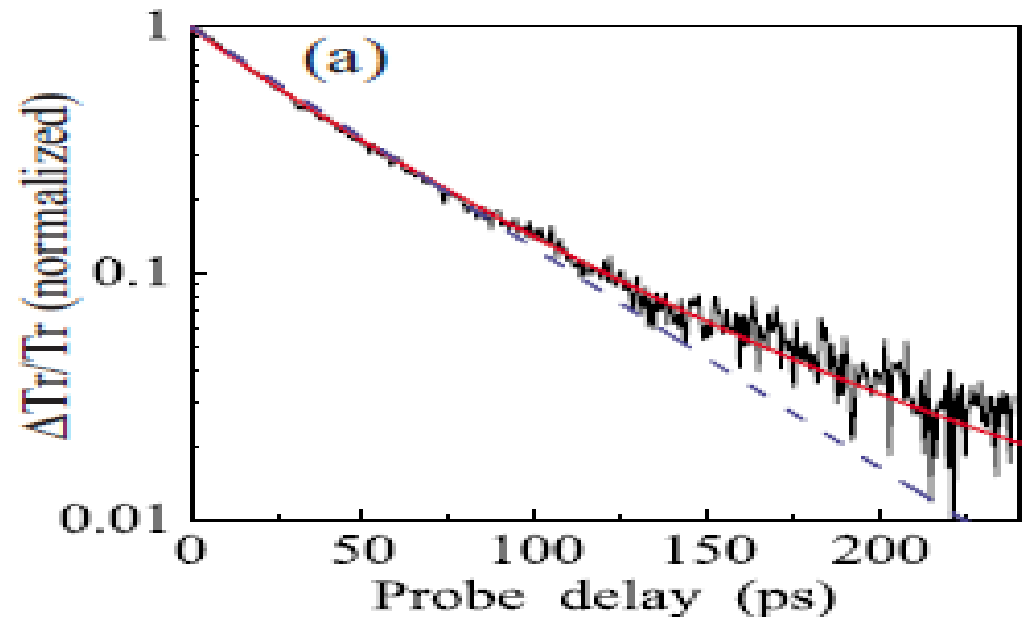


	<100 fs	100 fs	1 ps	10 ps	100 ps	1 ns	>1 ns
Electron heating	Energy absorption by electrons						
Electron-phonon coupling		Lattice heating and increase of particle temperature					
Phonon-phonon coupling			Heat transfer from particles to its surroundings				

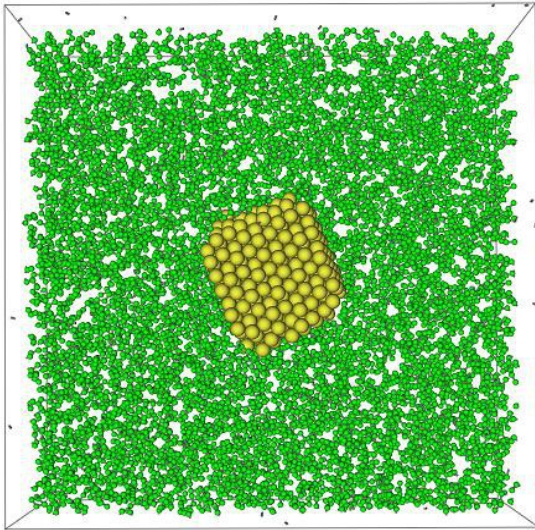
Wen, *Int. J. Hyperthermia*, 2009

$$\frac{\partial T_p(t)}{\partial t} = -\frac{3G}{Rc_p} [T_p(t) - T_m(R,t)],$$

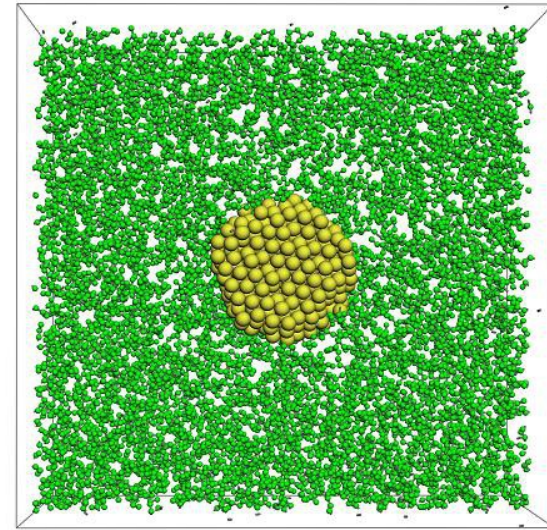
$$c_m \frac{\partial T_m(r,t)}{\partial t} = \Lambda_m \frac{1}{r} \frac{\partial^2}{\partial r^2} [rT_m(r,t)],$$



