## Interprétation avancée des calculs premiers principes des phonons par SIESTA

Andrei Postnikov



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GDR "Modélisation des matériaux" 21 février 2013 Marseille

## Modélisation au sein de LCP-AM2C: périmètre, noms et chiffres

Laboratoire de Chimie et Physique – Approche Multi-Echelles des Milieux Complexes, résultant de la fusion (janvier 2012) des exlaboratoires/équipes suivantes:

- Equipe Biophysique Statistique du LPMC (Laboratoire de Physique Moléculaire et Collisions);
- LPMD (Laboratoire de Physique des Milieux Denses);
- LSMCL (Laboratoire de Spectrométrie de Masse et Chimie Laser);
- LCME (Laboratoire de Chimie et Méthodologie pour l'Environnement).

Thèmes principaux de la recherche:

 'Ordre-désordre/auto-organisation' (Rhéophysique des colloïdes, désordre d'alliage dans les systèmes atomiques);

2) 'Chimie durable et environnement'

(Contaminants et Dépollution, gestion durable des ressources).

46 enseignants-chercheurs sur les sites de Metz (Institut de Chimie et Physique, 1 Bd Arago, Technopôle) et Saint-Avold (IUT de Moselle-Est).



#### Michaël BADAWI

\*Chimie quantique, adsorption, catalyse, surfaces, sureté nucléaire

Logiciels commerciaux pour calculs ab initio: VASP (structures periodiques); Gaussian (moléculaires)





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FIG. 1. The 2Y interaction potential. Full line:  $K_2/K_1=0.1$ ; dashed line:  $K_2/K_1=0.05$ ; dotted line:  $K_2/K_1=0.1$ . All potentials are rescaled in such a way that the depth of the potential well  $n=K_1-K_2$  is equal to minus one. The inset contains a magnification of the region around the repulsive barrier.



#### Jean-Marc BOMONT

- Relation structure moléculaire propriétés macroscopiques: les gaz rares etc.
- Etude de colloïdes (dynamique moléculaire, Monte-Carlo), de la thermodynamique statistique et de la méthode des équations intégrales auto-cohérentes.
- Propriétés optiques non-linéaires de fluides simples.
- Simulation hors équilibre (NEMD).
- Développement de potentiels interatomiques analytiques de métaux liquides (Hg).

Logiciels utilisés "maison" (Fortran 77 ou 90, sequentiels): Dynamique moléculaire classique; Monte Carlo

#### THE JOURNAL OF CHEMICAL PHYSICS 132, 184508 (2010)

#### Temperature study of cluster formation in two-Yukawa fluids

Jean-Marc Bornont,<sup>1,a)</sup> Jean-Louis Bretonnet,<sup>1</sup> and Dino Costa<sup>2</sup> <sup>1</sup>Laboratoire de Physique des Milieux Denses, Université de Metz, 1 Bd. Arago, 57 078 Metz, France <sup>2</sup>Dipartimento di Fisica, Università degli Studi di Messina, Viale F. Stagno d'Alcontres 31, 98166 Messina, Italy

(Received 10 December 2009; accepted 7 April 2010; published online 12 May 2010)

Molecular Physics Vol. 109, Nos. 23–24, 10 December–20 December 2011, 2845–2853



#### INVITED ARTICLE

#### Theoretical description of cluster formation in two-Yukawa competing fluids

D. Costa<sup>a\*</sup>, C. Caccamo<sup>a</sup>, J.-M. Bomont<sup>b</sup> and J.-L. Bretonnet<sup>b</sup>

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(Received 30 June 2011; final version received 28 July 2011)

Snapshot of an equilibrated sample at  $T^{\circ}=0.46$  with  $K_2/K_1=0.10$ . For the clarity sake, only particles involved in the formation of clusters are shown. Red particles represent the seeds of the compact structures.