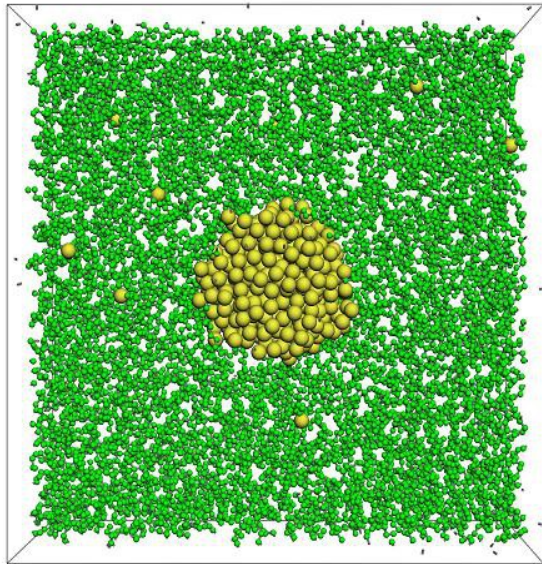
300 K
0 nW



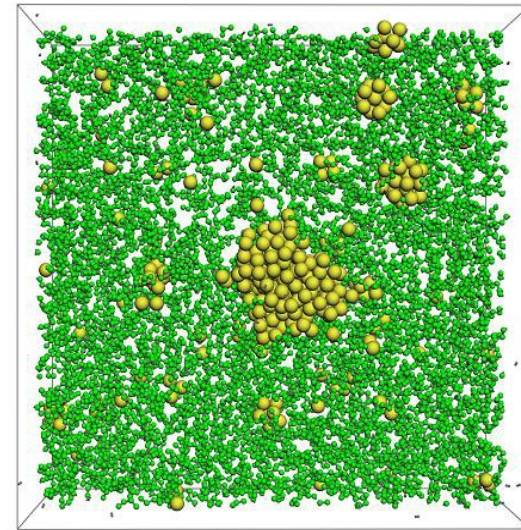
800 K
400 nW



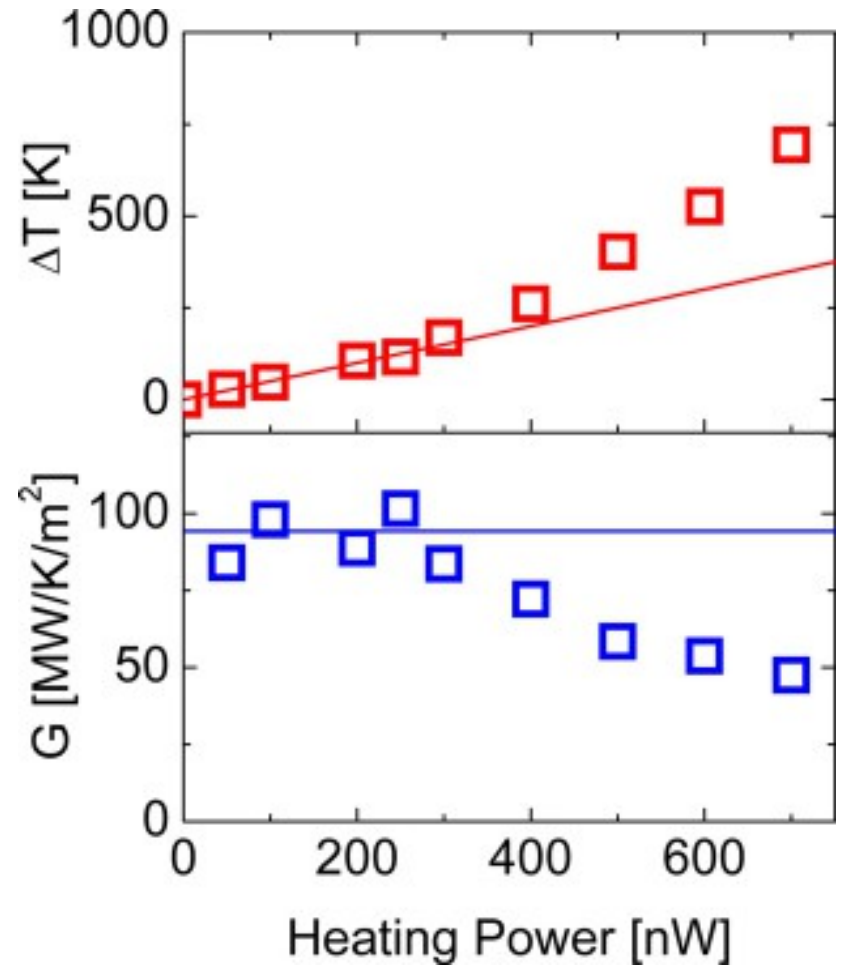
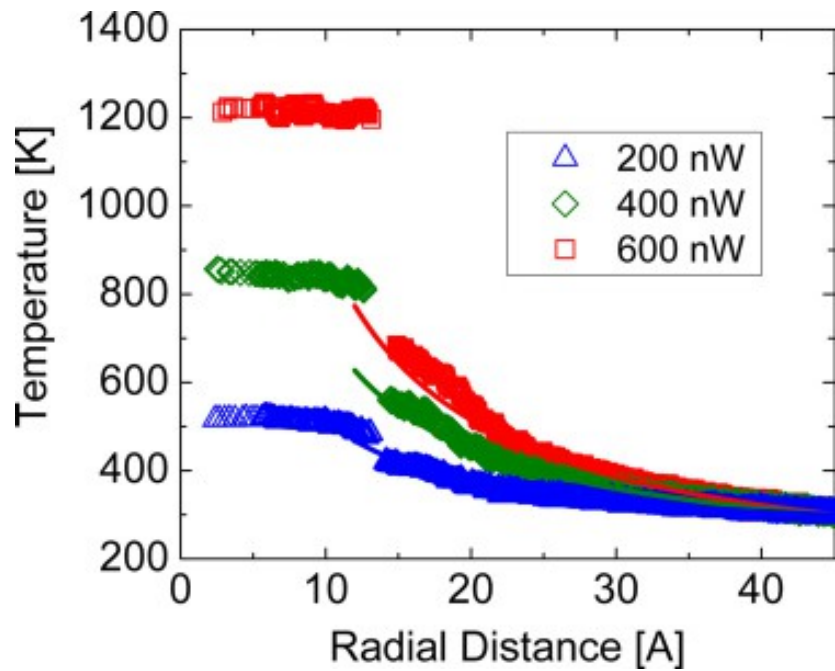
1200 K
600 nW