#### Réné MESSINA

\* Cristallisation de colloïdes chargés binaires

Logiciels utilisés "maison" (Fortran 77 ou 90, sequentiels) pour faire :

- Monte Carlo
- Dynamique brownienne ou de Langevin (équilibre & hors équilibre)
- Minimisation d'énergie sur réseaux cristallins
- Résolution d'équations différentielles non linéaires (Poisson-Boltzmann)

#### Configuration "idéale" du calcul: 40-50 nœuds; 1 Go RAM / CPU

A LETTERS JOURNAL EXPLORING THE FRONTIERS OF PHYSICS	February 2010
EPL, 89 (2010) 36001 doi: 10.1209/0295-5075/89/36001	www.epljournal.org

#### Ionic mixtures in two dimensions: From regular to empty crystals

L. ASSOUD, R. MESSINA and H. LÖWEN

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received 12 November 2009; accepted in final form 11 January 2010 published online 16 February 2010

> Fig. 3: (Colour on-line) Stable structures of oppositely charged spheres vs. their size asymmetry  $\sigma = R_B/R_A$  in the substrate model, where all sphere surfaces touch the same plane: a) side view, b) (scaled) energy per ion. Discontinuous transitions between the structures are indicated by a solid bar. Continuous transitions are denoted by a broken bar. Bottom views of the unit cells of the corresponding stable phases are displayed, where the big (small) spheres have a radius  $R_A(R_B)$ .





#### Martin Mickaël MULLER

\*Proprietés géometriques des membranes biologiques

Codes open source: libMesh (mesh support), VTK (visualisation), OpenMPI



A LETTERS JOURNAL EXPLORING THE FROMTERS OF PHYSICS

EPL, 97 (2012) 68008 doi: 10.1209/0295-5075/97/68008 March 2012

## Morphogenesis of membrane invaginations in spherical confinement

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received 12 January 2012; accepted in final form 20 February 2012 published online 22 March 2012



a geometrical phase diagram of fluid membrane invaginations:



Numerical equilibrium solution for a membrane (smooth surface) inside a spherical container (black mesh) with scaled area a = 1.2 and scaled volume v = 0.8. The membrane bulges inward and forms an invagination reminiscent of a light bulb.



#### Jean-François WAX

- Dynamique des liquides (surtout des métaux)
- Proprietés statiques et dynamiques, diffusion, viscosité
- Digrammes de phase densité température

#### Dynamique Moléculaire classique (code maison) et ab initio (VASP)



#### Multiscale study of the influence of chemical order on the properties of liquid Li-Bi alloys

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> M.R. Johnson Institut Laue Langevin, 6 rue Jules Horowitz, F-38042 Grenoble Cedex 9, France

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M. Mihalkovič Institute of Physics, Slovak Academy of Sciences, Dubrayska cesta 9, 84511 Bratislava, Slovakia (Received 22 July 2010; revised manuscript received 22 November 2010; published 13 April 2011)



→ Paramètrisation de la DM classique

FIG. 2. (Color online) Fitted forces versus ab initio ones for each configuration considered. Data corresponding to Lisz-Bi43 and Li30-Bi30 are shifted by 2 and 4 eV/Å along the horizontal axis.





FIG. 6. Total structure factors for neutrons (full line: simulation: symbols: experiment)









## Hong XU

- Dynamique moléculaire classique et ab initio des liquides complexes
- Transitions de phase dans des liquides; proprietés interfaciales

#### Logiciels utilisés "maison" et LAMMPS (C++, MPI)

#### PHYSICAL REVIEW E 79, 041501 (2009)

#### Structure and dynamics of cylindrical micelles at equilibrium and under shear flow

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FIG. 8. (Color online) The extinction angle  $\chi_0 \approx g_{\Lambda}$  and vs  $\dot{\gamma}$  (inset), for both semidilute cases. Data correspond to W=12, with  $\omega=0.02$  (cross),  $\omega=0.1$  (down triangles),  $\omega=1$  (stars); and W=10 with  $\omega=0.1$  (filled circles),  $\omega=0.5$  (open squares),  $\omega=1$  (up triangles),  $\omega=5$  (open dismods). The continuous line in the main figure corresponds to the empirical law.