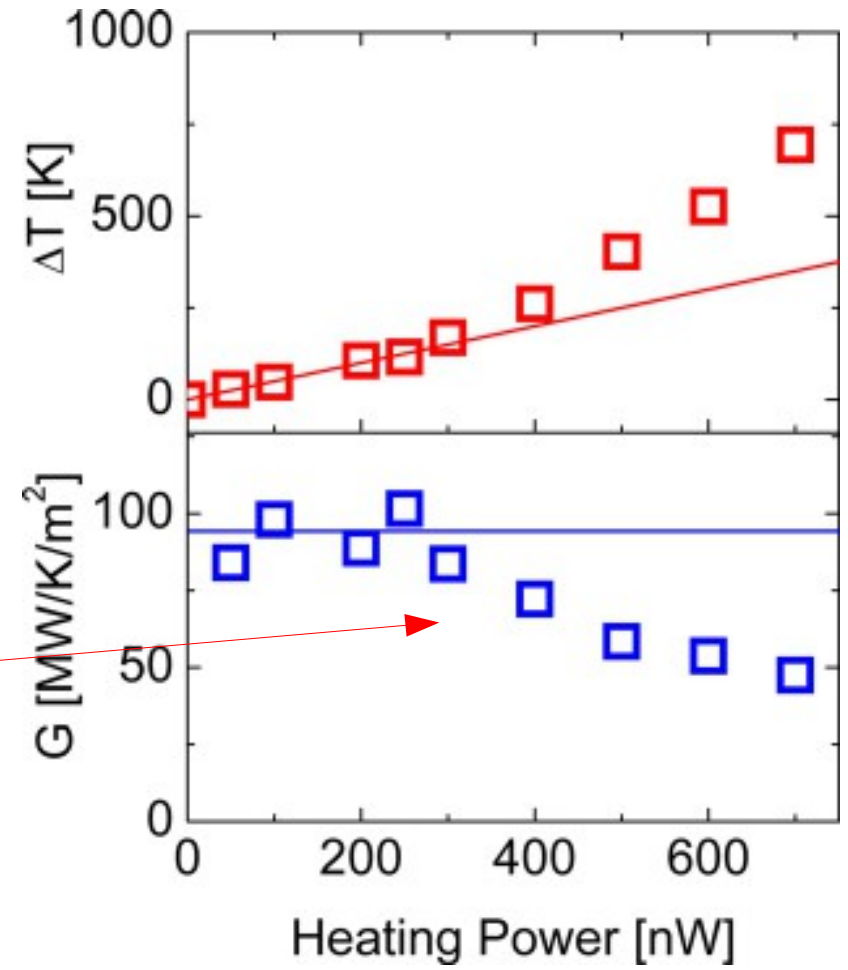
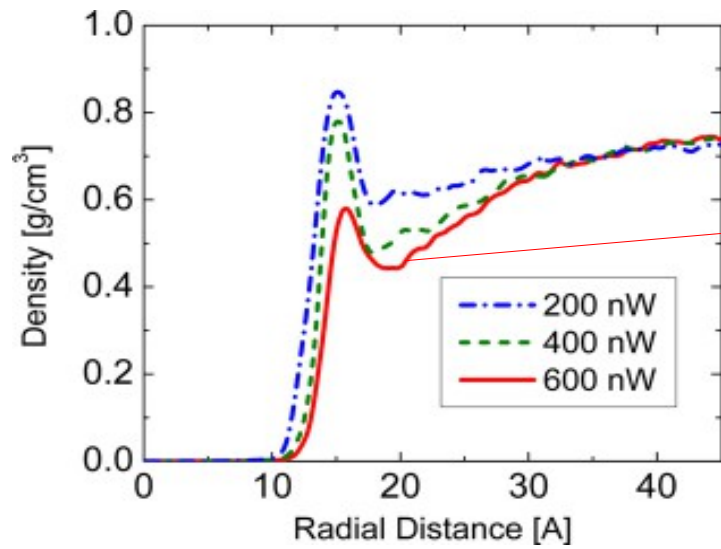


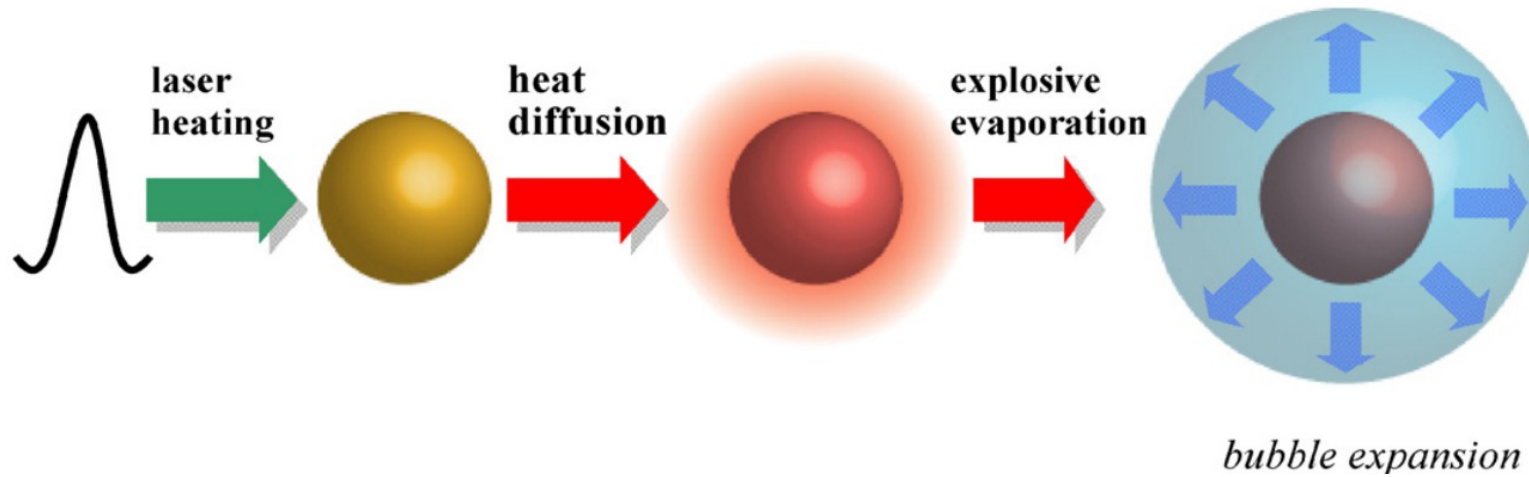
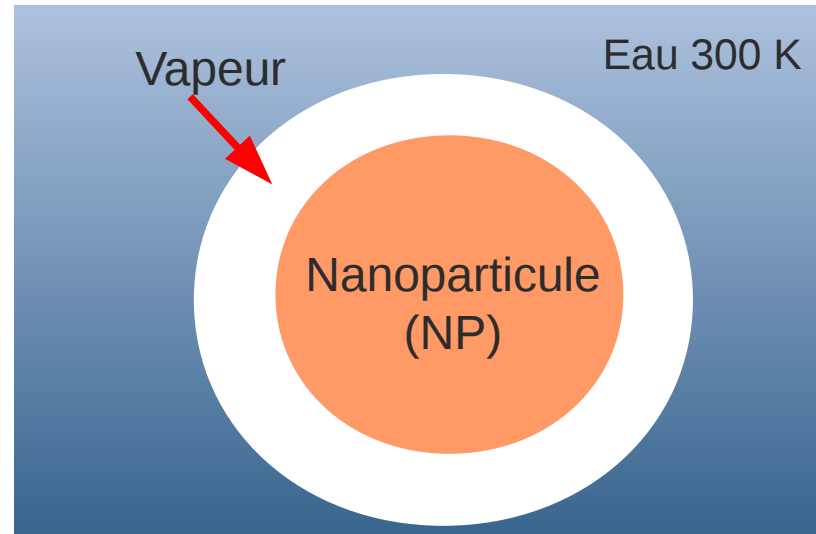
1000 nW