Figure 1: Some surfactant molecules in solution self-assemble and form long wormlike micelles which continuously break and recombine. Their mass distribution is, hence, in thermal equilibrium and they present an important example of the vast class of systems termed "equilibrium polymers" [1].





## Andrei POSTNIKOV

- Structure électronique ab initio (DFT): solides, liquides, molécules
- Dynamique de réseau des semiconducteurs mixtes

#### Logiciels utilisés:

- SIESTA (pseudopotentiels, fonctions de base numériques)
- WIEN2k ("tous électrons")

## ~10<sup>2</sup> - 10<sup>3</sup> atomes, periodiques ou isolés (SIESTA)

Phys. Status Solidi A 206, No. 5, 1030-1033 (2009) / DOI 10.1002/pssa.200881217



#### Impurity vibration modes in II–VI and III–V mixed semiconductors

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Received 1 September 2008, revised 24 November 2008, accepted 26 January 2009 Published online 25 March 2009



Contents lists available at ScienceDirect Coordination Chemistry Reviews

journal homepage: www.elsevier.com/locate/ccr

Review

#### STM spectroscopy of magnetic molecules

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GaAs:In

Figure 4 Calculated vibration patterns in selected zonecenter coupled-impurity modes. Top row: Ga (large spheres) impurities in InAs; the modes at 248 cm<sup>-1</sup> and 250 cm<sup>-1</sup>. Bottom row: In (large spheres) impurities in GaAs; the modes at 235 cm<sup>-1</sup> and 238 cm<sup>-1</sup>.

Fig. 13. (a) Color coded map of the DFT calculated electron density of 3 within an energy window between E<sub>F</sub> and -0.95 eV in superposition with the crystal structure data (sticks), the scale is 1.2 nm × 1.2 nm. (b) 3D representation of the DFT electron density map; (c) 3D representation of the central section of the experimental CITS map taken at -0.918 v.

## $\operatorname{SIESTA}$ way to phonons

•  $\mathbf{q} = 0$  only needed?  $\rightarrow$  frozen phonons.  $m_{\alpha}\ddot{u}^{i}_{\alpha} = -\sum_{\beta}^{N}\sum_{j}^{3}F^{ij}_{\alpha\beta}u^{j}_{\beta}$ 

- ② Dispersion needed? → construct a supercell, look at forces induced everywhere.
- Fourier-transform the force constants; diagonalize for any q needed.

$$\begin{pmatrix} \vdots \\ \frac{F_{ss'}^{ij}(\mathbf{q})}{\sqrt{m_s m_{s'}}} - \omega^2 \delta_{ss'} \delta_{ij} \\ \vdots \end{pmatrix} \begin{pmatrix} \vdots \\ u_{s'\mathbf{q}}^j \sqrt{m_{s'}} \\ \vdots \end{pmatrix} = 0$$

Image: A matrix of the second seco

- A case of solid solution, e.g., mixed semiconductors: supercell anyway; exact lattice periodicity is broken anyway → case 1.
- However, a large supercell contains folded-in  $q \neq 0$  modes, and many "long" eigenvectors contain a lot of information. Try to extract it.

## q-resolved modes density

In solid solutions, where topological disorder destroys an exact crystal periodicity, the projection of phonon eigenvectors taken along with a  $\exp(i\mathbf{qR})$  plane wave may help to reveal somehow a smeared  $\omega(\mathbf{q})$  dispersion trends in a form of "spectral function":

$$I_{\aleph}(\omega, \mathbf{q}) = \sum_{i} \left| \sum_{\alpha \in \aleph} \mathbf{A}_{i}^{\alpha}(\omega) e^{i\mathbf{q}\mathbf{R}_{\alpha}} \right|^{2} \delta(\omega - \omega_{i}) \,.$$

It amplifies the weights of vibrations in which similar atoms move in phase with a given **q**-wave throughout the crystal, and suppresses the movements whose phase are at random with such wave. In particular, the **q**=0 projection amplifies the "prototype" zone-center TO mode of zincblende crystal, the quasi rigid movement of the cation sublattice against the anion one:

$$I_{\aleph}(\omega, \mathbf{q}=0) = \sum_{i} \left| \sum_{\alpha \in \aleph} \mathbf{A}_{i}^{\alpha}(\omega) \right|^{2} \delta(\omega - \omega_{i}).$$

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• Motivation:

probing the "1-bond – 2-mode" behaviour away from the Brillouin zone center.

- Solid solution is simulated by Special Quasirandom Supercells.
- Two different ones were tried, of  $64 \times 3=192$  atoms (long in one dimension, in order to well probe the dispersion).

## • Experimental situation:

inelastic neutron scattering (Mala N. Rao – Tista Basak – S.L. Chaplot *et al.*); tentative synthesis by Olivier Pags (under preparation)

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## Experimental situation:

inelastic neutron scattering (Mala N. Rao – Tista Basak – S.L. Chaplot et al.)



We know this situation at q=0, and assign the labels correspondingly. Would an *ab initio* simulation confirm this?



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More pronounced are supercell-dependent differences in the Be-Se domain (Be is in minority and worse "averaged"). Nevertheless, the two-mode behaviour persists.

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## Projecting into Longitudinal and Transversal components

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$$I_{\aleph}^{\mathsf{L}}(\omega, \mathbf{q}) = \sum_{i} \left| \sum_{\alpha \in \aleph} \left( \frac{\mathbf{q}}{|\mathbf{q}|} \cdot \mathbf{A}_{i}^{\alpha} e^{i\mathbf{q}\mathbf{R}_{\alpha}} \right) \right|^{2} \delta(\omega - \omega_{i});$$
  
$$I_{\aleph}^{\mathsf{T}}(\omega, \mathbf{q}) = \sum_{i} \left| \sum_{\alpha \in \aleph} \left[ \mathbf{A}_{i}^{\alpha} - \frac{(\mathbf{q} \cdot \mathbf{A}_{i}^{\alpha})}{|\mathbf{q}|} \right] e^{i\mathbf{q}\mathbf{R}_{\alpha}} \right|^{2} \delta(\omega - \omega_{i}).$$



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phonons by SIESTA

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## Symmetry considerations for phonons

"Conventional" approach to symmetry of vibrations ( $\rightarrow$  "frozen phonons"): Symmetry coordinates (along to irred.rep. of the space group in question):  $S_t = \sum_j B_{tj} u_j$ . Classical kinetic and potential energy:

$$\begin{aligned} \mathcal{T} &= \sum_{i} \frac{m_{i} \dot{u}_{i}^{2}}{2} = \sum_{tt'i} (B^{-1})_{it} (B^{-1})_{it'} \frac{m_{i}}{2} \dot{S}_{t} \dot{S}_{t'} ,\\ U &= \frac{1}{2} \sum_{tt'} F_{tt'} S_{t} S_{t'} . \end{aligned}$$

Lagrangian equation of motion:

$$\sum_{t'} \left[ \sum_{i} (B^{-1})_{it} \, m_i \, (B^{-1})_{it'} \ddot{S}_{t'} + (F_{tt'} + F_{t't}) S_{t'} \right] = 0$$

with  $G_{tt'} = \sum_i B_{it} m_i^{-1} B_{it'}$  – kinetic energy matrix

$$\left[\mathbf{F} - \omega^2 \mathbf{G}^{-1}\right] \mathbf{A} = 0 \,, \quad \text{or} \quad \left(\mathbf{G}^{1/2} \mathbf{F} \mathbf{G}^{1/2} - \omega^2\right) \mathbf{A} = 0 \,.$$

 $\rightarrow$  eigenvalues and eigenvectors within each irred.rep. independently.

## Symmetry-projected density of modes

"Post-processing": first obtain eigenvector of each mode (no symmetry constraints), *then* project it according to irred.reps. *Advantage*: works also for solid solutions and otherwise "approximate symmetry".

$$I_{\aleph}^{\nu}(\omega) = \sum_{i} \left| \sum_{\alpha \in \aleph} \mathbf{A}_{i}^{\alpha} \mathbf{S}_{i}^{\alpha \nu} \right|^{2} \delta(\omega - \omega_{i}) \,.$$

 $\mathbf{S}_{i}^{\alpha\nu}$ : projection vectors (in the 3-dim. space of individual atom displacements);  $\nu$ : irreducible representation of underlyng (or, presumed, if "approximative") space group; *i*: mode index;  $\alpha$ : atom index.