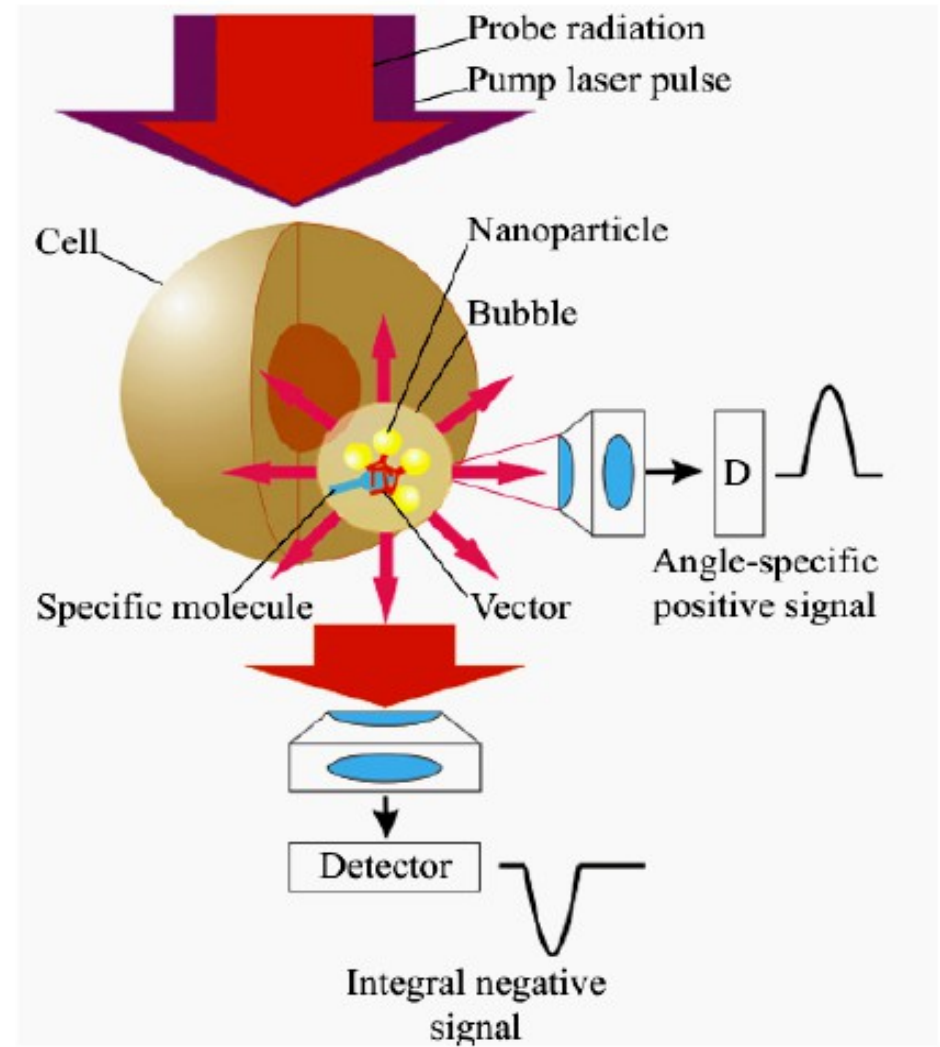
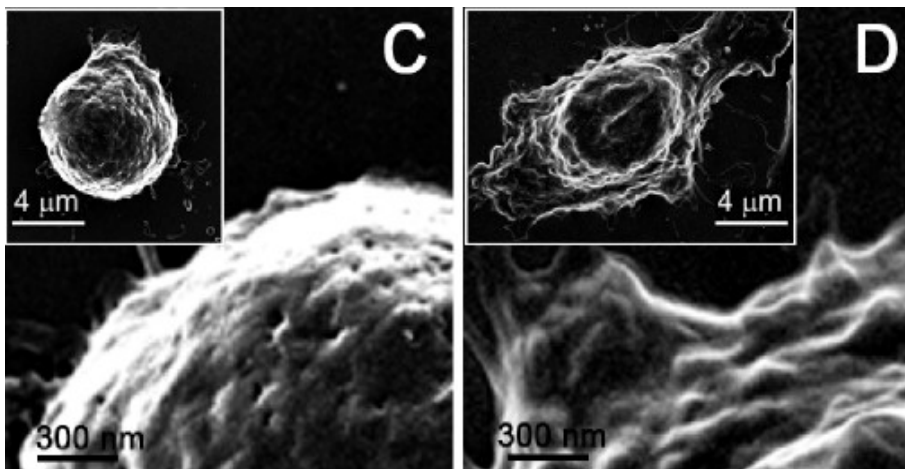
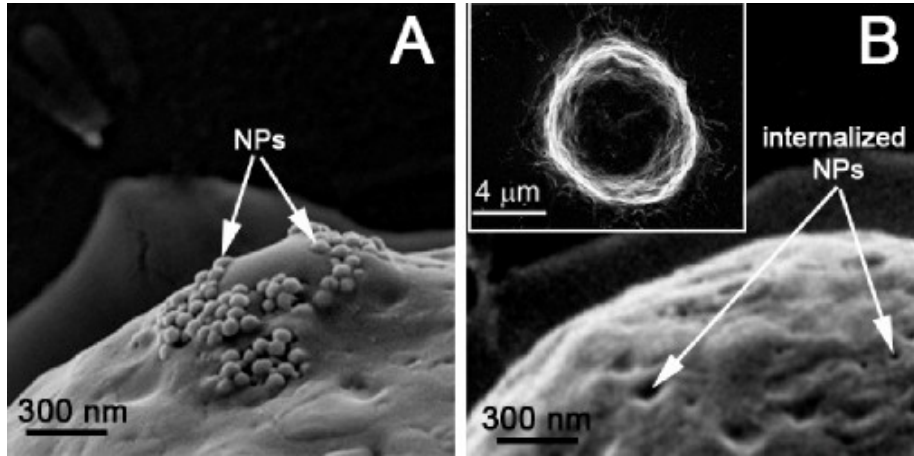
Gold Np in octane