Where to get  $\mathbf{S}_{i}^{\alpha\nu}$  (for example...) :

go to <a href="http://www.cryst.ehu.es">http://www.cryst.ehu.es</a> (Bilbao crystallographic server), specifically to its SAM section <a href="http://www.cryst.ehu.es/rep/sam.html">http://www.cryst.ehu.es/rep/sam.html</a>, choose the space group, select a Wyckoff position, select "Show", go to "Mechanical representation" and again select "Show".

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## An example: kesterite-type $Cu_2ZnSnSe_4$ (space group $I\bar{4}$ )



#### Decomposition of vibration modes according to symmetries

Wyckoff	Irred	repre	sentations	(sums up to	
positions	A	B	E	8 at.×3 = 24)	
Cu (2a)		1	1	$1 + 1 \times 2 = 3$	
Sn (2b)		1	1	$1 + 1 \times 2 = 3$	
Cu (2c)		1	1	$1 + 1 \times 2 = 3$	
Zn (2d)		1	1	$1 + 1 \times 2 = 3$	
Se (8g)	3	3	3	$3 + 3 + 3 \times 2 = 12$	

Symmetry coordinates in different vibration modes constructed from individual Cartesian displacements  $\pm(X,Y,Z)$  of anions

	Anion sites: $(0\ 0\ 0)^+$ ; $(\frac{1}{2}\ \frac{1}{2}\ \frac{1}{2})^+$				
Modes	$(\tfrac{1}{4}\tfrac{3}{4}\tfrac{1}{8})$	$(\tfrac{3}{4}\tfrac{1}{4}\tfrac{1}{8})$	$(\frac{3}{4} \ \frac{3}{4} \ \frac{7}{8})$	$(\frac{1}{4} \ \frac{1}{4} \ \frac{7}{8})$	
A #1 A #2 A #3 B #1 B #2 B #3	$\begin{array}{c} Y \\ -X \\ -Z \\ Y \\ -X \\ Z \end{array}$	-Y $X$ $-Z$ $-Y$ $X$ $Z$	$\begin{array}{c} X \\ Y \\ Z \\ -X \\ -Y \\ Z \end{array}$	-X $-Y$ $Z$ $X$ $Y$ $Z$	
$\begin{array}{c} E^{1}+E^{2}) \ \#1\\ (E^{1}+E^{2}) \ \#2\\ (E^{1}+E^{2}) \ \#3 \end{array}$	X Y Z	X Y -Z	 	 	
$ \begin{array}{c} (E^1 - E^2) \ \#1 \\ (E^1 - E^2) \ \#2 \\ (E^1 - E^2) \ \#3 \end{array} $			Y X -Z	Y X Z	

#### Symmetry coordinates (as above) for cations

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	Wyckoff positions			
Modes	(2a)	(2b)	(2c)	(2d)
В	Z	Z	Z	Z
$(E^1 + E^2)$	X	X	X	X
$(E^1 - E^2)$	Y	Y	Y	Y

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## CZTSe: $\Gamma$ -vibrations and symmetry analysis



of the same irreducible representation, the decomposition is ambiguous!

## $Cu_2SnSe_3$ vs. $Cu_2ZnSnSe_4$ : structure and phonons

 $Cu_2ZnSnSe_4$  (CZTS) of kesterite-type structure (bct) is a promising material for photovoltaics.

 $Cu_2SnSe_3$  (CTSe) is one of "secondary phases" ( $\rightarrow$  monoclinic) frequent in the phase diagram of Cu–Sn–Se, useless for photovoltaics.

The two structures correspond to different cation arrangement on the same underlying (zincblende) lattice. They are indistinguishable in X-ray diffraction; our motivation was to pinpoint existing diferences in their vibration spectra (in view of identifying possible "vibrational fingerprints".