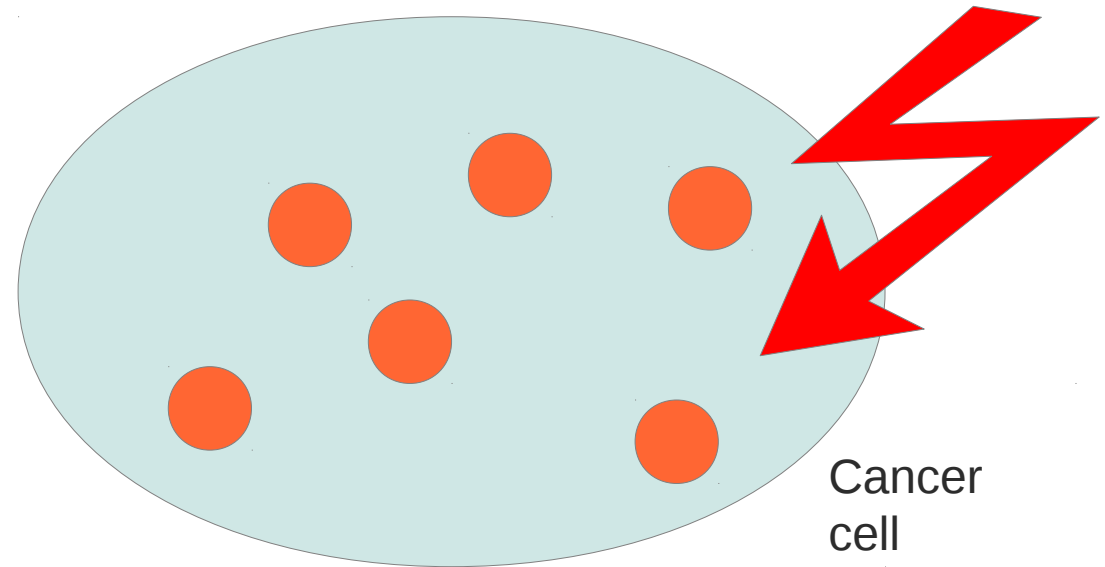


Hashimoto et al., *J. of Photochemistry and Photobiology C: Photochemistry Reviews* (2012)

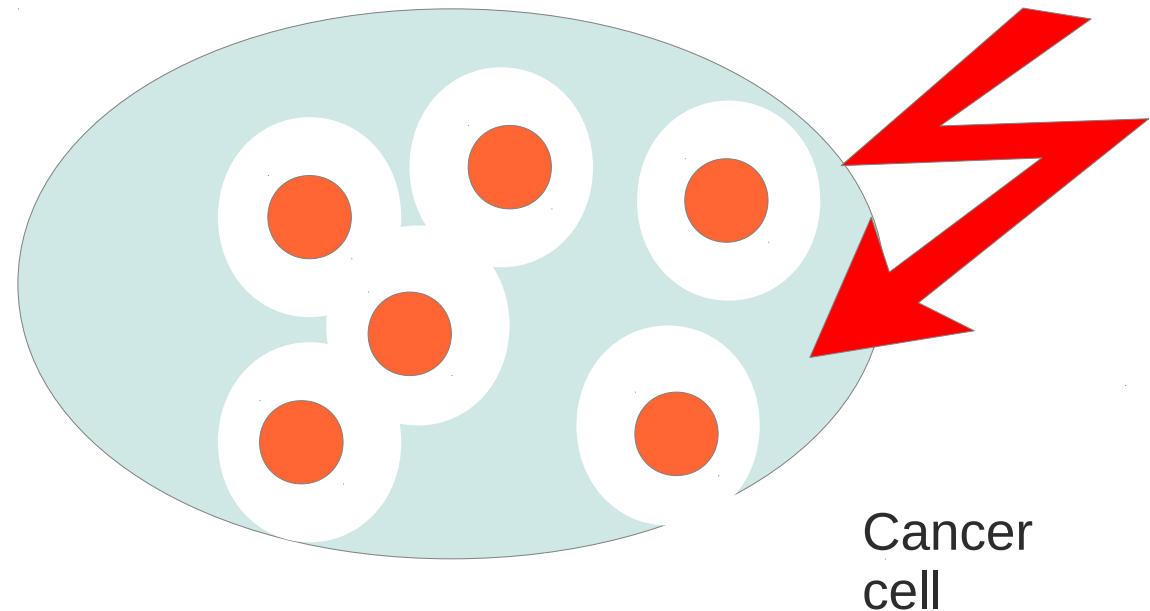


Lapotko et al., *ACS Nano* (2010)
Lapotko, *Cancer* (2011)

Threshold energy to drive nanobubble generation ?



What does control nanobubbles size ?



=> hydrodynamic models
(phase field)

•

∅ Conservation equations : mass, momentum and energy

$$\frac{\partial \rho}{\partial t} = -\nabla(\rho \mathbf{v})$$

$$\frac{\partial(\rho_m \mathbf{v})}{\partial t} = -\nabla(\rho_m \mathbf{v} \cdot \mathbf{v} + \bar{\Pi} - \bar{\sigma})$$

$$\rho_m C_v \left[\frac{\partial T}{\partial t} + (\mathbf{v} \cdot \nabla) T \right] = -l \nabla \cdot \mathbf{v} + \nabla(\lambda \nabla T) + \eta (\nabla \mathbf{v})^2$$

∅ Capillary effects : density functional theory

$$f(\rho, T) = f_{VdW}(\rho, T) + \frac{1}{2} w |\nabla \rho|^2 + f_{surface}$$

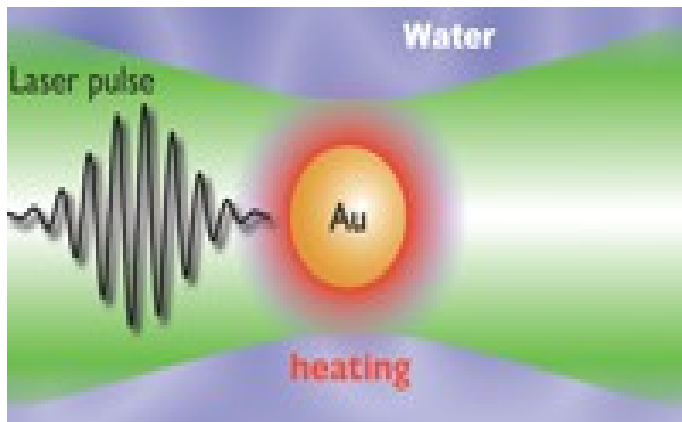
Pressure tensor : $\Pi_{\alpha\beta} = \left(\rho \frac{\partial f}{\partial \rho} - f \right) \delta_{\alpha,\beta} + w \partial_\alpha \rho \partial_\beta \rho$

$$\frac{4}{3}\pi R_{np}^3 C_p^{np} \frac{dT_{np}}{dt} = P_{pulse} H(t_{pulse} - t) - 4\pi R_{np}^2 \Phi_{th}$$

LASER

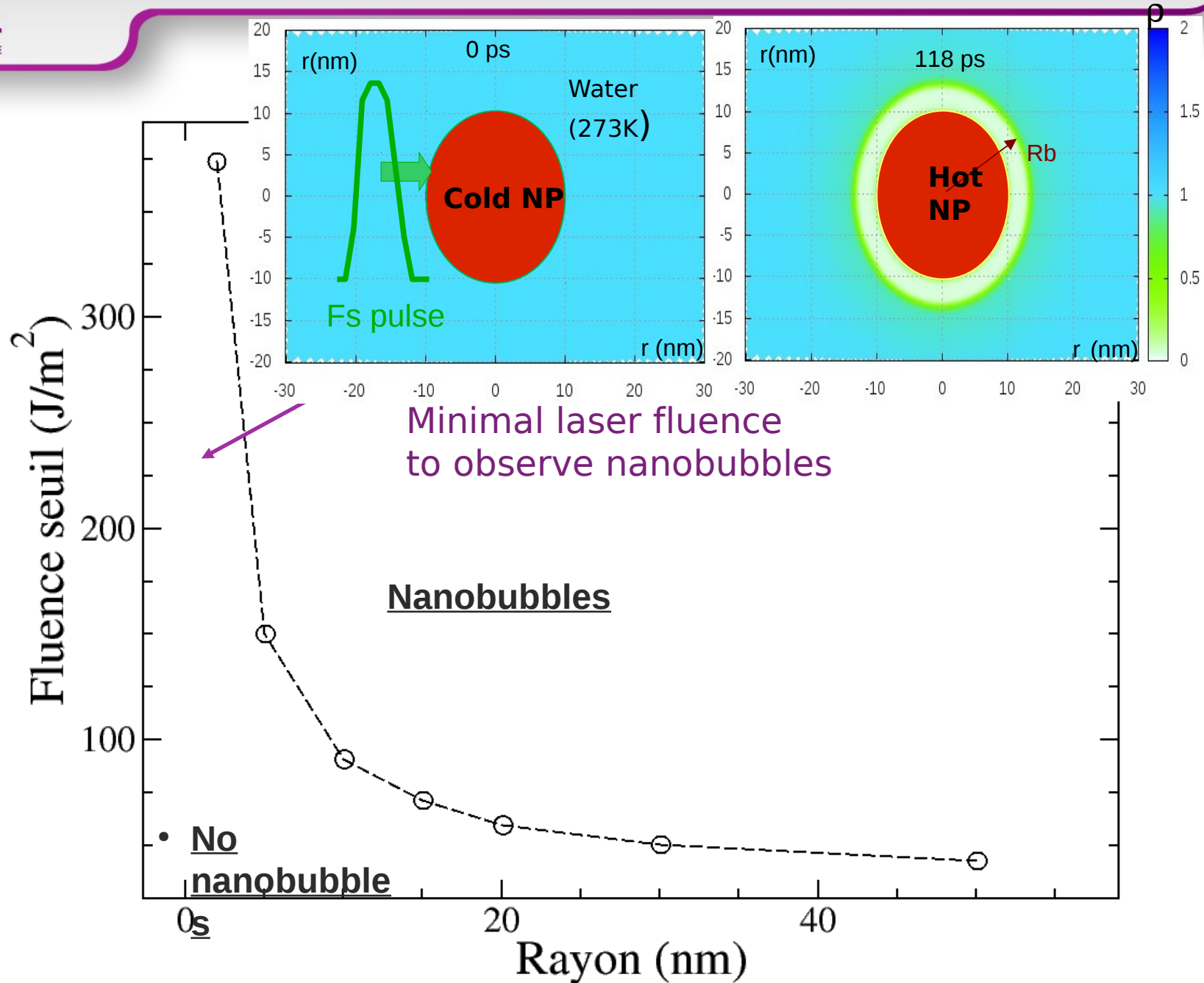
Liquid/nanoparticle interface : interfacial conductance

$$\Phi_{conductance} = G_0(1 + \cos\theta) (T_{np} - T_{surface})$$

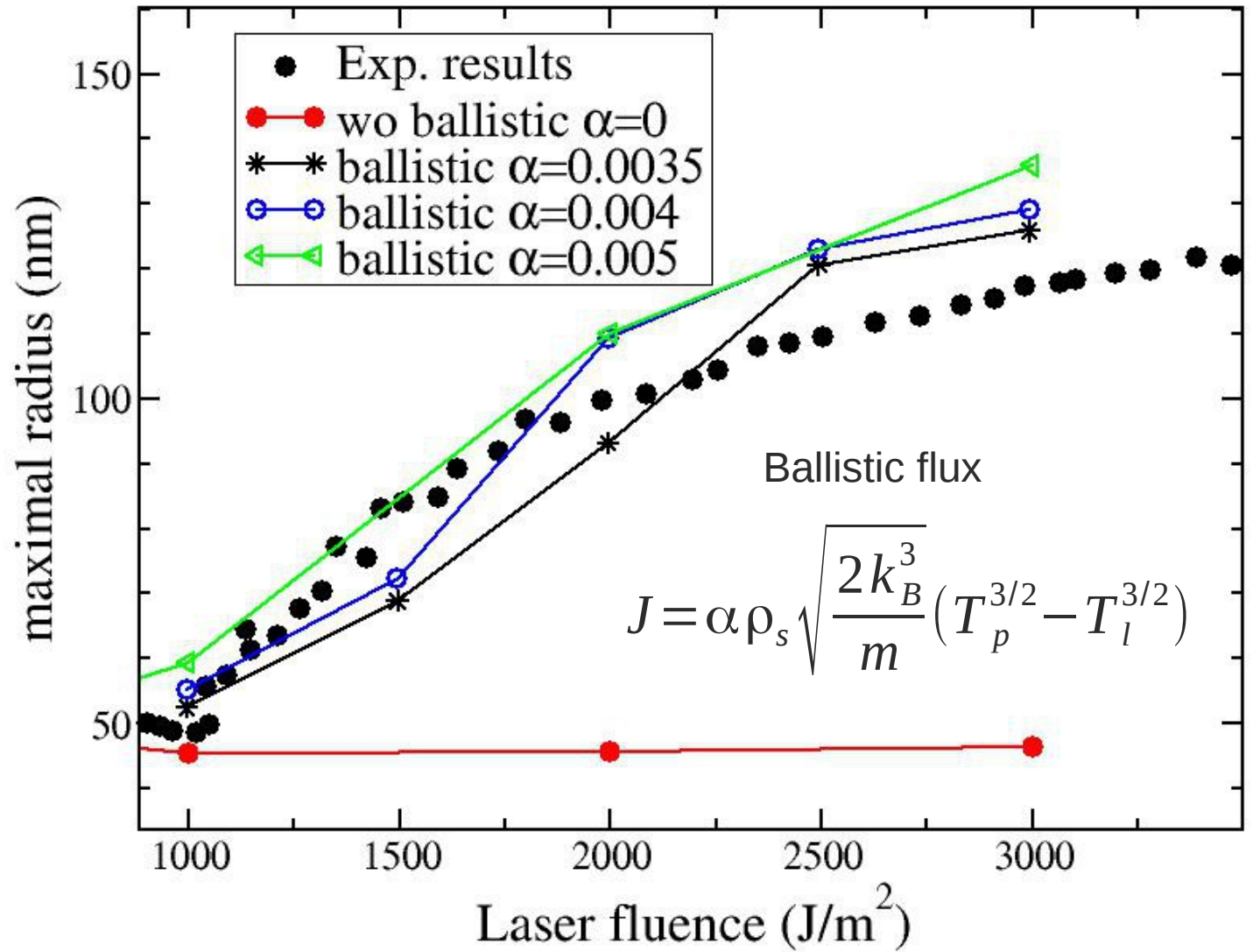
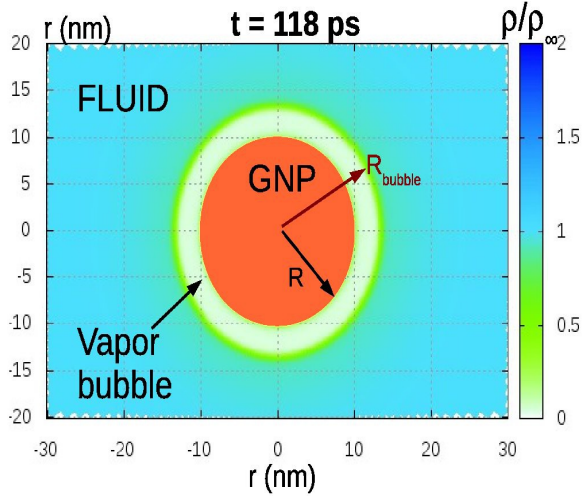


Vapor/nanoparticle interface : ballistic thermal transport

$$\Phi_{bal} = \rho_{vap} \sqrt{\frac{2}{m}} \left((k_B T_{np})^{\frac{3}{2}} - (k_B T_{r=R_{bulle}})^{\frac{3}{2}} \right)$$



Maximal size of the nanobubbles



Thank you for your attention !