- monoclinic b axis along the [110] diagonal of the basal plane of kesterite;
- *a*, *c* vectors are valid lattice vectors of kesterite:  $\begin{bmatrix} \frac{1}{2} & \frac{1}{2} & \pm \frac{1}{2} \end{bmatrix}$ ;
- $3 \times$  stapling of CZTSe kesterite (along monoclinic b) matches  $2 \times$  of CTSe

Density of modes at  $\mathbf{q} = 0$ 

of supercell

 $N \text{ atoms} \rightarrow 3N \text{ modes } \nu$ , frequencies  $\omega_{\nu}$ , eigenvectors  $A_{\nu}^{i\alpha}$ (*i*: cartesian x, y, z;  $\alpha$ : atom of species  $\aleph$ ).

$$I_{\aleph}(\omega) = \sum_{\nu} \sum_{\alpha \in \aleph} \sum_{i} [A_{\nu}^{i\alpha}]^2 \, \delta(\omega - \omega_{\nu})$$

CZTSe: 8 at. / primitive cell, CTSe: 24 at. / primitive cell

Formally, all are zone-center modes, yet the spectrum is more rich (as of a supercell...)

Do all these modes give a Raman signal?



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## Density of modes; q-projection



## A "zincblende-like" q-projection

$$I_{\aleph}(\omega) \!=\! \sum_{\nu} \sum_{\substack{\alpha \in \aleph \\ \alpha \in \aleph}} \left[ A_{\nu}^{i\alpha} e^{i\mathbf{R}_{\alpha}\mathbf{q}} \right]^2 \delta(\omega \!-\! \omega_{\nu})$$

 $(\mathbf{q}\!=\!0$  in the plot on the left):

Emphasizes "all cations against all anions" patterns (but not only, since projection for each species is done independently).

This recovers some similarity in spectra, and underlines the splitting of modes into three groups.

More in J.Appl.Phys. **112**, 033719 (2012); Phys.Rev.B **82**, 205204 (2010).

## Vibration entropy (for phase diagrams calculations)

In a system of harmonic oscillators with mode density  $g(\omega)$  obeying the Bose-Einstein statistics:

free energy 
$$F = k_{\rm B}T \int_{0}^{\omega_{\rm max}} \left[\ln(e^x - 1) - \frac{x}{2}\right] g(\omega) d\omega$$
 with  $x = \frac{\hbar\omega}{k_{\rm B}T}$ ;

$$S = -\frac{\partial F}{\partial T} = k_{\rm B} \int_{0}^{\infty} \left[ \frac{x}{e^x - 1} - \ln(1 - e^{-x}) \right] g(\omega) d\omega \,.$$

In a large supercell,  $\mathbf{q} = 0$  discrete spectrum samples the continuous density of modes:

$$g(\omega) \approx \sum_{\alpha} \delta(\omega - \omega_{\alpha}).$$

The supercell may include defects with their (possibly) localized vibration modes.



## Vibration entropy calculations: Cu<sub>2</sub>ZnSnS<sub>4</sub> with point defects

Cu<sub>2</sub>ZnSnS<sub>4</sub>: 64-at. supercell, total density of modes





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## Conclusion

- Calculated phonon eigenvectors contain very rich information which is often neglected, or used in cumulated form only. However, it can be conveniently extracted by applying a projection technique (with respect to properties of immediate interest).
- Projections to mention are onto atom / atom type (obvious), but also (less obvious) onto a q-vector and onto a symmetry block (irreducible representation of the space group).
- Projection technique can also be applied to the study of *solid solutions*, when the nominal symmetry relations (with respect to translations / rotations) are not exactly valid. Still, the trends can be revealed (e.g., dispersion relations in an alloy, a routine issue of discussion in experimental works).
- The above projection tools are readily available for post-processing of SIESTA results [ask <u>andrei.postnikov@univ-lorraine.fr</u>]; for other codes, at most, some modification of data format may be needed.

Image: Image: